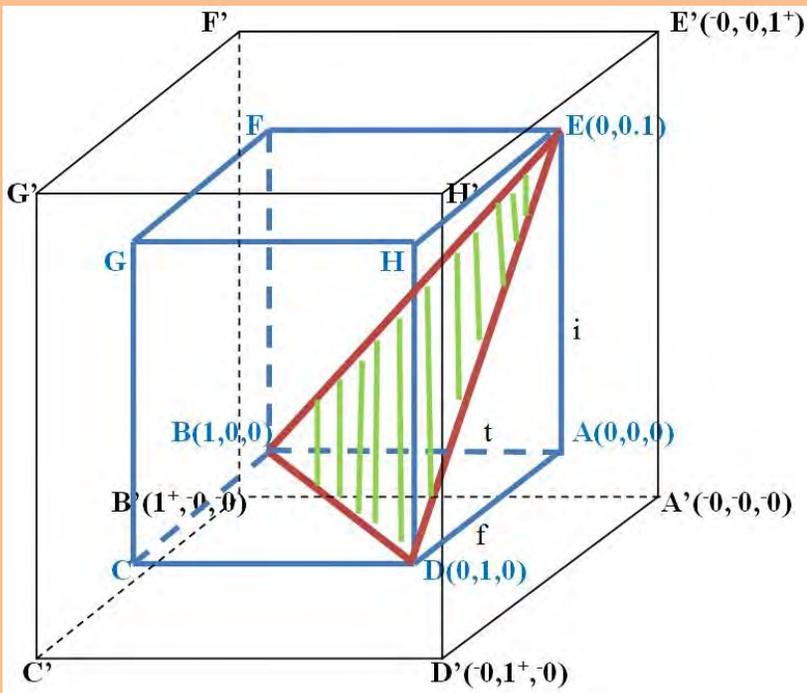


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# Neutrosophic Sets and Systems

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The submitted papers should be professional, in good English, containing a brief review of a problem and obtained results.

*Neutrosophy* is a new branch of philosophy that studies the origin, nature, and scope of neutralities, as well as their interactions with different ideational spectra.

This theory considers every notion or idea  $\langle A \rangle$  together with its opposite or negation  $\langle \text{anti}A \rangle$  and with their spectrum of neutralities  $\langle \text{neut}A \rangle$  in between them (i.e. notions or ideas supporting neither  $\langle A \rangle$  nor  $\langle \text{anti}A \rangle$ ). The  $\langle \text{neut}A \rangle$  and  $\langle \text{anti}A \rangle$  ideas together are referred to as  $\langle \text{non}A \rangle$ .

Neutrosophy is a generalization of Hegel's dialectics (the last one is based on  $\langle A \rangle$  and  $\langle \text{anti}A \rangle$  only).

According to this theory every idea  $\langle A \rangle$  tends to be neutralized and balanced by  $\langle \text{anti}A \rangle$  and  $\langle \text{non}A \rangle$  ideas - as a state of equilibrium.

In a classical way  $\langle A \rangle$ ,  $\langle \text{neut}A \rangle$ ,  $\langle \text{anti}A \rangle$  are disjoint two by two. But, since in many cases the borders between notions are vague, imprecise, Sorites, it is possible that  $\langle A \rangle$ ,  $\langle \text{neut}A \rangle$ ,  $\langle \text{anti}A \rangle$  (and  $\langle \text{non}A \rangle$  of course) have common parts two by two, or even all three of them as well.

*Neutrosophic Set* and *Neutrosophic Logic* are generalizations of the fuzzy set and respectively fuzzy logic (especially of intuitionistic fuzzy

set and respectively intuitionistic fuzzy logic). In neutrosophic logic a proposition has a degree of truth ( $T$ ), a degree of indeterminacy ( $I$ ), and a degree of falsity ( $F$ ), where  $T, I, F$  are standard or non-standard subsets of  $]0, 1[$ .

*Neutrosophic Probability* is a generalization of the classical probability and imprecise probability.

*Neutrosophic Statistics* is a generalization of the classical statistics.

What distinguishes the neutrosophics from other fields is the  $\langle \text{neut}A \rangle$ , which means neither  $\langle A \rangle$  nor  $\langle \text{anti}A \rangle$ .

$\langle \text{neut}A \rangle$ , which of course depends on  $\langle A \rangle$ , can be indeterminacy, neutrality, tie game, unknown, contradiction, ignorance, imprecision, etc. All submissions should be designed in MS Word format using our template file:

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# Neutrosophic Sets and Systems

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# Covering-Based Rough Single Valued Neutrosophic Sets

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**Abstract:** Rough sets theory is a powerful tool to deal with uncertainty and incompleteness of knowledge in information systems. Wang et al. proposed single valued neutrosophic sets as an extension of intuitionistic fuzzy sets to deal with real-world problems. In this paper, we propose the covering-based rough single valued neutrosophic sets by combining covering-based rough sets and single valued neutrosophic sets. Firstly, three types of covering-based rough single valued neutrosophic sets models are built and the properties

of lower/upper approximation operators are explored. Secondly, the lower/upper approximations in two different covering approximation spaces are studied. The sufficient and necessary condition for generating the same lower/upper approximations from two different covering approximation spaces is discussed. Moreover, the relations of the three models are discussed and the equivalence conditions for three models are given.

**Keywords:** covering-based rough sets, single valued neutrosophic sets, neutrosophic sets, covering-based rough single valued neutrosophic sets.

## 1 Introduction

Rough set theory (RST), proposed by Pawlak[1] in 1982, is one of the effective mathematical tools for processing fuzzy and uncertainty knowledge. The classical rough set theory is based on the equivalence relation on the domain. In many practical problems, the relation between objects is essentially no equivalence relation, so this equivalence relation as the basis of the classic rough set model cannot fully meet the actual needs. For this a lot of extension models of Pawlak rough set are given. One approach is to extend the equivalence relation to similarity relations[2], tolerance relations[3], ordinary binary relations[4], reflexive and transitive relations[5] and others. The other approach is combining the other theory to get more flexible and expressive framework for modeling and processing incomplete information in information systems. Mi et al.[6] introduced the definitions for generalized fuzzy lower and upper approximation operators determined by a residual implication. Pei [7] studied generalized fuzzy rough sets. Zhang et al.[8] gave a general framework of intuitionistic fuzzy rough set theory. Yang et al. [9]proposed hesitant fuzzy rough sets and studied the models axiomatic characterizations by combining hesitant fuzzy sets and rough sets.Zhang et al.[10] further gave the construction and axiomatic characterizations of interval-valued hesitant fuzzy rough sets, and illustrated the application of the model.

Covering rough sets theory is an important rough sets theory. Covering rough set model, first proposed by Zakowski[11] in 1983, Bonikowski et al. later studied the structures of covering[12]. Chen et al. [13]discussed the covering rough sets within the framework of a completely distributive lattice. Zhu and Wang [14]proposed the reduction of covering rough sets to reduce the “redundant” members in a covering in order to find the “smallest” covering. Deng et al. [15] established fuzzy rough set models based on a covering. Li et al. [16] proposed a generalized fuzzy rough approximation operators based on fuzzy coverings.

Wei et al. [17]and Xu et al. [18] established the first and second types of rough fuzzy set models based on a covering. Hu et al.[19] proposed the third type of rough fuzzy set models based on a covering. Tang et al. [20] gave the fourth type of rough fuzzy set models based on a covering.

Smarandache [21] proposed neutrosophic sets to deal with real-world problems. A neutrosophic set has three membership functions: truth membership function, indeterminacy membership function and falsity membership function, in which each membership degree is a real standard or non-standard subset of the nonstandard unit interval  $]0-, 1 + [$ . Wang et al. [22] introduced single valued neutrosophic sets (SVNSs) that is a generalization of intuitionistic fuzzy sets, in which three membership functions are independent and their values belong to the unit interval  $[0, 1]$ . Further studies have done in recent years. Such as, Majumdar and Samanta [23] studied similarity and entropy of SVNSs. Ye [24] proposed correlation coefficients of SVNSs, and applied it to single valued neutrosophic decision-making problems, etc.

SVNSs and covering rough sets are two different tools of dealing with uncertainty information. In order to use the advantages of SVNSs and covering rough sets, we establish a hybrid model of SVNSs and covering rough sets. Broumi and Smarandache proposed single valued neutrosophic information systems based on rough set theory [25]. Yang et al. proposed single valued neutrosophic rough set model and single valued neutrosophic refined rough set model[26,27]. In the present paper, we shall propose covering-based rough single valued neutrosophic sets by fusing SVNSs and covering rough sets, and explore a general framework of the study of covering-based rough single valued neutrosophic sets.

The paper is organized as follows. After this introduction, In section 2, we provide the basic notions and operations of Pawlak rough sets, covering rough sets and SVNSs. Based on a SVNR,

Sect. 3 proposes three types of covering-based rough single valued neutrosophic sets. Properties of lower/upper approximation operators are studied. In Sect. 4, we investigate the relations of the three types models. The last section summarizes the conclusions and gives an outlook for future research.

## 2 Preliminaries

In this section, we give basic notions and operations on Pawlak tough sets, covering-based rough sets and SVNNSs.

**Definition 2.1** Let  $U$  be a non-empty finite universe and  $R$  be an equivalence relations on  $U$ .  $(U, R)$  is called a Pawlak approximation space.  $\forall X \subseteq U$ , the lower and upper approximations of  $X$ , denoted by  $\underline{R}(X)$  and  $\overline{R}(X)$ , are defined as follows, respectively:

$$\begin{aligned}\underline{R}(X) &= \{x \in U \mid [x]_R \subseteq X\}, \\ \overline{R}(X) &= \{x \in U \mid [x]_R \cap X \neq \emptyset\},\end{aligned}$$

where  $[x]_R = \{y \in U \mid (x, y) \in R\}$ .  $\underline{R}(X)$  and  $\overline{R}(X)$  are called as lower and upper approximations operators, respectively. The pair  $(\underline{R}(X), \overline{R}(X))$  is called a Pawlak rough set.

**Definition 2.2** Let  $U$  be a non-empty finite universe,  $C$  is a family of subsets of  $U$ . If none subsets in  $C$  is empty and  $\cup C = U$ , then  $C$  is a covering of  $U$ .

**Definition 2.3** Let  $C$  be a covering of  $U$ ,  $x \in U$ .  $Md_C(x) = \{K \in C \mid (\forall S \in C \wedge x \in S \wedge S \subseteq K \Rightarrow K = S)\}$  is called the minimal description of  $x$ . When the covering is clear, we omit the lowercase  $C$  in the minimal description.

**Definition 2.4** Let  $U$  be a space of points (objects), with a generic element in  $U$  denoted by  $u$ . A SVNNS  $A$  in  $U$  is characterized by three membership functions, a truth membership function  $T_A$ , an indeterminacy membership function  $I_A$  and a falsity-membership function  $F_A$ , where  $\forall u \in U, T_A(u), I_A(u), F_A(u) \in [0, 1]$ . That is  $T_A : U \rightarrow [0, 1], I_A : U \rightarrow [0, 1]$  and  $F_A : U \rightarrow [0, 1]$ . There is no restriction on the sum of  $T_A(u), I_A(u)$  and  $F_A(u)$ , thus  $0 \leq T_A(u) + I_A(u) + F_A(u) \leq 3$ .

Here  $A$  can be denoted by  $A = \{ \langle u, T_A(u), I_A(u), F_A(u) \rangle \mid u \in U \}$ ,  $\forall u \in U, (T_A(u), I_A(u), F_A(u))$  is called a single valued neutrosophic number (SVNN).

**Definition 2.5** Let  $A$  and  $B$  be two SVNNSs on  $U$ . If for any  $u \in U$ ,  $T_A(u) \leq T_B(u), I_A(u) \geq I_B(u), F_A(u) \geq F_B(u)$ , then we called  $A$  is contained in  $B$ , denoted by  $A \subseteq B$ .

If  $A \subseteq B$  and  $B \subseteq A$ , then we called  $A$  is equal to  $B$ , denoted by  $A = B$ .

**Definition 2.6** Let  $A$  be a SVNNS on  $U$ . The complement of  $A$  is denoted by  $A^c$ , where  $\forall u \in U, T_{A^c}(u) = F_A(u), I_{A^c}(u) = 1 - I_A(u), F_{A^c}(u) = T_A(u)$ .

**Definition 2.7** Let  $A$  and  $B$  be two SVNNS on  $U$ . The union of  $A$  and  $B$  is a SVNNS  $C$ , denoted by  $C = A \uplus B$ , where  $\forall u \in U, T_C(u) = \max\{T_A(u), T_B(u)\}, I_C(u) = \min\{I_A(u), I_B(u)\}, F_C(u) = \min\{F_A(u), F_B(u)\}$ .

The intersection of  $A$  and  $B$  is a SVNNS  $D$ , denoted by  $D = A \cap B$ , where  $\forall u \in U, T_D(u) = \min\{T_A(u), T_B(u)\}, I_D(u) = \max\{I_A(u), I_B(u)\}, F_D(u) = \max\{F_A(u), F_B(u)\}$ .

**Proposition 2.8** [26] Let  $A$  and  $B$  be two SVNNS on  $U$ . The following results hold:

- (1)  $A \subseteq A \uplus B$  and  $B \subseteq A \uplus B$ ;
- (2)  $A \cap B \subseteq A$  and  $A \cap B \subseteq B$ ;
- (3)  $(A^c)^c = A$ ;
- (4)  $(A \uplus B)^c = A^c \cap B^c$ ;
- (5)  $(A \cap B)^c = A^c \uplus B^c$ .

## 3 Covering-based rough neutrosophic sets

**Definition 3.1** Let  $U$  be a non-empty finite universe,  $C$  is a covering of  $U$ ,  $(U, C)$  be a covering approximation space.  $A$  is a SVNNS of  $U$ . The first type of lower and upper approximations of  $A$  with respect to  $(U, C)$ , denoted by  $FL(A)$  and  $FU(A)$ , are two SVNNSs whose membership functions are defined as  $\forall u \in U$ ,

$$\begin{aligned}T_{FL(A)}(u) &= \inf\{T_A(v) \mid v \in \cup Md(u)\}, \\ I_{FL(A)}(u) &= \sup\{I_A(v) \mid v \in \cup Md(u)\}, \\ F_{FL(A)}(u) &= \sup\{F_A(v) \mid v \in \cup Md(u)\}, \\ T_{FU(A)}(u) &= \sup\{T_A(v) \mid v \in \cup Md(u)\}, \\ I_{FU(A)}(u) &= \inf\{I_A(v) \mid v \in \cup Md(u)\}, \\ F_{FU(A)}(u) &= \inf\{F_A(v) \mid v \in \cup Md(u)\}.\end{aligned}$$

The pair  $(FL(A), FU(A))$  is called the first type of rough single valued neutrosophic set based on covering  $C$ .  $FL(A)$  and  $FU(A)$  are called as the first lower and upper approximations operators, respectively.

**Definition 3.2** Let  $U$  be a non-empty finite universe,  $C$  is a covering of  $U$ ,  $(U, C)$  be a covering approximation space.  $A$  is a SVNNS of  $U$ . The second type of lower and upper approximations of  $A$  with respect to  $(U, C)$ , denoted by  $SL(A)$  and  $SU(A)$ , are two SVNNSs whose membership functions are defined as  $\forall u \in U$ ,

$$\begin{aligned}T_{SL(A)}(u) &= \inf\{T_A(v) \mid v \in \cap Md(u)\}, \\ I_{SL(A)}(u) &= \sup\{I_A(v) \mid v \in \cap Md(u)\}, \\ F_{SL(A)}(u) &= \sup\{F_A(v) \mid v \in \cap Md(u)\}, \\ T_{SU(A)}(u) &= \sup\{T_A(v) \mid v \in \cap Md(u)\}, \\ I_{SU(A)}(u) &= \inf\{I_A(v) \mid v \in \cap Md(u)\}, \\ F_{SU(A)}(u) &= \inf\{F_A(v) \mid v \in \cap Md(u)\}.\end{aligned}$$

The pair  $(SL(A), SU(A))$  is called the second type of rough single valued neutrosophic set based on covering  $C$ .  $SL(A)$  and  $SU(A)$  are called as the second lower and upper approximations operators, respectively.

**Definition 3.3** Let  $U$  be a non-empty finite universe,  $C$  is a covering of  $U$ ,  $(U, C)$  be a covering approximation space.  $A$  is a SVNNS of  $U$ . The third type of lower and upper approximations

of  $A$  with respect to  $(U, C)$ , denoted by  $TL(A)$  and  $TU(A)$ , are two SVNNS whose membership functions are defined as  $\forall u \in U$ ,

$$\begin{aligned} T_{TL(A)}(u) &= \sup_{K \in Md(u)} \{ \inf_{v \in K} \{ T_A(v) \} \}, \\ I_{TL(A)}(u) &= \inf_{K \in Md(u)} \{ \sup_{v \in K} \{ I_A(v) \} \}, \\ F_{TL(A)}(u) &= \inf_{K \in Md(u)} \{ \sup_{v \in K} \{ F_A(v) \} \}, \\ T_{TU(A)}(u) &= \inf_{K \in Md(u)} \{ \sup_{v \in K} \{ T_A(v) \} \}, \\ I_{TU(A)}(u) &= \sup_{K \in Md(u)} \{ \inf_{v \in K} \{ I_A(v) \} \}, \\ F_{TU(A)}(u) &= \sup_{K \in Md(u)} \{ \inf_{v \in K} \{ F_A(v) \} \}. \end{aligned}$$

The pair  $(TL(A), TU(A))$  is called the third type of rough single valued neutrosophic set based on covering  $C$ .  $TL(A)$  and  $TU(A)$  are called as the third lower and upper approximations operators, respectively.

**Example 3.4** Let  $U = \{a, b, c, d\}$ ,  $K_1 = \{a, b\}$ ,  $K_2 = \{b, c\}$ ,  $K_3 = \{c, d\}$ ,  $C = \{K_1, K_2, K_3\}$ . A single valued neutrosophic set  $A = \{ \langle a, (0.2, 0.8, 0.1) \rangle, \langle b, (1, 0.3, 1) \rangle, \langle c, (0.5, 0.3, 0) \rangle, \langle d, (0.6, 0.7, 0.5) \rangle \}$ , then  $Md(a) = \{ \{a, b\} \}$ ,  $Md(b) = \{ \{a, b\}, \{b, c\} \}$ ,  $Md(c) = \{ \{b, c\}, \{c, d\} \}$ ,  $Md(d) = \{ \{c, d\} \}$ . Thus,

$$\begin{aligned} T_{FL(A)}(a) &= \inf \{ T_A(v) | v \in \cup Md(a) \} = \inf \{ T_A(a), T_A(b) \} = \inf \{ 0.2, 1 \} = 0.2. \\ T_{FL(A)}(b) &= \inf \{ T_A(v) | v \in \cup Md(b) \} = \inf \{ T_A(a), T_A(b), T_A(c) \} = \inf \{ 0.2, 1, 0.5 \} = 0.2. \\ T_{FL(A)}(c) &= \inf \{ T_A(v) | v \in \cup Md(c) \} = \inf \{ T_A(b), T_A(c), T_A(d) \} = \inf \{ 1, 0.5, 0.6 \} = 0.5. \\ T_{FL(A)}(d) &= \inf \{ T_A(v) | v \in \cup Md(d) \} = \inf \{ T_A(c), T_A(d) \} = \inf \{ 0.5, 0.6 \} = 0.5. \\ T_{FU(A)}(a) &= \sup \{ T_A(v) | v \in \cup Md(a) \} = \sup \{ T_A(a), T_A(b) \} = \sup \{ 0.2, 1 \} = 1. \\ T_{FU(A)}(b) &= \sup \{ T_A(v) | v \in \cup Md(b) \} = \sup \{ T_A(a), T_A(b), T_A(c) \} = \sup \{ 0.2, 1, 0.5 \} = 1. \\ T_{FU(A)}(c) &= \sup \{ T_A(v) | v \in \cup Md(c) \} = \sup \{ T_A(b), T_A(c), T_A(d) \} = \sup \{ 1, 0.5, 0.6 \} = 1. \\ T_{FU(A)}(d) &= \sup \{ T_A(v) | v \in \cup Md(d) \} = \sup \{ T_A(c), T_A(d) \} = \sup \{ 0.5, 0.6 \} = 0.6. \\ I_{FL(A)}(a) &= \sup \{ I_A(v) | v \in \cup Md(a) \} = \sup \{ I_A(a), I_A(b) \} = \sup \{ 0.8, 0.3 \} = 0.8. \\ I_{FL(A)}(b) &= \sup \{ I_A(v) | v \in \cup Md(b) \} = \sup \{ I_A(a), I_A(b), I_A(c) \} = \sup \{ 0.8, 0.3, 0.3 \} = 0.8. \\ I_{FL(A)}(c) &= \sup \{ I_A(v) | v \in \cup Md(c) \} = \sup \{ I_A(b), I_A(c), I_A(d) \} = \sup \{ 0.3, 0.3, 0.7 \} = 0.7. \\ I_{FL(A)}(d) &= \sup \{ I_A(v) | v \in \cup Md(d) \} = \sup \{ I_A(c), I_A(d) \} = \sup \{ 0.3, 0.7 \} = 0.7. \\ I_{FU(A)}(a) &= \inf \{ I_A(v) | v \in \cup Md(a) \} = \inf \{ I_A(a), I_A(b) \} = \inf \{ 0.8, 0.3 \} = 0.3. \\ I_{FU(A)}(b) &= \inf \{ I_A(v) | v \in \cup Md(b) \} = \inf \{ I_A(a), I_A(b), I_A(c) \} = \inf \{ 0.8, 0.3, 0.3 \} = 0.3. \\ I_{FU(A)}(c) &= \inf \{ I_A(v) | v \in \cup Md(c) \} = \inf \{ I_A(b), I_A(c), I_A(d) \} = \inf \{ 0.3, 0.3, 0.7 \} = 0.3. \\ I_{FU(A)}(d) &= \inf \{ I_A(v) | v \in \cup Md(d) \} = \inf \{ I_A(c), I_A(d) \} = \inf \{ 0.3, 0.7 \} = 0.3. \\ F_{FL(A)}(a) &= \sup \{ F_A(v) | v \in \cup Md(a) \} = \sup \{ F_A(a), F_A(b) \} = \sup \{ 0.1, 1 \} = 1. \\ F_{FL(A)}(b) &= \sup \{ F_A(v) | v \in \cup Md(b) \} = \sup \{ F_A(a), F_A(b), T_A(c) \} = \sup \{ 0.1, 1, 0 \} = 1. \end{aligned}$$

$$\begin{aligned} F_{FL(A)}(c) &= \sup \{ F_A(v) | v \in \cup Md(c) \} = \sup \{ F_A(b), F_A(c), F_A(d) \} = \sup \{ 1, 0, 0.5 \} = 1. \\ F_{FL(A)}(d) &= \sup \{ F_A(v) | v \in \cup Md(d) \} = \sup \{ F_A(c), F_A(d) \} = \sup \{ 0, 0.5 \} = 0.5. \\ F_{FU(A)}(a) &= \inf \{ F_A(v) | v \in \cup Md(a) \} = \inf \{ F_A(a), F_A(b) \} = \inf \{ 0.1, 1 \} = 0.1. \\ F_{FU(A)}(b) &= \inf \{ F_A(v) | v \in \cup Md(b) \} = \inf \{ F_A(a), F_A(b), F_A(c) \} = \inf \{ 0.1, 1, 0 \} = 0. \\ F_{FU(A)}(c) &= \inf \{ F_A(v) | v \in \cup Md(c) \} = \inf \{ F_A(b), F_A(c), F_A(d) \} = \inf \{ 1, 0, 0.5 \} = 0. \\ F_{FU(A)}(d) &= \inf \{ F_A(v) | v \in \cup Md(d) \} = \inf \{ F_A(c), F_A(d) \} = \inf \{ 0, 0.5 \} = 0. \end{aligned}$$

Thus,

$$\begin{aligned} FL(A) &= \{ \langle a, (0.2, 0.8, 1) \rangle, \langle b, (0.2, 0.8, 1) \rangle, \langle c, (0.5, 0.7, 1) \rangle, \langle d, (0.5, 0.7, 0.5) \rangle \}, \\ FU(A) &= \{ \langle a, (1, 0.3, 0.1) \rangle, \langle b, (1, 0.3, 0) \rangle, \langle c, (1, 0.3, 0) \rangle, \langle d, (0.6, 0.3, 0) \rangle \}. \end{aligned}$$

Similarly,

$$\begin{aligned} SL(A) &= \{ \langle a, (0.2, 0.8, 1) \rangle, \langle b, (1, 0.3, 1) \rangle, \langle c, (0.5, 0.3, 0) \rangle, \langle d, (0.5, 0.7, 0.5) \rangle \}, \\ SU(A) &= \{ \langle a, (1, 0.3, 0.1) \rangle, \langle b, (1, 0.3, 1) \rangle, \langle c, (0.5, 0.3, 0) \rangle, \langle d, (0.6, 0.3, 0) \rangle \}, \\ TL(A) &= \{ \langle a, (0.2, 0.8, 1) \rangle, \langle b, (0.5, 0.3, 1) \rangle, \langle c, (0.5, 0.3, 0.5) \rangle, \langle d, (0.5, 0.7, 0.5) \rangle \}, \\ TU(A) &= \{ \langle a, (1, 0.3, 0.1) \rangle, \langle b, (1, 0.3, 0.1) \rangle, \langle c, (0.6, 0.3, 0) \rangle, \langle d, (0.6, 0.3, 0) \rangle \}. \end{aligned}$$

**Proposition 3.5** The first type of rough single valued neutrosophic lower and upper approximation operators defined in Definition 3.1 has the following properties:  $\forall A, B \in SVNNS(U)$ ,

- (1)  $FL(U) = U, FU(U) = U$ ;
- (2)  $FL(\emptyset) = \emptyset, FU(\emptyset) = \emptyset$ ;
- (3)  $FL(A) \subseteq A \subseteq FU(A)$ ;
- (4)  $FL(A \cap B) = FL(A) \cap FL(B), FU(A \cup B) = FU(A) \cup FU(B)$ ;
- (5)  $A \subseteq B \Rightarrow FL(A) \subseteq FL(B), A \subseteq B \Rightarrow FU(A) \subseteq FU(B)$ ;
- (6)  $FU(A \cap B) \subseteq FU(A) \cap FU(B), FL(A \cup B) \supseteq FL(A) \cup FL(B)$ ;
- (7)  $FL(A^c) = (FU(A))^c, FU(A^c) = (FL(A))^c$ .

**Proof:** (1)  $T_{FL(U)}(u) = \inf \{ T_U(v) | v \in \cup Md(u) \} = 1, T_{FU(U)}(u) = \sup \{ T_U(v) | v \in \cup Md(u) \} = 1, I_{FL(U)}(u) = \sup \{ I_U(v) | v \in \cup Md(u) \} = 0, I_{FU(U)}(u) = \inf \{ I_U(v) | v \in \cup Md(u) \} = 0, F_{FL(U)}(u) = \sup \{ F_U(v) | v \in \cup Md(u) \} = 0, F_{FU(U)}(u) = \inf \{ F_U(v) | v \in \cup Md(u) \} = 0$ , thus  $FL(U) = U, FU(U) = U$ .

(2)  $T_{FL(\emptyset)}(u) = \inf \{ T_\emptyset(v) | v \in \cup Md(u) \} = 0, T_{FU(\emptyset)}(u) = \sup \{ T_\emptyset(v) | v \in \cup Md(u) \} = 0, I_{FL(\emptyset)}(u) = \sup \{ I_\emptyset(v) | v \in \cup Md(u) \} = 1, I_{FU(\emptyset)}(u) = \inf \{ I_\emptyset(v) | v \in \cup Md(u) \} = 1, F_{FL(\emptyset)}(u) = \sup \{ F_\emptyset(v) | v \in \cup Md(u) \} = 1, F_{FU(\emptyset)}(u) = \inf \{ F_\emptyset(v) | v \in \cup Md(u) \} = 1$ , thus  $FL(\emptyset) = \emptyset, FU(\emptyset) = \emptyset$ .

(3) Being  $u \in \cup Md(u)$ , so  $T_{FL(A)}(u) = \inf \{ T_A(v) | v \in \cup Md(u) \} \leq T_A(u) \leq T_{FU(A)}(u) = \sup \{ T_A(v) | v \in \cup Md(u) \} = T_A(u)$ ,  $I_{FL(A)}(u) = \sup \{ I_A(v) | v \in \cup Md(u) \} \geq$

$I_A(u) \geq I_{FU(A)}(u) = \inf\{I_A(v)|v \in \cup Md(u)\} =, F_{FL(A)}(u) = \sup\{F_A(v)|v \in \cup Md(u)\} \geq F_A(u) \geq F_{FU(A)}(u) = \inf\{F_A(v)|v \in \cup Md(u)\} =, \text{ thus, } FL(A) \in A \in FU(A).$

(4)  $T_{FL(A \cap B)}(u) = \inf\{T_{A \cap B}(v)|v \in \cup Md(u)\} = \inf\{\min\{T_A(v), T_B(v)\}|v \in \cup Md(u)\} = \min\{\inf\{T_A(v)|v \in \cup Md(u)\}, \inf\{T_B(v)|v \in \cup Md(u)\} = \min\{T_{FL(A)}(u), T_{FL(B)}(u)\}.$

$I_{FL(A \cap B)}(u) = \sup\{I_{A \cap B}(v)|v \in \cup Md(u)\} = \sup\{\max\{I_A(v), I_B(v)\}|v \in \cup Md(u)\} = \max\{\sup\{I_A(v)|v \in \cup Md(u)\}, \sup\{I_B(v)|v \in \cup Md(u)\} = \max\{I_{FL(A)}(u), I_{FL(B)}(u)\}.$

$F_{FL(A \cap B)}(u) = \sup\{F_{A \cap B}(v)|v \in \cup Md(u)\} = \sup\{\max\{F_A(v), F_B(v)\}|v \in \cup Md(u)\} = \max\{\sup\{F_A(v)|v \in \cup Md(u)\}, \sup\{F_B(v)|v \in \cup Md(u)\} = \max\{F_{FL(A)}(u), F_{FL(B)}(u)\}.$  Thus,  $FL(A \cap B) = FL(A) \cap FL(B).$

$T_{FU(A \cup B)}(u) = \sup\{T_{A \cup B}(v)|v \in \cup Md(u)\} = \sup\{\max\{T_A(v), T_B(v)\}|v \in \cup Md(u)\} = \max\{\sup\{T_A(v)|v \in \cup Md(u)\}, \sup\{T_B(v)|v \in \cup Md(u)\} = \max\{T_{FU(A)}(u), T_{FU(B)}(u)\}.$

$I_{FU(A \cup B)}(u) = \inf\{I_{A \cup B}(v)|v \in \cup Md(u)\} = \inf\{\min\{I_A(v), I_B(v)\}|v \in \cup Md(u)\} = \min\{\inf\{I_A(v)|v \in \cup Md(u)\}, \inf\{I_B(v)|v \in \cup Md(u)\} = \min\{I_{FU(A)}(u), I_{FU(B)}(u)\}.$

$F_{FU(A \cup B)}(u) = \inf\{F_{A \cup B}(v)|v \in \cup Md(u)\} = \inf\{\min\{F_A(v), F_B(v)\}|v \in \cup Md(u)\} = \min\{\inf\{F_A(v)|v \in \cup Md(u)\}, \inf\{F_B(v)|v \in \cup Md(u)\} = \min\{F_{FL(A)}(u), F_{FL(B)}(u)\}.$  Thus,  $FL(A \cup B) = FL(A) \cup FL(B).$

So (4) holds.

(5) If  $A \in B$ , then  $T_{FL(A)}(u) = \inf\{T_A(v)|v \in \cup Md(u)\} \leq \inf\{T_B(v)|v \in \cup Md(u)\} = T_{FL(B)}(u)$ ,  $I_{FL(A)}(u) = \sup\{I_A(v)|v \in \cup Md(u)\} \geq \sup\{I_B(v)|v \in \cup Md(u)\} = I_{FL(B)}(u)$ ,  $F_{FL(A)}(u) = \sup\{F_A(v)|v \in \cup Md(u)\} \geq \sup\{F_B(v)|v \in \cup Md(u)\} = F_{FL(B)}(u)$ . So,  $FL(A) \in FL(B).$

The similar method we can get  $A \in B \Rightarrow FU(A) \in FU(B)$ . So (5) holds.

(6) Being  $A \cap B \in A \in A \cup B$ ,  $A \cap B \in B \in A \cup B$ , from (5), (6) holds.

(7)  $T_{FL(A^c)}(u) = \inf\{T_{A^c}(v)|v \in \cup Md(u)\} = \inf\{F_A(v)|v \in \cup Md(u)\} = F_{FU(A)}(u) = T_{(FU(A))^c}(u)$ .

$I_{FL(A^c)}(u) = \sup\{I_{A^c}(v)|v \in \cup Md(u)\} = \sup\{1 - I_A(v)|v \in \cup Md(u)\} = 1 - \inf\{I_A(v)|v \in \cup Md(u)\} = 1 - I_{FU(A)}(u) = I_{(FU(A))^c}(u)$ .

$F_{FL(A^c)}(u) = \sup\{F_{A^c}(v)|v \in \cup Md(u)\} = \sup\{T_A(v)|v \in \cup Md(u)\} = T_{FU(A)}(u) = F_{(FU(A))^c}(u)$ .

So,  $FL(A^c) = (FU(A))^c$ . The similar method we can get  $FU(A^c) = (FL(A))^c$ , thus (7) holds.

**Remark:**  $FL(FL(A)) = FL(A)$  and  $FU(FU(A)) = FU(A)$  do not hold generally.

Similarly, we can get the following proposition.

**Proposition 3.6** The second type of rough single valued neutrosophic lower and upper approximation operators defined in Def-

inition 3.2 has the following properties:  $\forall A, B \in SVN(S(U))$ ,

(1)  $SL(U) = U, SU(U) = U$ ;

(2)  $SL(\emptyset) = \emptyset, SU(\emptyset) = \emptyset$ ;

(3)  $SL(A) \in A \in SU(A)$ ;

(4)  $SL(A \cap B) = SL(A) \cap SL(B)$ ,  $SU(A \cup B) = SU(A) \cup SL(B)$ ;

(5)  $A \in B \Rightarrow SL(A) \in SL(B)$ ,  $A \in B \Rightarrow SU(A) \in SU(B)$ ;

(6)  $SU(A \cap B) \in SU(A) \cap SU(B)$ ,  $SL(A \cup B) \in SL(A) \cup SL(B)$ ;

(7)  $SL(A^c) = (SU(A))^c$ ,  $SU(A^c) = (SL(A))^c$ .

**Proposition 3.7** The third type of rough single valued neutrosophic lower and upper approximation operators defined in Definition 3.3 has the following properties:  $\forall A, B \in SVN(S(U))$ ,

(1)  $TL(U) = U, TU(U) = U$ ;

(2)  $TL(\emptyset) = \emptyset, TU(\emptyset) = \emptyset$ ;

(3)  $TL(A) \in A \in TU(A)$ ;

(4)  $A \in B \Rightarrow TL(A) \in TL(B)$ ,  $A \in B \Rightarrow TU(A) \in TU(B)$ ;

(5)  $TU(A \cap B) \in TU(A) \cap FU(B)$ ,  $TL(A \cup B) \in TL(A) \cup TL(B)$ ;

(6)  $TL(A^c) = (TU(A))^c$ ,  $TU(A^c) = (TL(A))^c$ .

(7)  $TL(TL(A)) = TL(A)$ ,  $TU(TU(A)) = TU(A)$ .

**Proof:** The proofs of (1)-(6) are similar to the Proposition 3.5, we only show (7).

Let  $u \in U$ ,  $Md(u) = \{K_1, K_2, \dots, K_m\}$ .

$T_{TL(A)}(u) = \sup_{K \in Md(u)} \{\inf_{v \in K} (T_A(v))\} = \sup\{\inf_{v_1 \in K_1} \{T_A(v_1)\}, \inf_{v_2 \in K_2} \{T_A(v_2)\}, \dots, \inf_{v_m \in K_m} \{T_A(v_m)\}\}.$  Without loss of generality, let  $K_i \in Md(u)$ ,  $T_{TL(A)}(u) = \inf_{v_i \in K_i} \{T_A(v_i)\}$ , then for  $j \neq i$ ,  $\inf_{v_i \in K_i} \{T_A(v_i)\} \geq \inf_{v_j \in K_j} \{T_A(v_j)\}$ . Let  $v_i \in K_i$ , from Definition 3.3, we have  $T_{TL(A)}(v_i) = \sup_{K \in Md(v_i)} \{\inf_{v \in K} (T_A(v))\} \geq \inf_{v_i \in K_i} (T_A(v_i)) = T_{TL(A)}(u)$ . Being  $\forall v_i \in K_i (T_{TL(A)}(v_i) \geq T_{TL(A)}(u))$ , so  $\inf_{v_i \in K_i} \{T_{TL(A)}(v_i)\} = T_{TL(A)}(u)$ . Let  $v_j \in K_j, j \neq i$ , so  $\inf_{v_j \in K_j} \{T_{TL(A)}(v_j)\} \leq T_{TL(A)}(u)$  holds. Thus,  $T_{TL(TL(A))}(u) = \sup_{K \in Md(u)} \{\inf_{v \in K} \{T_{TL(A)}(v)\}\} = \sup\{\inf_{v_1 \in K_1} \{T_{TL(A)}(v_1)\}, \inf_{v_2 \in K_2} \{T_{TL(A)}(v_2)\}, \dots, \inf_{v_m \in K_m} \{T_{TL(A)}(v_m)\}\} = T_{TL(A)}(u)$ .

$I_{TL(A)}(u) = \inf_{K \in Md(u)} \{\sup_{v \in K} (I_A(v))\} = \inf\{\sup_{v_1 \in K_1} \{I_A(v_1)\}, \sup_{v_2 \in K_2} \{I_A(v_2)\}, \dots, \sup_{v_m \in K_m} \{I_A(v_m)\}\}.$  Without loss of generality, let  $K_i \in Md(u)$ ,  $I_{TL(A)}(u) = \sup_{v_i \in K_i} \{I_A(v_i)\}$ , then for  $j \neq i$ ,  $\sup_{v_i \in K_i} \{I_A(v_i)\} \leq \sup_{v_j \in K_j} \{I_A(v_j)\}$ . Let  $v_i \in K_i$ , from Definition 3.3, we have  $I_{TL(A)}(v_i) = \inf_{K \in Md(v_i)} \{\sup_{v \in K} (I_A(v))\} \leq \sup_{v_i \in K_i} (I_A(v_i)) = I_{TL(A)}(u)$ . Being  $\forall v_i \in K_i (I_{TL(A)}(v_i) \leq I_{TL(A)}(u))$ , so  $\sup_{v_i \in K_i} \{I_{TL(A)}(v_i)\} = I_{TL(A)}(u)$ . Let  $v_j \in K_j, j \neq i$ , so  $\sup_{v_j \in K_j} \{I_{TL(A)}(v_j)\} \geq I_{TL(A)}(u)$  holds. Thus,  $I_{TL(TL(A))}(u) = \inf_{K \in Md(u)} \{\sup_{v \in K} \{I_{TL(A)}(v)\}\} = \inf\{\sup_{v_1 \in K_1} \{I_{TL(A)}(v_1)\}, \sup_{v_2 \in K_2} \{I_{TL(A)}(v_2)\}, \dots, \sup_{v_m \in K_m} \{I_{TL(A)}(v_m)\}\} = I_{TL(A)}(u)$ .

$$F_{TL(A)}(u) = \inf_{K \in Md(u)} \{ \sup_{v \in K} (F_A(v)) \}$$

$$= \inf \{ \sup_{v_1 \in K_1} \{F_A(v_1)\}, \sup_{v_2 \in K_2} \{F_A(v_2)\}, \dots, \sup_{v_m \in K_m} \{F_A(v_m)\} \}.$$
 Without loss of generality, let  $K_i \in Md(u)$ ,  $F_{TL(A)}(u) = \sup_{v_i \in K_i} \{F_A(v_i)\}$ , then for  $j \neq i$ ,  $\sup_{v_i \in K_i} \{F_A(v_i)\} \leq \sup_{v_j \in K_j} \{F_A(v_j)\}$ . Let  $v_i \in K_i$ , from Definition 3.3, we have  $F_{TL(A)}(v_i) = \inf_{K \in Md(v_i)} \{ \sup_{v \in K} (F_A(v)) \} \leq \sup_{v_i \in K_i} (F_A(v_i)) = F_{TL(A)}(u)$ . Being  $\forall v_i \in K_i (F_{TL(A)}(v_i) \leq F_{TL(A)}(u))$ , so  $\sup_{v_i \in K_i} \{F_{TL(A)}(v_i)\} = F_{TL(A)}(u)$ . Let  $v_j \in K_j, j \neq i$ , so  $\sup_{v_j \in K_j} \{F_{TL(A)}(v_j)\} \geq F_{TL(A)}(u)$  holds. Thus,  $F_{TL(TL(A))}(u) = \inf_{K \in Md(u)} \{ \sup_{v \in K} \{F_{TL(A)}(v)\} \} = \inf \{ \sup_{v_1 \in K_1} \{F_{TL(A)}(v_1)\}, \sup_{v_2 \in K_2} \{F_{TL(A)}(v_2)\} \dots, \sup_{v_m \in K_m} \{F_{TL(A)}(v_m)\} \} = F_{TL(A)}(u)$ .

That is,  $TL(TL(A)) = TL(A)$ , the similar way we can get  $TU(TU(A)) = TU(A)$ . So (7) holds.

**Remark:**  $TL(A \cap B) = TL(A) \cap TL(B)$  and  $TU(A \cup B) = TU(A) \cup TU(B)$  do not hold generally.

### 4 The relations among the three types of covering-based rough single valued neutrosophic sets models

**Definition 4.1** Let  $C_1, C_2$  are two coverings on a non-empty finite universe  $U$ ,  $u \in U$ ,  $\forall K \in Md_{C_1}(u)$ , there exists  $K' \in Md_{C_2}(u)$ , such that  $K' \subseteq K$ , which is called  $C_2$  is thinner than  $C_1$ , denoted by  $C_2 \preceq C_1$ . If  $C_2 \preceq C_1$  and  $C_1 \preceq C_2$ , which is called  $C_1$  equals  $C_2$ , denoted by  $C_1 = C_2$ . otherwise, which is called  $C_1$  does not equal  $C_2$ , denoted by  $C_1 \neq C_2$ . If  $C_2 \leq C_1$  and  $C_1 \neq C_2$ , it is called  $C_2$  is strict thinner than  $C_1$ , denoted by  $C_2 < C_1$ . If  $\forall K \in U, K \in C_1 \Leftrightarrow K \in C_2$ , it is called  $C_1$  identity to  $C_2$ , denoted by  $C_1 \equiv C_2$ .

**Proposition 4.2** Let  $C_1, C_2$  are two coverings on a non-empty finite universe  $U$ ,  $C_1 \preceq C_2$ ,  $A$  is a single valued neutrosophic set on  $U$ . We have:

- (1)  $FL_{C_2}(A) \in FL_{C_1}(A) \in A \in FU_{C_1}(A) \in FU_{C_2}(A)$ ;
- (2)  $SL_{C_2}(A) \in SL_{C_1}(A) \in A \in SU_{C_1}(A) \in SU_{C_2}(A)$ ;
- (3)  $TL_{C_2}(A) \in TL_{C_1}(A) \in A \in TU_{C_1}(A) \in TU_{C_2}(A)$ .

**Proof:** We only show (3).

Let  $u \in U$ ,  $T_{TL_{C_1}(A)}(u) = \sup_{K \in Md(u)} \{ \inf \{T_A(v) | v \in K\} \}$ ,  $T_{TL_{C_2}(A)}(u) = \sup_{K' \in Md(u)} \{ \inf \{T_A(v) | v \in K'\} \}$ , being  $C_1 \preceq C_2$ , then  $\forall K' \in Md_{C_2}(u), \exists K \in Md_{C_1}(u)$ , such that  $K \subseteq K'$ , so  $\inf_{v \in K} \{T_A(v)\} \geq \inf_{v \in K'} \{T_A(v)\}$ . So  $\sup_{K \in Md_{C_1}(u)} \{ \inf_{v \in K} \{T_A(v)\} \} \geq \sup_{K' \in Md_{C_2}(u)} \{ \inf_{v \in K'} \{T_A(v)\} \}$ , that is  $T_{TL_{C_1}(A)} \geq T_{TL_{C_2}(A)}$ .

$$I_{TL_{C_1}(A)}(u) = \inf_{K \in Md(u)} \{ \sup \{I_A(v) | v \in K\} \}$$

$$I_{TL_{C_2}(A)}(u) = \inf_{K' \in Md(u)} \{ \sup \{I_A(v) | v \in K'\} \}$$
 being  $C_1 \preceq C_2$ , then  $\forall K' \in Md_{C_2}(u), \exists K \in Md_{C_1}(u)$ , such that  $K \subseteq K'$ , so  $\sup_{v \in K} \{I_A(v)\} \leq \sup_{v \in K'} \{I_A(v)\}$ . So  $\inf_{K \in Md_{C_1}(u)} \{ \sup_{v \in K} \{I_A(v)\} \} \leq \inf_{K' \in Md_{C_2}(u)} \{ \sup_{v \in K'} \{I_A(v)\} \}$ , that is  $I_{TL_{C_1}(A)} \leq I_{TL_{C_2}(A)}$ .

$$F_{TL_{C_1}(A)}(u) = \inf_{K \in Md(u)} \{ \sup \{F_A(v) | v \in K\} \}$$

$$F_{TL_{C_2}(A)}(u) = \inf_{K' \in Md(u)} \{ \sup \{F_A(v) | v \in K'\} \}$$
 being  $C_1 \preceq C_2$ , then  $\forall K' \in Md_{C_2}(u), \exists K \in Md_{C_1}(u)$ , such that  $K \subseteq K'$ , so  $\sup_{v \in K} \{F_A(v)\} \leq \sup_{v \in K'} \{F_A(v)\}$ . So  $\inf_{K \in Md_{C_1}(u)} \{ \sup_{v \in K} \{F_A(v)\} \} \leq \inf_{K' \in Md_{C_2}(u)} \{ \sup_{v \in K'} \{F_A(v)\} \}$ , that is  $F_{TL_{C_1}(A)} \leq F_{TL_{C_2}(A)}$ .

Thus we can get  $TL_{C_2}(A) \in TL_{C_1}(A)$ , the similar way we can get  $TU_{C_1}(A) \in TU_{C_2}(A)$ . According Proposition 3.7, we can get  $TL_{C_2}(A) \in TL_{C_1}(A) \in A \in TU_{C_1}(A) \in TU_{C_2}(A)$  holds.

**Definition 4.3** Let  $C$  be a covering of a domain  $U$  and  $K \in C$ . If  $K$  is a union of some sets in  $C - K$ , we say  $K$  is reducible in  $C$ , otherwise  $K$  is irreducible. Let  $C$  be a covering of  $U$ . If every element in  $C$  is irreducible, we say  $C$  is irreducible; otherwise  $C$  is reducible.  $\forall K \in C$ , if  $K$  is reducible in  $C$ , then we can omit  $K$  from  $C$ , until  $C$  is irreducible, which is called a reduction of  $C$ , denoted by  $reduct(C)$ .

Let  $(U, C)$  be a covering approximation space,  $reduct(C)$  is the reduction of  $C$ , being  $\forall u \in U$ ,  $Md(u)$  is same in  $C$  and  $reduct(C)$ , so  $C = reduct(C)$ , so we can get the following result.

**Proposition 4.4** Let  $(U, C)$  be a covering approximation space,  $reduct(C)$  is the reduction of  $C$ , then  $\forall A \in SVN S(U)$ ,  $C$  and  $reduct(C)$  generate the same covering-based lower/upper approximations for each type of covering-base rough single valued neutrosophic set.

**Proposition 4.5** Let  $C_1, C_2$  are two coverings on a non-empty finite universe  $U$ , then  $\forall A$ , the lower/upper approximations for each type of covering-base rough single valued neutrosophic set are same in  $(U, C_1)$  and  $(U, C_2)$  iff  $reduct(C_1) = reduct(C_2)$ .

**Proof:**  $\Leftarrow$  Being  $reduct(C_1) = reduct(C_2), \forall A, A$  is a single valued neutrosophic set on  $U$ , from Proposition 4.2 we can get the results hold.

$\Rightarrow$  We just prove the third types of rough single valued neutrosophic set model, the others are similarly.

**Proof by contradiction.** Assume  $reduct(C_1) \neq reduct(C_2)$ , let  $K \in reduct(C_1), K \notin reduct(C_2)$ . We have  $FL_{reduct(C_1)}(K) = K$  (here  $K$  be a single valued neutrosophic set,  $T_K(u) = 1$ , if  $u \in K$ , otherwise  $T_K(u) = 0$ .  $I_K(u) = 0$ , if  $u \in K$ , otherwise  $I_K(u) = 1$ .  $F_K(u) = 0$ , if  $u \in K$ , otherwise  $F_K(u) = 1$ ). From Proposition 4.4, if  $K$  has the same covering-based rough single valued neutrosophic set in  $(U, C_1)$  and  $(U, C_2)$ , then  $K$  has the same covering-based rough single valued neutrosophic set in  $(U, reduct(C_1))$  and  $(U, reduct(C_2))$ , so  $FL_{reduct(C_2)}(K) = K$ . Being  $K \notin reduct(C_2)$ , then there exist  $k_1, k_2, \dots, k_n \in reduct(C_2)$ , such that  $K = \cup_{1 \leq i \leq n} k_i$ . For each  $k_i \in reduct(C_2)$ , there exist  $k_{i1}, k_{i2}, \dots, k_{im_i} \in reduct(C_1)$ , such that  $k_i = \cup_{1 \leq j \leq m_i} k_{ij}$ , so  $K = \cup_{1 \leq i \leq n} \cup_{1 \leq j \leq m_i} k_{ij}$ , that is  $K$  is reducible in

$reduct(C_1)$ , which is contradiction that  $reduct(C)$  is a reduction of  $C$ . So the result holds.

$\forall u \in U, \forall K \in Md(u)$ , it is obviously that  $\cap Md(u) \subseteq K \subseteq \cup Md(u)$ , so we can get the following proposition.

**Proposition 4.6** *Let  $(U, C)$  be a covering approximation space,  $A$  is a single valued neutrosophic set, then  $FL(A) \in TL(A) \in SL(A) \in A \in SU(A) \in TU(A) \in FU(A)$ .*

**Proposition 4.7** *Let  $(U, C)$  be a covering approximation space,  $A$  is a single valued neutrosophic set, then the three types covering-based rough single valued neutrosophic sets are equivalence iff  $\forall u \in U, \inf\{A(v)|v \in \cup Md(u)\} = \inf\{A(v)|v \in \cap Md(u)\}$  and  $\forall u \in U, \sup\{A(v)|v \in \cup Md(u)\} = \sup\{A(v)|v \in \cap Md(u)\}$*

**Proof:**  $\Leftarrow$  From Proposition 4.6 we can get  $TL_{C_2}(A) \in TL_{C_1}(A) \in A \in TU_{C_1}(A) \in TU_{C_2}(A)$ , being  $\forall u \in U, \inf\{A(v)|v \in \cup Md(u)\} = \inf\{A(v)|v \in \cap Md(u)\}$ , from Definition 3.1, 3.2, 3.3, we can get  $FL(A) = SL(A) = TL(A)$  and  $FU(A) = SU(A) = TU(A)$ .

$\Rightarrow$  If the three types covering-based rough single valued neutrosophic sets are same, from Definition 3.1, 3.2, 3.3, we can easily get  $\forall u \in U, \inf\{A(v)|v \in \cup Md(u)\} = \inf\{A(v)|v \in \cap Md(u)\}$  and  $\sup\{A(v)|v \in \cup Md(u)\} = \sup\{A(v)|v \in \cap Md(u)\}$ .

## 5 Conclusion

In this paper, we proposed the hybrid models of single valued neutrosophic refined sets, covering-based rough sets and covering-based rough single valued neutrosophic sets. Specifically, we explored the hybrid models through three different definitions and give the basic properties. Moreover, we discussed the relations of the three models. For the future prospects, we plan to explore the application of the proposed model to data mining and attribute reduction.

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# Neutrosophic Regular Filters and Fuzzy Regular Filters in Pseudo-BCI Algebras

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**Abstract.** Neutrosophic set is a new mathematical tool for handling problems involving imprecise, indeterminacy and inconsistent data. Pseudo-BCI algebra is a kind of non-classical logic algebra in close connection with various non-commutative fuzzy logics. Recently, we applied neutrosophic set theory to pseudo-BCI algebras. In this paper, we study neutrosophic filters in pseudo-BCI algebras. The concepts of neutrosophic regular filter, neutrosophic closed filter and fuzzy regular

filter in pseudo-BCI algebras are introduced, and some basic properties are discussed. Moreover, the relationships among neutrosophic regular filter, fuzzy filters and anti-grouped neutrosophic filters are presented, and the results are proved: a neutrosophic filter (fuzzy filter) is a neutrosophic regular filter (fuzzy regular filter), if and only if it is both a neutrosophic closed filter (fuzzy closed filter) and an anti-grouped neutrosophic filter (fuzzy anti-grouped filter).

**Keywords:** Neutrosophic set, Pseudo-BCI algebra, Neutrosophic Filter, Neutrosophic Regular Filter, Fuzzy Regular Filter.

## 1 Introduction

In 1998, Florentin Smarandache introduced the concept of a neutrosophic set from a philosophical point of view (see [16, 17, 18]). The neutrosophic set is a powerful general formal framework that generalizes the concept of fuzzy set and intuitionistic fuzzy set. In this paper we work with special neutrosophic sets, they are called single valued neutrosophic set (see [21]). The neutrosophic set theory is applied to many scientific fields (see [18, 19, 20]), and also applied to algebraic structures (see [1, 2, 15, 19]), it is similar to the applications of fuzzy set (soft set, rough set) theory in algebraic structures (see [11, 14, and 23]).

In 2008, W. A. Dudek and Y. B. Jun [3] introduced the notion of pseudo-BCI algebra as a generalization of BCI algebra, it is also as a generalization of pseudo-BCK algebra (which is close connection with various non-commutative fuzzy logic formal systems, see [4, 24, 26, 27, 28, and 32]). For non-classical logic algebra systems, the theory of filters (ideals) plays an important role (see [9, 12, 13, 25, and 30]). In [7], the notion of pseudo-BCI filter (ideal) of pseudo-BCI algebras is introduced. In 2009, some special pseudo-BCI filters (ideals) are discussed in [10]. Since then, some articles related filters of pseudo-BCI algebras are published (see [29, 31, 33, and 34]).

Recently, we applied neutrosophic set theory to pseudo-BCI algebras in [35]. This paper we further study on the applications of neutrosophic sets to pseudo-BCI algebras. We introduce the new concepts of neutrosophic regular fil-

ter, neutrosophic closed filter and fuzzy regular filter in pseudo-BCI algebras, and investigate their basic properties and present relationships among neutrosophic regular filters, anti-grouped neutrosophic filter and fuzzy filters.

Note that, the notion of pseudo-BCI algebra in this paper is a dual of the original definition in [3], so the notion of filter is a dual of (pseudo-BCI) ideal in [7, 10].

## 2 Some basic concepts and properties

### 2.1 On neutrosophic sets

**Definition 2.1**<sup>[17, 18, 19]</sup> Let  $X$  be a space of points (objects), with a generic element in  $X$  denoted by  $x$ . A neutrosophic set  $A$  in  $X$  is characterized by a truth-membership function  $T_A(x)$ , an indeterminacy-membership function  $I_A(x)$ , and a falsity-membership function  $F_A(x)$ . The functions  $T_A(x)$ ,  $I_A(x)$ , and  $F_A(x)$  are real standard or non-standard subsets of  $]^-0, 1^+]$ . That is,  $T_A(x): X \rightarrow ]^-0, 1^+]$ ,  $I_A(x): X \rightarrow ]^-0, 1^+]$ , and  $F_A(x): X \rightarrow ]^-0, 1^+]$ . Thus, there is no restriction on the sum of  $T_A(x)$ ,  $I_A(x)$ , and  $F_A(x)$ , so  $^-0 \leq \sup T_A(x) + \sup I_A(x) + \sup F_A(x) \leq 3^+$ .

**Definition 2.2**<sup>[21]</sup> Let  $X$  be a space of points (objects) with generic elements in  $X$  denoted by  $x$ . A simple valued neutrosophic set  $A$  in  $X$  is characterized by truth-membership function  $T_A(x)$ , indeterminacy-membership function  $I_A(x)$ , and falsity-membership function  $F_A(x)$ . Then, a simple valued neutrosophic set  $A$  can be denoted by

$$A = \{ \langle x, T_A(x), I_A(x), F_A(x) \rangle \mid x \in X \},$$

where  $T_A(x), I_A(x), F_A(x) \in [0, 1]$  for each point  $x$  in  $X$ . Therefore, the sum of  $T_A(x), I_A(x)$ , and  $F_A(x)$  satisfies the condition  $0 \leq T_A(x) + I_A(x) + F_A(x) \leq 3$ .

**Definition 2.3**<sup>[21]</sup> The complement of a simple valued neutrosophic set  $A$  is denoted by  $A^c$  and is defined as  $(\forall x \in X)$

$$T_{A^c}(x) = F_A(x), I_{A^c}(x) = 1 - I_A(x), F_{A^c}(x) = T_A(x).$$

Then

$$A^c = \{ \langle x, F_A(x), 1 - I_A(x), T_A(x) \rangle \mid x \in X \}.$$

**Definition 2.4**<sup>[21]</sup> A simple valued neutrosophic set  $A$  is contained in the other simple valued neutrosophic set  $B$ , denote  $A \subseteq B$ , if and only if  $T_A(x) \leq T_B(x), I_A(x) \leq I_B(x), F_A(x) \geq F_B(x)$  for any  $x$  in  $X$ .

**Definition 2.5**<sup>[21]</sup> Two simple valued neutrosophic sets  $A$  and  $B$  are equal, written as  $A = B$ , if and only if  $A \subseteq B$  and  $B \subseteq A$ .

For convenience, “simple valued neutrosophic set” is abbreviated to “neutrosophic set” later.

**Definition 2.6**<sup>[21]</sup> The union of two neutrosophic sets  $A$  and  $B$  is a neutrosophic set  $C$ , written as  $C = A \cup B$ , whose truth-membership, indeterminacy-membership and falsity-membership functions are related to those of  $A$  and  $B$  by

$$T_C(x) = \max(T_A(x), T_B(x)), I_C(x) = \max(I_A(x), I_B(x)), F_C(x) = \min(F_A(x), F_B(x)), \forall x \in X.$$

**Definition 2.7**<sup>[21]</sup> The intersection of two neutrosophic sets  $A$  and  $B$  is a neutrosophic set  $C$ , written as  $C = A \cap B$ , whose truth-membership, indeterminacy-membership and falsity-membership functions are related to those of  $A$  and  $B$  by

$$T_C(x) = \min(T_A(x), T_B(x)), I_C(x) = \min(I_A(x), I_B(x)), F_C(x) = \max(F_A(x), F_B(x)), \forall x \in X.$$

**Definition 2.8**<sup>[20]</sup> Let  $A$  be a neutrosophic set in  $X$  and  $\alpha, \beta, \gamma \in [0, 1]$  with  $0 \leq \alpha + \beta + \gamma \leq 3$  and  $(\alpha, \beta, \gamma)$ -level set of  $A$  denoted by  $A^{(\alpha, \beta, \gamma)}$  is defined as:

$$A^{(\alpha, \beta, \gamma)} = \{ x \in X \mid T_A(x) \geq \alpha, I_A(x) \geq \beta, F_A(x) \leq \gamma \}.$$

## 2.2 On pseudo-BCI algebras

**Definition 2.9**<sup>[3]</sup> A pseudo-BCI algebra is a structure  $(X; \leq, \rightarrow, \rightsquigarrow, 1)$ , where “ $\leq$ ” is a binary relation on  $X$ , “ $\rightarrow$ ” and “ $\rightsquigarrow$ ” are binary operations on  $X$  and “1” is an element of  $X$ , verifying the axioms: for all  $x, y, z \in X$ ,

- (1)  $y \rightarrow z \leq (z \rightarrow x) \rightsquigarrow (y \rightarrow x), y \rightsquigarrow z \leq (z \rightsquigarrow x) \rightarrow (y \rightsquigarrow x)$ ;
- (2)  $x \leq (x \rightarrow y) \rightsquigarrow y, x \leq (x \rightsquigarrow y) \rightarrow y$ ;
- (3)  $x \leq x$ ;
- (4)  $x \leq y, y \leq x \Rightarrow x = y$ ;
- (5)  $x \leq y \Leftrightarrow x \rightarrow y = 1 \Leftrightarrow x \rightsquigarrow y = 1$ .

If  $(X; \leq, \rightarrow, \rightsquigarrow, 1)$  is a pseudo-BCI algebra satisfying  $x \rightarrow y = x \rightsquigarrow y$  for all  $x, y \in X$ , then  $(X; \rightarrow, 1)$  is a BCI-algebra.

**Proposition 2.1**<sup>[3, 7, 10]</sup> Let  $(X; \leq, \rightarrow, \rightsquigarrow, 1)$  be a pseudo-BCI algebra, then  $X$  satisfy the following properties  $(\forall x, y, z \in X)$ :

- (1)  $1 \leq x \Rightarrow x = 1$ ;
- (2)  $x \leq y \Rightarrow y \rightarrow z \leq x \rightarrow z, y \rightsquigarrow z \leq x \rightsquigarrow z$ ;
- (3)  $x \leq y, y \leq z \Rightarrow x \leq z$ ;
- (4)  $x \rightsquigarrow (y \rightarrow z) = y \rightarrow (x \rightsquigarrow z)$ ;
- (5)  $x \leq y \rightarrow z \Leftrightarrow y \leq x \rightsquigarrow z$ ;
- (6)  $x \rightarrow y \leq (z \rightarrow x) \rightarrow (z \rightarrow y), x \rightsquigarrow y \leq (z \rightsquigarrow x) \rightsquigarrow (z \rightsquigarrow y)$ ;
- (7)  $x \leq y \Rightarrow z \rightarrow x \leq z \rightarrow y, z \rightsquigarrow x \leq z \rightsquigarrow y$ ;
- (8)  $1 \rightarrow x = x, 1 \rightsquigarrow x = x$ ;
- (9)  $((y \rightarrow x) \rightsquigarrow x) \rightarrow x = y \rightarrow x, ((y \rightsquigarrow x) \rightarrow x) \rightsquigarrow x = y \rightsquigarrow x$ ;
- (10)  $x \rightarrow y \leq (y \rightarrow x) \rightsquigarrow 1, x \rightsquigarrow y \leq (y \rightsquigarrow x) \rightarrow 1$ ;
- (11)  $(x \rightarrow y) \rightarrow 1 = (x \rightarrow 1) \rightsquigarrow (y \rightsquigarrow 1), (x \rightsquigarrow y) \rightsquigarrow 1 = (x \rightsquigarrow 1) \rightarrow (y \rightarrow 1)$ ;
- (12)  $x \rightarrow 1 = x \rightsquigarrow 1$ .

**Definition 2.10**<sup>[7]</sup> A nonempty subset  $F$  of pseudo-BCI algebra  $X$  is called a pseudo-BCI filter (briefly, filter) of  $X$  if it satisfies:

- (F1)  $1 \in F$ ;
- (F2)  $x \in F, x \rightarrow y \in F \Rightarrow y \in F$ ;
- (F3)  $x \in F, x \rightsquigarrow y \in F \Rightarrow y \in F$ .

**Definition 2.11**<sup>[29]</sup> A pseudo-BCI algebra  $X$  is said to be anti-grouped pseudo-BCI algebra if it satisfies the following identity:

- (G1)  $\forall x, y, z \in X, (x \rightarrow y) \rightarrow (x \rightarrow z) = y \rightarrow z$ ,
- (G2)  $\forall x, y, z \in X, (x \rightsquigarrow y) \rightsquigarrow (x \rightsquigarrow z) = y \rightsquigarrow z$ .

**Proposition 2.2**<sup>[29]</sup> A pseudo-BCI algebra  $X$  is an anti-grouped pseudo-BCI algebra if and only if it satisfies:  $\forall x \in X, (x \rightarrow 1) \rightarrow 1 = x$  or  $(x \rightsquigarrow 1) \rightsquigarrow 1 = x$ .

**Definition 2.12**<sup>[29]</sup> A filter  $F$  of a pseudo-BCI algebra  $X$  is called an anti-grouped filter of  $X$  if it satisfies

$$(GF) \forall x \in X, (x \rightarrow 1) \rightarrow 1 \in F \text{ or } (x \rightsquigarrow 1) \rightsquigarrow 1 \in F \Rightarrow x \in F.$$

**Definition 2.13**<sup>[29]</sup> A filter  $F$  of a pseudo-BCI algebra  $X$  is called a closed filter of  $X$  if it satisfies

$$(CF) \forall x \in X, x \rightarrow 1 \in F.$$

**Definition 2.14**<sup>[34]</sup> A filter  $F$  of pseudo-BCI algebra  $X$  is said to be regular if it satisfies:

- (RF1)  $\forall x, y \in X, y \in F$  and  $x \rightarrow y \in F \Rightarrow x \in F$ .
- (RF2)  $\forall x, y \in X, y \in F$  and  $x \rightsquigarrow y \in F \Rightarrow x \in F$ .

**Proposition 2.3**<sup>[34]</sup> Let  $X$  be a pseudo-BCI algebra,  $F$  a filter of  $X$ . Then  $F$  is regular if and only if  $F$  is anti-grouped and closed.

**Definition 2.15**<sup>[31,33]</sup> A fuzzy set  $A$  in pseudo-BCI algebra  $X$  is called fuzzy filter of  $X$  if it satisfies:

- (FF1)  $\forall x \in X, \mu_A(x) \leq \mu_A(1)$ ;  
 (FF2)  $\forall x, y \in X, \min\{\mu_A(x), \mu_A(x \rightarrow y)\} \leq \mu_A(y)$ ;  
 (FF3)  $\forall x, y \in X, \min\{\mu_A(x), \mu_A(x \rightsquigarrow y)\} \leq \mu_A(y)$ .

**Definition 2.16**<sup>[31]</sup> A fuzzy set  $A: X \rightarrow [0, 1]$  is called a fuzzy closed filter of pseudo-BCI algebra  $X$  if it is a fuzzy filter of  $X$  such that:

- (FCF)  $\mu_A(x \rightarrow 1) \geq \mu_A(x), \forall x \in X$ .

**Definition 2.17**<sup>[31]</sup> A fuzzy set  $A$  in pseudo-BCI algebra  $X$  is called fuzzy anti-grouped filter of  $X$  if it satisfies:

- (1)  $\forall x \in X, \mu_A(x) \leq \mu_A(1)$ ;  
 (2)  $\forall x, y, z \in X, \min\{\mu_A(y), \mu_A((x \rightarrow y) \rightarrow (x \rightarrow z))\} \leq \mu_A(z)$ ;  
 (3)  $\forall x, y, z \in X, \min\{\mu_A(y), \mu_A((x \rightsquigarrow y) \rightsquigarrow (x \rightsquigarrow z))\} \leq \mu_A(z)$ .

**Proposition 2.4**<sup>[31]</sup> Let  $A$  be a fuzzy filter of pseudo-BCI algebra  $X$ . Then  $A$  is a fuzzy anti-grouped filter of  $X$  if and only if it satisfies:

$$\forall x \in X, \mu_A(x) \geq \mu_A((x \rightarrow 1) \rightarrow 1), \mu_A(x) \geq \mu_A((x \rightsquigarrow 1) \rightsquigarrow 1).$$

**Definition 2.18**<sup>[35]</sup> A neutrosophic set  $A$  in pseudo-BCI algebra  $X$  is called a neutrosophic filter in  $X$  if it satisfies:  $\forall x, y \in X$ ,

- (NSF1)  $T_A(x) \leq T_A(1), I_A(x) \leq I_A(1)$  and  $F_A(x) \geq F_A(1)$ ;  
 (NSF2)  $\min\{T_A(x), T_A(x \rightarrow y)\} \leq T_A(y), \min\{I_A(x), I_A(x \rightarrow y)\} \leq I_A(y)$  and  $\max\{F_A(x), F_A(x \rightarrow y)\} \geq F_A(y)$ ;  
 (NSF3)  $\min\{T_A(x), T_A(x \rightsquigarrow y)\} \leq T_A(y), \min\{I_A(x), I_A(x \rightsquigarrow y)\} \leq I_A(y)$  and  $\max\{F_A(x), F_A(x \rightsquigarrow y)\} \geq F_A(y)$ .

**Proposition 2.5**<sup>[35]</sup> Let  $A$  be a neutrosophic filter in pseudo-BCI algebra  $X$ , then  $\forall x, y \in X$ ,

- (NSF4)  $x \leq y \Rightarrow T_A(x) \leq T_A(y), I_A(x) \leq I_A(y)$  and  $F_A(x) \geq F_A(y)$ .

**Definition 2.19**<sup>[35]</sup> A neutrosophic set  $A$  in pseudo-BCI algebra  $X$  is called anti-grouped neutrosophic filter in  $X$  if it satisfies:  $\forall x, y, z \in X$ ,

- (1)  $T_A(x) \leq T_A(1), I_A(x) \leq I_A(1)$  and  $F_A(x) \geq F_A(1)$ ;  
 (2)  $\min\{T_A(y), T_A((x \rightarrow y) \rightarrow (x \rightarrow z))\} \leq T_A(z), \min\{I_A(y), I_A((x \rightarrow y) \rightarrow (x \rightarrow z))\} \leq I_A(z)$  and  $\max\{F_A(x), F_A((x \rightarrow y) \rightarrow (x \rightarrow z))\} \geq F_A(z)$ ;  
 (3)  $\min\{T_A(y), T_A((x \rightsquigarrow y) \rightsquigarrow (x \rightsquigarrow z))\} \leq T_A(z), \min\{I_A(y), I_A((x \rightsquigarrow y) \rightsquigarrow (x \rightsquigarrow z))\} \leq I_A(z)$  and  $\max\{F_A(x), F_A((x \rightsquigarrow y) \rightsquigarrow (x \rightsquigarrow z))\} \geq F_A(z)$ .

**Proposition 2.6**<sup>[35]</sup> Let  $A$  be a neutrosophic set in pseudo-BCI algebra  $X$ . Then  $A$  is a neutrosophic filter in  $X$  if and only if  $A$  satisfies:

- (i)  $T_A$  is a fuzzy filter of  $X$ ;  
 (ii)  $I_A$  is a fuzzy filter of  $X$ ;  
 (iii)  $1 - F_A$  is a fuzzy filter of  $X$ , where  $(1 - F_A)(x) = 1 - F_A(x), \forall x \in X$ .

**Proposition 2.7**<sup>[35]</sup> Let  $A$  be a neutrosophic set in pseudo-BCI algebra  $X$ . Then  $A$  is an anti-grouped neutrosophic filter in  $X$  if and only if  $A$  satisfies:

- (i)  $T_A$  is a fuzzy anti-grouped filter of  $X$ ;

- (ii)  $I_A$  is a fuzzy anti-grouped filter of  $X$ ;  
 (iii)  $1 - F_A$  is a fuzzy anti-grouped filter of  $X$ , where  $(1 - F_A)(x) = 1 - F_A(x), \forall x \in X$ .

### 3 Neutrosophic regular filters and neutrosophic closed filters

**Definition 3.1** A neutrosophic set  $A$  in pseudo-BCI algebra  $X$  is called a neutrosophic regular filter in  $X$  if it is a neutrosophic filter in  $X$  such that:  $\forall x, y \in X$ ,

- (NSRF1)  $\min\{T_A(y), T_A(x \rightarrow y)\} \leq T_A(x), \min\{I_A(y), I_A(x \rightarrow y)\} \leq I_A(x)$  and  $\max\{F_A(y), F_A(x \rightarrow y)\} \geq F_A(x)$ ;  
 (NSRF2)  $\min\{T_A(y), T_A(x \rightsquigarrow y)\} \leq T_A(x), \min\{I_A(y), I_A(x \rightsquigarrow y)\} \leq I_A(x)$  and  $\max\{F_A(y), F_A(x \rightsquigarrow y)\} \geq F_A(x)$ .

**Definition 3.2** A neutrosophic set  $A$  in pseudo-BCI algebra  $X$  is called a neutrosophic closed filter in  $X$  if it is a neutrosophic filter in  $X$  such that:  $\forall x \in X$ ,

- (NSCF)  $T_A(x \rightarrow 1) \geq T_A(x), I_A(x \rightarrow 1) \geq I_A(x), F_A(x \rightarrow 1) \leq F_A(x)$ .

**Proposition 3.1** Let  $A$  be a neutrosophic regular filter in pseudo-BCI algebra  $X$ . Then  $A$  is closed.

**Proof:** Suppose  $x \in X$ . By Definition 2.9 (2) and Proposition 2.1 (12) we have

$$x \leq (x \rightarrow 1) \rightsquigarrow 1 = (x \rightarrow 1) \rightarrow 1.$$

From this and Proposition 2.5 we get

$$T_A(x) \leq T_A((x \rightarrow 1) \rightarrow 1), I_A(x) \leq I_A((x \rightarrow 1) \rightarrow 1), \\ F_A(x) \geq F_A((x \rightarrow 1) \rightarrow 1).$$

Moreover, by Definition 2.18 (NSF1) and Definition 3.1 (NSRF1)

$$T_A((x \rightarrow 1) \rightarrow 1) = \min\{T_A(1), T_A((x \rightarrow 1) \rightarrow 1)\} \leq T_A(x \rightarrow 1), \\ I_A((x \rightarrow 1) \rightarrow 1) = \min\{I_A(1), I_A((x \rightarrow 1) \rightarrow 1)\} \leq I_A(x \rightarrow 1), \\ F_A((x \rightarrow 1) \rightarrow 1) = \max\{F_A(1), F_A((x \rightarrow 1) \rightarrow 1)\} \geq F_A(x \rightarrow 1).$$

Thus,

$$T_A(x) \leq T_A((x \rightarrow 1) \rightarrow 1) \leq T_A(x \rightarrow 1), \\ I_A(x) \leq I_A((x \rightarrow 1) \rightarrow 1) \leq I_A(x \rightarrow 1), \\ F_A(x) \geq T_A((x \rightarrow 1) \rightarrow 1) \geq T_A(x \rightarrow 1).$$

By Definition 3.2 we know that  $A$  is closed.

By Proposition 2.4 and Proposition 2.7 we can get the following proposition.

**Proposition 3.2** Let  $A$  be a neutrosophic filter of pseudo-BCI algebra  $X$ . Then  $A$  is an anti-grouped neutrosophic filter of  $X$  if and only if it satisfies:  $\forall x \in X$ ,

$$T_A(x) \geq T_A((x \rightarrow 1) \rightarrow 1), T_A(x) \geq T_A((x \rightsquigarrow 1) \rightsquigarrow 1); \\ I_A(x) \geq I_A((x \rightarrow 1) \rightarrow 1), I_A(x) \geq I_A((x \rightsquigarrow 1) \rightsquigarrow 1); \\ F_A(x) \leq F_A((x \rightarrow 1) \rightarrow 1), F_A(x) \leq F_A((x \rightsquigarrow 1) \rightsquigarrow 1).$$

**Proposition 3.3** Let  $A$  be a neutrosophic regular filter in pseudo-BCI algebra  $X$ . Then  $A$  is anti-grouped.

**Proof:** Suppose  $x \in X$ . By Definition 2.9 and Proposition 2.1 we have

$$x \rightarrow ((x \rightarrow 1) \rightarrow 1) = x \rightarrow ((x \rightarrow 1) \rightsquigarrow 1) = 1.$$

From this we get

$$T_A(x \rightarrow ((x \rightarrow 1) \rightarrow 1)) = T_A(1), I_A(x \rightarrow ((x \rightarrow 1) \rightarrow 1)) = I_A(1),$$

$$F_A(x \rightarrow ((x \rightarrow 1) \rightarrow 1)) = F_A(1).$$

Thus, applying Definition 3.1 (NSRF1) we get

$$\begin{aligned} T_A(x) &\geq \min\{T_A((x \rightarrow 1) \rightarrow 1), T_A(x \rightarrow ((x \rightarrow 1) \rightarrow 1))\} \\ &= \min\{T_A((x \rightarrow 1) \rightarrow 1), T_A(1)\} = T_A((x \rightarrow 1) \rightarrow 1), \\ I_A(x) &\geq \min\{I_A((x \rightarrow 1) \rightarrow 1), I_A(x \rightarrow ((x \rightarrow 1) \rightarrow 1))\} \\ &= \min\{I_A((x \rightarrow 1) \rightarrow 1), I_A(1)\} = I_A((x \rightarrow 1) \rightarrow 1), \\ F_A(x) &\leq \max\{F_A((x \rightarrow 1) \rightarrow 1), F_A(x \rightarrow ((x \rightarrow 1) \rightarrow 1))\} \\ &= \max\{F_A((x \rightarrow 1) \rightarrow 1), F_A(1)\} = F_A((x \rightarrow 1) \rightarrow 1). \end{aligned}$$

Similarly, we can prove that

$$\begin{aligned} T_A(x) &\geq T_A((x \rightsquigarrow 1) \rightsquigarrow 1), I_A(x) \geq I_A((x \rightsquigarrow 1) \rightsquigarrow 1), \\ F_A(x) &\leq F_A((x \rightsquigarrow 1) \rightsquigarrow 1). \end{aligned}$$

By Proposition 3.2 we know that  $A$  is anti-grouped.

**Proposition 3.2** Assume that  $A$  is both an anti-grouped neutrosophic filter and a neutrosophic closed filter in pseudo-BCI algebra  $X$ . Then  $A$  satisfies:  $\forall x \in X$ ,

$$T_A(x) = T_A(x \rightarrow 1), I_A(x) = I_A(x \rightarrow 1), F_A(x) = F_A(x \rightarrow 1).$$

**Proof:** For any  $x \in X$ , by Definition 3.2 we have

$$T_A(x \rightarrow 1) \geq T_A(x), I_A(x \rightarrow 1) \geq I_A(x), F_A(x \rightarrow 1) \leq F_A(x).$$

Moreover,  $\forall x \in X$ , by Definition 2.19 and Definition 3.2,

$$\begin{aligned} T_A(x) &\geq \min\{T_A((x \rightarrow 1) \rightarrow (x \rightarrow x)), T_A(1)\} \\ &= \min\{T_A((x \rightarrow 1) \rightarrow 1), T_A(1)\} \\ &= T_A((x \rightarrow 1) \rightarrow 1) \geq T_A(x \rightarrow 1), \\ I_A(x) &\geq \min\{I_A((x \rightarrow 1) \rightarrow (x \rightarrow x)), I_A(1)\} \\ &= \min\{I_A((x \rightarrow 1) \rightarrow 1), I_A(1)\} \\ &= I_A((x \rightarrow 1) \rightarrow 1) \geq I_A(x \rightarrow 1), \\ F_A(x) &\leq \max\{F_A((x \rightarrow 1) \rightarrow (x \rightarrow x)), F_A(1)\} \\ &= \max\{F_A((x \rightarrow 1) \rightarrow 1), F_A(1)\} \\ &= F_A((x \rightarrow 1) \rightarrow 1) \leq F_A(x \rightarrow 1). \end{aligned}$$

That is,

$$T_A(x) \geq T_A(x \rightarrow 1), I_A(x) \geq I_A(x \rightarrow 1), F_A(x) \leq F_A(x \rightarrow 1).$$

Therefore,

$$\forall x \in X, T_A(x) = T_A(x \rightarrow 1), I_A(x) = I_A(x \rightarrow 1), F_A(x) = F_A(x \rightarrow 1).$$

**Theorem 3.1** Let  $A$  be a neutrosophic filter in pseudo-BCI algebra  $X$ . Then the following conditions are equivalent:

- (i)  $A$  is both an anti-grouped neutrosophic filter and a neutrosophic closed filter in  $X$ ;
- (ii)  $A$  satisfies:  $\forall x \in X$ ,

$$T_A(x) = T_A(x \rightarrow 1), I_A(x) = I_A(x \rightarrow 1), F_A(x) = F_A(x \rightarrow 1).$$

- (iii)  $A$  is a neutrosophic regular filter in  $X$ .

**Proof:** (i)  $\Rightarrow$  (ii) See Proposition 3.2.

(iii)  $\Rightarrow$  (i) See Proposition 3.1 and Proposition 3.3.

(ii)  $\Rightarrow$  (iii) Suppose that  $A$  satisfies:  $\forall x \in X$ ,

$$T_A(x) = T_A(x \rightarrow 1), I_A(x) = I_A(x \rightarrow 1), F_A(x) = F_A(x \rightarrow 1).$$

For any  $x, y \in X$ , using Proposition 2.1 (6) we have

$$y \rightarrow 1 \leq (x \rightarrow y) \rightarrow (x \rightarrow 1).$$

From this, applying Proposition 2.5,

$$\begin{aligned} T_A(y \rightarrow 1) &\leq T_A((x \rightarrow y) \rightarrow (x \rightarrow 1)), \\ I_A(y \rightarrow 1) &\leq I_A((x \rightarrow y) \rightarrow (x \rightarrow 1)), \\ F_A(y \rightarrow 1) &\geq F_A((x \rightarrow y) \rightarrow (x \rightarrow 1)). \end{aligned}$$

From these, by Definition 2.18 we get

$$\begin{aligned} &\min\{T_A(y \rightarrow 1), T_A(x \rightarrow y)\} \\ &\leq \min\{T_A((x \rightarrow y) \rightarrow (x \rightarrow 1)), T_A(x \rightarrow y)\} = T_A(x \rightarrow 1), \\ &\quad \min\{I_A(y \rightarrow 1), I_A(x \rightarrow y)\} \\ &\leq \min\{I_A((x \rightarrow y) \rightarrow (x \rightarrow 1)), I_A(x \rightarrow y)\} = I_A(x \rightarrow 1), \\ &\quad \max\{F_A(y \rightarrow 1), F_A(x \rightarrow y)\} \\ &\geq \max\{F_A((x \rightarrow y) \rightarrow (x \rightarrow 1)), F_A(x \rightarrow y)\} = F_A(x \rightarrow 1). \end{aligned}$$

Moreover, by condition (ii),

$$\begin{aligned} T_A(y \rightarrow 1) &= T_A(y), T_A(x \rightarrow 1) = T_A(x); \\ I_A(y \rightarrow 1) &= I_A(y), I_A(x \rightarrow 1) = I_A(x); \\ F_A(y \rightarrow 1) &= F_A(y), F_A(x \rightarrow 1) = F_A(x). \end{aligned}$$

Therefore,

$$\begin{aligned} \min\{T_A(y), T_A(x \rightarrow y)\} &\leq T_A(x), \\ \min\{I_A(y), I_A(x \rightarrow y)\} &\leq I_A(x), \\ \max\{F_A(y), F_A(x \rightarrow y)\} &\geq F_A(x). \end{aligned}$$

Similarly, we can get

$$\begin{aligned} \min\{T_A(y), T_A(x \rightsquigarrow y)\} &\leq T_A(x), \\ \min\{I_A(y), I_A(x \rightsquigarrow y)\} &\leq I_A(x), \\ \max\{F_A(y), F_A(x \rightsquigarrow y)\} &\geq F_A(x). \end{aligned}$$

By Definition 3.1 we know that  $A$  is a neutrosophic regular filter in  $X$ .

#### 4 Fuzzy regular filters and neutrosophic filters

**Definition 4.1** A fuzzy filter  $A$  in pseudo-BCI algebra  $X$  is called to be regular if it satisfies:

$$(FRF1) \forall x, y \in X, \min\{\mu_A(y), \mu_A(x \rightarrow y)\} \leq \mu_A(x);$$

$$(FRF2) \forall x, y \in X, \min\{\mu_A(y), \mu_A(x \rightsquigarrow y)\} \leq \mu_A(x).$$

**Lemma 4.1**<sup>[9, 33]</sup> Let  $X$  be a pseudo-BCI algebra. Then a fuzzy set  $\mu: X \rightarrow [0, 1]$  is a fuzzy filter of  $X$  if and only if the level set  $\mu_t = \{x \in X \mid \mu(x) \geq t\}$  is filter of  $X$  for all  $t \in Im(\mu)$ .

**Theorem 4.1** Let  $X$  be a pseudo-BCI algebra. Then a fuzzy set  $\mu: X \rightarrow [0, 1]$  is a fuzzy regular filter of  $X$  if and only if the level set  $\mu_t = \{x \in X \mid \mu(x) \geq t\}$  is regular filter of  $X$  for all  $t \in Im(\mu)$ .

**Proof:** Assume that  $\mu$  is fuzzy regular filter of  $X$ . By Lemma 4.1, for any  $t \in Im(\mu)$ , we have

$$\mu_t = \{x \in X \mid \mu(x) \geq t\} \text{ is filter of } X.$$

If  $y \in \mu_t$  and  $x \rightarrow y \in \mu_t$ , then

$$\mu(y) \geq t, \mu(x \rightarrow y) \geq t.$$

From this and Definition 4.1 (FRF1) we get

$$\mu(x) \geq \min\{\mu_A(y), \mu_A(x \rightarrow y)\} \geq t.$$

This means that  $x \in \mu_t$ . Similarly, we can prove that

$$y \in \mu_t \text{ and } x \rightsquigarrow y \in \mu_t \Rightarrow x \in \mu_t.$$

By Definition 2.14 we know that  $\mu_t$  is regular filter of  $X$

Conversely, assume that the level set  $\mu_t = \{x \in X \mid \mu(x) \geq t\}$  is regular filter of  $X$  for all  $t \in Im(\mu)$ . By Lemma 4.1 we know that  $\mu: X \rightarrow [0, 1]$  is a fuzzy filter of  $X$ . Let  $x, y \in X$ , denote  $t_0 = \min\{\mu_A(y), \mu_A(x \rightarrow y)\}$ , then  $t_0 \in Im(\mu)$  and

$$\mu(y) \geq t_0, \mu(x \rightarrow y) \geq t_0.$$

This means that  $y \in \mu_{t_0}$  and  $x \rightarrow y \in \mu_{t_0}$ . Since  $\mu_{t_0}$  is regular filter of  $X$ , by Definition 2.14 we have  $x \in \mu_{t_0}$ , that is

$$\mu(x) \geq t_0 = \min\{\mu_A(y), \mu_A(x \rightarrow y)\}.$$

It follows that Definition 4.1 (FRF1) holds. Similarly, we can prove that  $\forall x, y \in X, \min\{\mu_A(y), \mu_A(x \rightsquigarrow y)\} \leq \mu_A(x)$ . Therefore,  $\mu: X \rightarrow [0, 1]$  is a fuzzy regular filter of  $X$ .

Similar to Theorem 4.1 we can get the following proposition (the proofs are omitted).

**Proposition 4.1** Let  $X$  be a pseudo-BCI algebra. Then a fuzzy set  $\mu: X \rightarrow [0, 1]$  is a fuzzy closed filter of  $X$  if and only if the level set  $\mu_t = \{x \in X \mid \mu(x) \geq t\}$  is closed filter of  $X$  for all  $t \in Im(\mu)$ .

By Theorem 6 in [31] we have

**Theorem 4.2** Let  $\mu$  be a fuzzy filter of pseudo-BCI algebra  $X$ . Then the following conditions are equivalent:

- (i)  $\mu$  is fuzzy closed anti-grouped filter of  $X$ ;
- (ii)  $\forall x \in X, \mu_A(x \rightarrow 1) = \mu_A(x)$ .
- (iii)  $\mu$  is a fuzzy regular filter of  $X$ .

**Theorem 4.3** Let  $A$  be a neutrosophic set in pseudo-BCI algebra  $X$ . Then  $A$  is a neutrosophic closed filter in  $X$  if and only if  $A$  satisfies:

- (i)  $T_A$  is a fuzzy closed filter of  $X$ ;
- (ii)  $I_A$  is a fuzzy closed filter of  $X$ ;
- (iii)  $1 - F_A$  is a fuzzy closed filter of  $X$ , where  $(1 - F_A)(x) = 1 - F_A(x), \forall x \in X$ .

**Proof:** Assume that  $A$  is a neutrosophic closed filter in  $X$ . By Definition 3.2 we have  $(\forall x \in X)$

$$T_A(x \rightarrow 1) \geq T_A(x), I_A(x \rightarrow 1) \geq I_A(x), F_A(x \rightarrow 1) \leq F_A(x).$$

Thus,

$$(1 - F_A)(x \rightarrow 1) = 1 - F_A(x \rightarrow 1) \geq 1 - F_A(x) = (1 - F_A)(x).$$

Therefore, using Definition 2.16, we get that  $T_A, I_A$  and  $1 - F_A$  are fuzzy closed filters of  $X$ .

Conversely, assume that  $T_A, I_A$  and  $1 - F_A$  are fuzzy closed filters of  $X$ . Then, by Definition 2.16,

$$T_A(x \rightarrow 1) \geq T_A(x), I_A(x \rightarrow 1) \geq I_A(x), (1 - F_A)(x \rightarrow 1) \geq (1 - F_A)(x).$$

Thus,

$$F_A(x \rightarrow 1) = 1 - (1 - F_A)(x \rightarrow 1) \leq 1 - (1 - F_A)(x) = F_A(x).$$

Hence, applying Definition 3.2 we get that  $A$  is a neutrosophic closed filter  $A$  in  $X$ .

By Theorem 4.2, Theorem 4.3, Theorem 3.1 and Proposition 2.7 we can get the following results.

**Theorem 4.4** Let  $A$  be a neutrosophic set in pseudo-BCI algebra  $X$ . Then  $A$  is a neutrosophic regular filter in  $X$  if and only if  $A$  satisfies:

- (i)  $T_A$  is a fuzzy regular filter of  $X$ ;
- (ii)  $I_A$  is a fuzzy regular filter of  $X$ ;
- (iii)  $1 - F_A$  is a fuzzy regular filter of  $X$ , where  $(1 - F_A)(x) = 1 - F_A(x), \forall x \in X$ .

**Theorem 4.5** Let  $X$  be a pseudo-BCI algebra,  $A$  be a neutrosophic set in  $X$  such that  $T_A(x) \geq \alpha_0, I_A(x) \geq \beta_0$  and  $F_A(x) \leq \gamma_0, \forall x \in X$ , where  $\alpha_0 \in Im(T_A), \beta_0 \in Im(I_A)$  and  $\gamma_0 \in Im(F_A)$ . Then  $A$  is a neutrosophic closed filter in  $X$  if and only if  $(\alpha, \beta, \gamma)$ -level set  $A^{(\alpha, \beta, \gamma)}$  is closed filter of  $X$  for all

$$\alpha \in Im(T_A), \beta \in Im(I_A) \text{ and } \gamma \in Im(F_A).$$

**Proof:** Assume that  $A$  is neutrosophic closed filter in  $X$ . By Theorem 4.3 and Proposition 4.1, for any  $\alpha \in Im(T_A), \beta \in Im(I_A)$  and  $\gamma \in Im(F_A)$ , we have

$$(T_A)_\alpha = \{x \in X \mid T_A(x) \geq \alpha\}, (I_A)_\beta = \{x \in X \mid I_A(x) \geq \beta\} \text{ and } (1 - F_A)_{1-\gamma} = \{x \in X \mid (1 - F_A)(x) \geq 1 - \gamma\} = \{x \in X \mid F_A(x) \leq \gamma\} \text{ are closed filters of } X.$$

Thus  $(T_A)_\alpha \cap (I_A)_\beta \cap (1 - F_A)_{1-\gamma}$  is a closed filters of  $X$ . Moreover, by Definition 2.8, it is easy to verify that  $(\alpha, \beta, \gamma)$ -level set  $A^{(\alpha, \beta, \gamma)} = (T_A)_\alpha \cap (I_A)_\beta \cap (1 - F_A)_{1-\gamma}$ . Therefore,  $A^{(\alpha, \beta, \gamma)}$  is closed filter of  $X$  for all  $\alpha \in Im(T_A), \beta \in Im(I_A)$  and  $\gamma \in Im(F_A)$ .

Conversely, assume that  $A^{(\alpha, \beta, \gamma)}$  is closed filter of  $X$  for all  $\alpha \in Im(T_A), \beta \in Im(I_A)$  and  $\gamma \in Im(F_A)$ . Since  $T_A(x) \geq \alpha_0, I_A(x) \geq \beta_0$  and  $F_A(x) \leq \gamma_0, \forall x \in X$ , then

$$\begin{aligned} (T_A)_\alpha &= \{x \in X \mid T_A(x) \geq \alpha\} = (T_A)_\alpha \cap X \cap X \\ &= (T_A)_\alpha \cap (I_A)_{\beta_0} \cap (1 - F_A)_{1-\gamma_0} = A^{(\alpha_0, \beta_0, \gamma_0)}; \\ (I_A)_\beta &= \{x \in X \mid I_A(x) \geq \beta\} = X \cap (I_A)_\beta \cap X \\ &= (T_A)_{\alpha_0} \cap (I_A)_\beta \cap (1 - F_A)_{1-\gamma_0} = A^{(\alpha_0, \beta, \gamma_0)}; \\ (1 - F_A)_{1-\gamma} &= \{x \in X \mid (1 - F_A)(x) \geq 1 - \gamma\} \\ &= X \cap X \cap \{x \in X \mid F_A(x) \leq \gamma\} \\ &= (T_A)_{\alpha_0} \cap (I_A)_{\beta_0} \cap \{x \in X \mid F_A(x) \leq \gamma\} = A^{(\alpha_0, \beta_0, \gamma)}. \end{aligned}$$

Thus,

$$(T_A)_\alpha = \{x \in X \mid T_A(x) \geq \alpha\}, (I_A)_\beta = \{x \in X \mid I_A(x) \geq \beta\} \text{ and } (1 - F_A)_{1-\gamma} = \{x \in X \mid (1 - F_A)(x) \geq 1 - \gamma\} = \{x \in X \mid F_A(x) \leq \gamma\} \text{ are closed filters of } X.$$

From this, applying Proposition 4.1, we know that  $T_A, I_A$  and  $1 - F_A$  are fuzzy closed filters of  $X$ . By Theorem 4.3 we get that  $A$  is neutrosophic closed filter in  $X$ .

Similarly, we can get

**Lemma 4.2** Let  $X$  be a pseudo-BCI algebra,  $A$  be a neutrosophic set in  $X$  such that  $T_A(x) \geq \alpha_0, I_A(x) \geq \beta_0$  and  $F_A(x) \leq \gamma_0, \forall x \in X$ , where  $\alpha_0 \in Im(T_A), \beta_0 \in Im(I_A)$  and  $\gamma_0 \in Im(F_A)$ . Then  $A$  is a (anti-grouped) neutrosophic filter in  $X$  if and only if  $(\alpha, \beta, \gamma)$ -level set  $A^{(\alpha, \beta, \gamma)}$  is (anti-grouped) filter of  $X$  for all  $\alpha \in Im(T_A), \beta \in Im(I_A)$  and  $\gamma \in Im(F_A)$ .

Combining Theorem 4.5, Lemma 4.2 and Theorem 3.1 we can get the following theorem.

**Theorem 4.6** Let  $X$  be a pseudo-BCI algebra,  $A$  be a neutrosophic set in  $X$  such that  $T_A(x) \geq \alpha_0, I_A(x) \geq \beta_0$  and  $F_A(x) \leq \gamma_0, \forall x \in X$ , where  $\alpha_0 \in Im(T_A), \beta_0 \in Im(I_A)$  and  $\gamma_0 \in Im(F_A)$ . Then  $A$  is a neutrosophic regular filter in  $X$  if and only if  $(\alpha, \beta, \gamma)$ -level set  $A^{(\alpha, \beta, \gamma)}$  is regular filter of  $X$  for all  $\alpha \in Im(T_A), \beta \in Im(I_A)$  and  $\gamma \in Im(F_A)$ .

## Conclusion

The neutrosophic set theory is applied to many scientific fields, and also applied to algebraic structures. This paper applied neutrosophic set theory to pseudo-BCI algebras, and some new notions of neutrosophic regular filter, neutrosophic closed filter and fuzzy regular filter in pseudo-BCI algebras are introduced. In addition to studying the basic properties of these new concepts, this paper also considered the relationships between them, and obtained some necessary and sufficient conditions.

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# Competencies evaluation based on single valued neutrosophic numbers and decision analysis schema

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**Abstract.** Recently, neutrosophic sets and its application to decision making have become a topic of significant importance for researchers and practitioners. The present work addresses one of the most complex aspects of the formative process based on competencies: evaluation. In this paper, a new method for competencies evaluation is developed in a multicriteria framework. The proposed framework is composed of four activities, framework, gathering information, ideal solution distance calculation

and ranking alternatives. Student are evaluated using SVN, for the treatment of neutralities, and Euclidean distance. The paper ends with conclusion and future work proposal for the application of neutrosophy to new areas of education.

**Keywords:** competency, evaluation, neutrosophy, SVN numbers

## 1 Introduction

In this paper, one of the most complex aspects of the formative process based on competencies is addressed: evaluation. A new method for competencies evaluation is developed in a multicriteria framework based on decision analysis.

Decision analysis is a discipline, belonging to decision theory, with the goal of computing an overall assessment that summarizes the information gathered and providing useful information about each evaluated element [1]. Uncertainty is present in real world decision making problems in such cases the use of linguistic information to model and manage such an uncertainty has given good results [2]. Experts feel more comfortable providing their knowledge by using terms close to human cognitive model [3, 4].

The conventional crisp techniques have been not much effective for solving decision problems because of imprecise or fuzziness nature of the linguistic assessments. It is more reasonable to consider the values of alternatives according as single valued neutrosophic sets (SVNS) [5]. SVNS can handle indeterminate and inconsistent information, while fuzzy sets and intuitionistic fuzzy sets cannot describe them [6]. In this paper a new model competencies evaluation is

developed base on single valued neutrosophic number (SVN-number) allowing the use of linguistic variables [7] and giving methodological support based on decision analysis schema.

This paper is structured as follows: Section 2 reviews some important concepts about decision analysis framework and SVN numbers. In Section 3, is presented a decision analysis framework based on SVN numbers for competencies evaluation. Section 4 shows a case study. The paper ends with conclusions and further work recommendations.

## 2 Decision schemes

Decision analysis is a discipline with main purpose of helping decision maker to reach a consistent decision [8]. A common decision resolution scheme consists of following phases [2, 9].

- Identify decision and objectives.
- Identify alternatives.
- Framework:
- Gathering information.
- Rating alternatives.
- Choosing the alternative/s:
- Sensitive analysis
- Decide

In the framework phase, the structures and elements of the decision problem are defined: experts, criteria, etc. The information provided by experts is collected, according to the defined framework in the gathering information phase. In line with our aims in this paper, a SVN numbers [10] approach is developed due to the fact that provide adequate computational models to deal with linguistic information [11] in decision problems allowing to include handling of indeterminate and inconsistent .

A way to compute a rating of alternatives is to use an ideal alternative. A comparison between an ideal alternative and available options in order to find the optimal choice could be used [12]. Normally, the closer alternative to the ideal, corresponds to the best alternative.

### 3 SVN-numbers

Neutrosophy [13] is mathematical theory developed for dealing with indeterminacy . The truth value in neutrosophic set is as follows [14]:

Let  $N$  be a set defined as:  $N = \{(T, I, F) : T, I, F \in [0, 1]\}$ , a neutrosophic valuation  $n$  is a mapping from the set of propositional formulas to  $N$  , that is for each sentence  $p$  we have  $v(p) = (T, I, F)$ .

To facilitate the real world applications of neutrosophic set and set-theoretic operators single valued neutrosophic set (SVNS ) [5] was developed

A single valued neutrosophic set (SVNS) has been defined as follows [5]:

Let  $X$  be a universe of discourse. A single valued neutrosophic set  $A$  over  $X$  is an object having the form:

$$A = \{ \langle x, u_A(x), r_A(x), v_A(x) \rangle : x \in X \} \quad (1)$$

where  $u_A(x) : X \rightarrow [0,1]$ ,  $r_A(x) : X \rightarrow [0,1]$  and  $v_A(x) : X \rightarrow [0,1]$  with  $0 \leq u_A(x) + r_A(x) + v_A(x) \leq 3$  for all  $x \in X$ . The intervals  $u_A(x)$ ,  $r_A(x)$  y  $v_A(x)$  denote the truth- membership degree, the indeterminacy-membership degree and the falsity membership degree of  $x$  to  $A$ , respectively.

Single valued neutrosophic numbers (SVN number) is denoted by  $A = (a, b, c)$ , where  $a, b, c \in [0, 1]$  and  $a + b + c \leq 3$  .

Alternatives could be rated according Euclidean distance in SVN [15, 16].

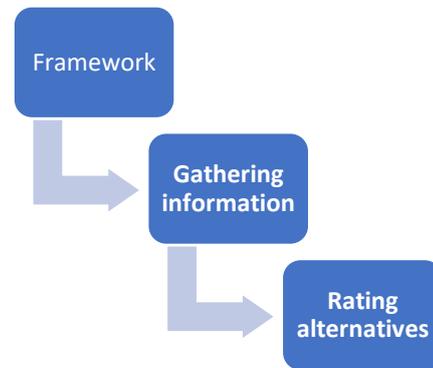
Let  $A^* = (A_1^*, A_2^*, \dots, A_n^*)$  be a vector of  $n$  SVN numbers such that  $A_j^* = (a_j^*, b_j^*, c_j^*)$   $j = (1, 2, \dots, n)$  and  $B_i = (B_{i1}, B_{i2}, \dots, B_{im})$  ( $i = 1, 2, \dots, m$ ) be  $m$  vectors of  $n$  SVN numbers such that  $B_{ij} = (a_{ij}, b_{ij}, c_{ij})$  ( $i = 1, 2, \dots, m$ ), ( $j = 1, 2, \dots, n$ ). Then the separation measure between  $B_i$ 's y  $A^*$  is defined as follows:

$$s_i = \left( \frac{1}{3} \sum_{j=1}^n \left\{ (|a_{ij} - a_j^*|)^2 + (|b_{ij} - b_j^*|)^2 + (|c_{ij} - c_j^*|)^2 \right\} \right)^{\frac{1}{2}} \quad (i = 1, 2, \dots, m)$$

In this paper linguistic variables[11] are represented using single valued neutrosophic numbers [16] for developing a framework to decision support.

### 2.2 Proposed framework

Our aim is to develop a framework for competencies evaluation based on for decision analysis based and SVN numbers. The model consists of the following phases (graphically, Fig. 3).



The proposed framework is composed of three activities, framework, gathering information and rating alternatives.

#### Framework

In this phase, the evaluation framework, the decision problem structure is defined. The framework is established as follows:

$C = \{c_1, c_2, \dots, c_l$  with  $l \geq 2$  , a set competencies.

$E = \{e_1, e_2, \dots, e_k$  } with  $k \geq 2$  A set of students.

#### Gathering information

In this phase, the assessments is provided by means of assessment vectors:

$$U = (v_{ij}, i = 1, \dots, l, j = 1, \dots, k) \quad (3)$$

The assessment  $v_{ij}$ , for each criterion  $c_i$  of each student  $e_j$ , is expressed by means of SVN numbers.

#### Rating alternatives

For rating alternatives an ideal option is constructed [16, 17] .the evaluation criteria can be categorized into two categories, benefit and cost. Let  $C^+$  be a collection of benefit criteria and  $C^-$  be a collection of cost criteria. The ideal alternative is defined as:

$$I = \left\{ \left( \max_{i=1}^k T_{U_j} | j \in C^+, \min_{i=1}^k T_{U_j} | j \in C^- \right), \left( \min_{i=1}^k I_{U_j} | j \in C^+, \max_{i=1}^k I_{U_j} | j \in C^- \right), \left( \min_{i=1}^k F_{U_j} | j \in C^+, \max_{i=1}^k F_{U_j} | j \in C^- \right) \right\} \\ = [v_1, v_2, \dots, v_n] \quad (4)$$

Alternatives are rating according Euclidean distance to  $I$  (2). Ranking is based in the global distance to the ideal. If alternative  $x_i$  is closer to  $I$  the distance measure ( $s_i$  closer) better is the alternative [18].

### 3 Case study

A demonstrative example is given below. In the stage of establishing the evaluation framework, the domain in which the information will be verbalized is selected.

The following linguistic terms are used (Table 1).

Linguistic terms	SVNSs
Extremely good (EG)	(1,0,0)
Very very good (VVG)	(0.9, 0.1, 0.1)
Very good (VG)	(0.8,0,15,0.20)
Good (G)	(0.70,0.25,0.30)
Medium good (MG)	(0.60,0.35,0.40)
Medium (M)	(0.50,0.50,0.50)
Medium bad (MB)	(0.40,0.65,0.60)
Bad (B)	(0.30,0.75,0.70)
Very bad (VB)	(0.20,0.85,0.80)
Very very bad (VVB)	(0.10,0.90,0.90)
Extremely bad (EB)	(0,1,1)

**Table 1.** Linguistic terms used to provide the assessments [16].

Three core competencies are evaluated in three students.

$c_1$ : Analyze, identify and define the requirements that must be met by a computer system to solve problems or achieve objectives of organizations and individuals.

$c_2$ : Manage Databases through a Database Management System (DBMS).

$c_3$ : Plan and manage software development projects.

Once the prioritization framework is established, the information is obtained.

	$e_1$	$e_2$	$e_3$
$c_1$	MDB	M	MMB
$c_2$	B	MMB	B
$c_3$	B	MDM	MB

**Table 2:** Preferences given by experts

From this information, the ideal alternative is calculated.

The ideal alternative results:

$$E^+ = (MMB, MMB, MB)$$

The results of the calculation of the distances allow us to order the students according to the achievement of the competences. In this case the priority order is the following:

$$e_3 > e_1 > e_2$$

Student	Distance
$e_1$	0.35355339

$e_2$	0.59160798
$e_3$	0.18484228

**Table 3:** Distance calculation

Among the advantages found by the specialists are the relative ease of the technique. The results also show the applicability of SVN-based decision support models to competency assessment.

### Conclusions

In this paper, a competency assessment model was presented. The students were evaluated by means of the SVN numbers and the Euclidean distance for the treatment of neutrality.

Further works will concentrate extending the model for dealing with heterogeneous information [19] and a multi-expert setting. Another area of future work is the developing of new aggregation operators based on SVN numbers specially compensatory operators [20].

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# An extended TOPSIS for multi-attribute decision making problems with neutrosophic cubic information

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**Abstract.** The paper proposes a new technique for dealing with multi-attribute decision making problems through an extended TOPSIS method under neutrosophic cubic environment. Neutrosophic cubic set is the generalized form of cubic set and is the hybridization of a neutrosophic set with an interval neutrosophic set. In this study, we have defined some operation rules for neutrosophic cubic sets and proposed the Euclidean distance between neutrosophic cubic sets. In the decision making situation, the rating of alternatives with respect to some

predefined attributes are presented in terms of neutrosophic cubic information where weights of the attributes are completely unknown. In the selection process, neutrosophic cubic positive and negative ideal solutions have been defined. An extended TOPSIS method is then proposed for ranking the alternatives and finally choosing the best one. Lastly, an illustrative example is solved to demonstrate the decision making procedure and effectiveness of the developed approach.

**Keywords:** TOPSIS; neutrosophic sets; interval neutrosophic set; neutrosophic cubic sets; multi-attribute decision making.

## 1 Introduction

Smarandache [1] proposed neutrosophic set (NS) that assumes values from real standard or non-standard subsets of  $[0, 1]^+$ . Wang et al. [2] defined single valued neutrosophic set (SVNS) that assumes values from the unit interval  $[0, 1]$ . Wang et al. [3] also extended the concept of NS to interval neutrosophic set (INS) and presented the set-theoretic operators and different properties of INSs. Multi-attribute decision making (MADM) problems with neutrosophic information caught much attention in recent years due to the fact that the incomplete, indeterminate and inconsistent information about alternatives with regard to predefined attributes are easily described under neutrosophic setting. In interval neutrosophic environment, Chi and Liu [4] at first established an extended technique for order preference by similarity to ideal solution (TOPSIS) method [5] for solving MADM problems with interval neutrosophic information to get the most preferable alternative. Şahin, and Yiğider [6] discussed TOPSIS method for multi-criteria decision making (MCDM) problems with single neutrosophic values for supplier selection problem. Zhang and Wu [7] developed an extended TOPSIS for single valued neutrosophic MCDM problems where the incomplete weights are

obtained by maximizing deviation method. Ye [8] proposed an extended TOPSIS method for solving MADM problems under interval neutrosophic uncertain linguistic variables. Biswas et al. [9] studied TOPSIS method for solving multi-attribute group decision making problems with single-valued neutrosophic information where weighted averaging operator is employed to aggregate the individual decision maker's opinion into group opinion. In 2016, Ali et al. [10] proposed the notion of neutrosophic cubic set (NCS) by extending the concept of cubic set to neutrosophic cubic set. Ali et al. [10] also defined internal neutrosophic cubic set (INCS) and external neutrosophic cubic set (ENCS),  $\frac{1}{3}$ -INCS ( $\frac{2}{3}$ -ENCS),  $\frac{2}{3}$ -INCS ( $\frac{1}{3}$ -ENCS) and also proposed some relevant properties. In the same study, Ali et al. [10] proposed Hamming distance between two NCSs and developed a decision making technique via similarity measures of two NCSs in pattern recognition problems. Jun et al. [11] studied the notions of truth-internal (indeterminacy-internal, falsity-internal) neutrosophic cubic sets and truth-external (indeterminacy-external, falsity-external) neutrosophic cubic and investigated related properties. Pramanik et al. [12] defined similarity measure for neutrosophic cubic sets

and proved its basic properties. In the same study, Pramanik et al. [12] developed multi criteria group decision making method with linguistic variables in neutrosophic cubic set environment.

In this paper, we develop a new approach for MADM problems with neutrosophic cubic assessments by using TOPSIS method where weights of the attributes are unknown to the decision maker (DM). We define a few operations on NCSs and propose the Euclidean distance between two NCSs. We define accumulated arithmetic operator (AAO) to convert neutrosophic cubic values (NCVs) to single neutrosophic values (SNVs). We also define neutrosophic cubic positive ideal solution (NCPIS) and neutrosophic cubic negative ideal solution (NCNIS) in this study. The rest of the paper is organized in the following way. Section 2 recalls some basic definitions which are useful for the construction of the paper. Subsection 2.1 provides several operational rules of NCSs. Section 3 is devoted to present an extended TOPSIS method for MADM problems in neutrosophic cubic set environment. In Section 4, we solve an illustrative example to demonstrate the applicability and effectiveness of the proposed approach. Finally, the last Section presents concluding remarks and future scope research.

**2 The basic definitions**

**Definition: 1**

**Fuzzy sets [13]:** Consider  $U$  be a universe. A fuzzy set  $\Phi$  over  $U$  is defined as follows:

$$\Phi = \{ \langle x, \mu_\Phi(x) \rangle \mid x \in U \}$$

where  $\mu_\Phi(x) : U \rightarrow [0, 1]$  is termed as the membership function of  $\Phi$  and  $\mu_\Phi(x)$  represents the degree of membership to which  $x \in \Phi$ .

**Definition: 2**

**Interval valued fuzzy sets [14]:** An interval-valued fuzzy set (IVFS)  $\Theta$  over  $U$  is represented as follows:

$$\Theta = \{ \langle x, \Theta^-(x), \Theta^+(x) \rangle \mid x \in U \}$$

where  $\Theta^-(x), \Theta^+(x)$  denote the lower and upper degrees of membership of the element  $x \in U$  to the set  $\Theta$  with  $0 \leq \Theta^-(x) + \Theta^+(x) \leq 1$ .

**Definition: 3**

**Cubic sets [15]:** A cubic set  $\Psi$  in a non-empty set  $U$  is a structure defined as follows:

$$\Psi = \{ \langle x, \Theta(x), \Phi(x) \rangle \mid x \in U \}$$

where  $\Theta$  and  $\Phi$  respectively represent an interval valued fuzzy set and a fuzzy set. A cubic set  $\Psi$  is denoted by  $\Psi = \langle \Theta, \Phi \rangle$ .

**Definition: 4**

**Internal cubic sets [15]:** A cubic set  $\Psi = \langle \Theta, \Phi \rangle$  in  $U$  is said to be internal cubic set (ICS) if  $\Theta^-(x) \leq \mu(x) \leq \Theta^+(x)$  for all  $x \in U$ .

**Definition: 5**

**External cubic sets [15]:** A cubic set  $\Psi = \langle \Theta, \Phi \rangle$  in  $U$  is called external cubic set (ECS) if  $\mu(x) \notin (\Theta^-(x), \Theta^+(x))$  for all  $x \in U$ .

**Definition: 6**

Consider  $\Psi_1 = \langle \Theta_1, \Phi_1 \rangle$  and  $\Psi_2 = \langle \Theta_2, \Phi_2 \rangle$  be two cubic sets in  $U$ , then we have the following relations [15].

1. (Equality)  $\Psi_1 = \Psi_2$  if and only if  $\Theta_1 = \Theta_2$  and  $\mu_1 = \mu_2$ .
2. (P-order)  $\Psi_1 \subseteq_P \Psi_2$  if and only if  $\Theta_1 \subseteq \Theta_2$  and  $\mu_1 \leq \mu_2$ .
3. (R-order)  $\Psi_1 \subseteq_R \Psi_2$  if and only if  $\Theta_1 \subseteq \Theta_2$  and  $\mu_1 \geq \mu_2$ .

**Definition: 7**

**Neutrosophic set [1]:** Consider  $U$  be a space of objects, then a neutrosophic set (NS)  $\chi$  on  $U$  is defined as follows:

$$\chi = \{ x, \langle \alpha(x), \beta(x), \gamma(x) \rangle \mid x \in U \}$$

where  $\alpha(x), \beta(x), \gamma(x) : U \rightarrow ]0, 1^+[$  define respectively the degrees of truth-membership, indeterminacy-membership, and falsity-membership of an element  $x \in U$  to the set  $\chi$  with  $0 \leq \sup \alpha(x) + \sup \beta(x) + \sup \gamma(x) \leq 3^+$ .

**Definition: 8**

**Interval neutrosophic sets [9]:** An INS  $\Gamma$  in the universal space  $U$  is defined as follows:

$$\Gamma = \{ x, \langle [\Gamma_\alpha^-(x), \Gamma_\alpha^+(x)], [\Gamma_\beta^-(x), \Gamma_\beta^+(x)], [\Gamma_\gamma^-(x), \Gamma_\gamma^+(x)] \rangle \mid x \in U \}$$

where,  $\Gamma_\alpha(x), \Gamma_\beta(x), \Gamma_\gamma(x)$  are the truth-membership function, indeterminacy-membership function, and falsity-membership function, respectively with  $\Gamma_\alpha(x), \Gamma_\beta(x), \Gamma_\gamma(x) \subseteq [0, 1]$  for each point  $x \in U$  and  $0 \leq \sup \Gamma_\alpha(x) + \sup \Gamma_\beta(x) + \sup \Gamma_\gamma(x) \leq 3$ .

**Definition: 9****Neutrosophic cubic sets [15]**

A neutrosophic cubic set (NCS)  $\Xi$  in a universe  $U$  is presented in the following form:

$$\Xi = \{ \langle x, \Gamma(x), \chi(x) \rangle \mid x \in U \}$$

where  $\Gamma$  and  $\chi$  are respectively an interval neutrosophic set and a neutrosophic set in  $U$ .

However, NSs take the values from]  $0, 1^+$ [ and single-valued neutrosophic set defined by Wang *et al.* [2] is appropriate for dealing with real world problems. Therefore, the definition of NCS should be modified in order to solve practical decision making purposes. Hence, a neutrosophic cubic set (NCS)  $\Xi$  in  $U$  is defined as follows:

$$\Xi = \{ \langle x, \Gamma(x), \chi(x) \rangle \mid x \in U \}$$

Here,  $\Gamma$  and  $\chi$  are respectively an INS and a SVNS in  $U$  where  $0 \leq \alpha(x) + \beta(x) + \gamma(x) \leq 3$  for each point  $x \in U$ . Generally, a NCS is denoted by  $\Xi = \langle \Gamma, \chi \rangle$  and sets of all NCS over  $U$  will be represented by  $NCS^U$ .

**Example 1.** Assume that  $U = \{u_1, u_2, u_3, u_4\}$  be a universal set. An INS  $A$  in  $U$  is defined as

$$\Gamma = \{ \langle [0.15, 0.3], [0.25, 0.4], [0.6, 0.75] \rangle / u_1 + \langle [0.25, 0.35], [0.35, 0.45], [0.4, 0.65] \rangle / u_2 + \langle [0.35, 0.5], [0.25, 0.35], [0.55, 0.85] \rangle / u_3 + \langle [0.7, 0.8], [0.15, 0.45], [0.2, 0.3] \rangle / u_4 \}$$

and a SVNS  $\chi$  in  $U$  defined by

$$\chi = \{ \langle 0.35, 0.3, 0.15 \rangle / u_1, \langle 0.5, 0.1, 0.4 \rangle / u_2, \langle 0.25, 0.03, 0.35 \rangle / u_3, \langle 0.85, 0.1, 0.15 \rangle / u_4 \}$$

Then  $\Xi = \langle A, \chi \rangle$  is represented as a NCS in  $U$ .

**Definition: 10**

**Internal neutrosophic cubic set [10]:** Consider  $\Xi = \langle \Gamma, \chi \rangle \in NCS^U$ , if  $\Gamma_\alpha^-(x) \leq \alpha(x) \leq \Gamma_\alpha^+(x)$ ;  $\Gamma_\beta^-(x) \leq \beta(x) \leq \Gamma_\beta^+(x)$ ; and  $\Gamma_\gamma^-(x) \leq \gamma(x) \leq \Gamma_\gamma^+(x)$  for all  $x \in U$ , then  $\Xi$  is said to be an internal neutrosophic cubic set (INCS).

**Example 2.** Consider  $\Xi = \langle \Gamma, \chi \rangle \in NCS^U$ , if  $\Gamma(x) = \langle [0.65, 0.8], [0.1, 0.25], [0.2, 0.4] \rangle$  and  $\chi(x) = \langle 0.7, 0.2, 0.3 \rangle$  for all  $x \in U$ , then  $\Xi = \langle \Gamma, \chi \rangle$  is an INCS.

**Definition: 11**

**External neutrosophic cubic set [10]:** Consider  $\Xi = \langle \Gamma, \chi \rangle \in NCS^U$ , if  $\alpha(x) \notin (\Gamma_\alpha^-(x), \Gamma_\alpha^+(x))$ ;  $\beta(x) \notin (\Gamma_\beta^-(x), \Gamma_\beta^+(x))$ ; and  $\gamma(x) \notin (\Gamma_\gamma^-(x), \Gamma_\gamma^+(x))$  for all  $x \in U$ , then  $\Xi = \langle \Gamma, \chi \rangle$  is said to be an external neutrosophic cubic set (ENCS).

**Example 3.** Consider  $\Xi = \langle \Gamma, \chi \rangle \in NCS^U$ , if  $\Gamma(x) = \langle [0.65, 0.8], [0.1, 0.25], [0.2, 0.4] \rangle$  and  $\chi(x) = \langle 0.85, 0.3, 0.1 \rangle$  for all  $x \in U$ , then  $\Xi = \langle \Gamma, \chi \rangle$  is an ENCS.

**Theorem 1. [10]**

Consider  $\Xi = \langle \Gamma, \chi \rangle \in NCS^U$ , which is not an ENCS, then there exists  $x \in U$  such that

$$\Gamma_\alpha^-(x) \leq \alpha(x) \leq \Gamma_\alpha^+(x); \Gamma_\beta^-(x) \leq \beta(x) \leq \Gamma_\beta^+(x); \text{ or } \Gamma_\gamma^-(x) \leq \gamma(x) \leq \Gamma_\gamma^+(x).$$

**Definition: 12**

$\frac{2}{3}$ -INCS ( $\frac{1}{3}$ -ENCS) [10]: Consider  $\Xi = \langle \Gamma, \chi \rangle \in NCS^U$ ,

if  $\Gamma_\alpha^-(x) \leq \alpha(x) \leq \Gamma_\alpha^+(x)$ ;  $\Gamma_\beta^-(x) \leq \beta(x) \leq \Gamma_\beta^+(x)$ ; and  $\gamma(x) \notin (\Gamma_\gamma^-(x), \Gamma_\gamma^+(x))$  or  $\Gamma_\alpha^-(x) \leq \alpha(x) \leq \Gamma_\alpha^+(x)$ ;  $\Gamma_\gamma^-(x) \leq \gamma(x) \leq \Gamma_\gamma^+(x)$  and  $\beta(x) \notin (\Gamma_\beta^-(x), \Gamma_\beta^+(x))$  or  $\Gamma_\beta^-(x) \leq \beta(x) \leq \Gamma_\beta^+(x)$ ; and  $\Gamma_\gamma^-(x) \leq \gamma(x) \leq \Gamma_\gamma^+(x)$  and  $\alpha(x) \notin (\Gamma_\alpha^-(x), \Gamma_\alpha^+(x))$  for all  $x \in U$ , then  $\Xi = \langle \Gamma, \chi \rangle$  is said to be an  $\frac{2}{3}$ -INCS or  $\frac{1}{3}$ -ENCS.

**Example 4.** Consider  $\Xi = \langle \Gamma, \chi \rangle \in NCS^U$ , if  $\Gamma(x) = \langle [0.5, 0.7], [0.1, 0.2], [0.2, 0.45] \rangle$  and  $\chi(x) = \langle 0.65, 0.3, 0.4 \rangle$  for all  $x \in U$ , then  $\Xi = \langle \Gamma, \chi \rangle$  is an  $\frac{2}{3}$ -INCS or  $\frac{1}{3}$ -ENCS.

**Definition: 13**

$\frac{1}{3}$ -INCS ( $\frac{2}{3}$ -ENCS) [10]: Consider  $\Xi = \langle \Gamma, \chi \rangle \in NCS^U$ , if  $\Gamma_\alpha^-(x) \leq \alpha(x) \leq \Gamma_\alpha^+(x)$ ;  $\beta(x) \notin (\Gamma_\beta^-(x), \Gamma_\beta^+(x))$ ; and  $\gamma(x) \notin (\Gamma_\gamma^-(x), \Gamma_\gamma^+(x))$  or  $\Gamma_\gamma^-(x) \leq \gamma(x) \leq \Gamma_\gamma^+(x)$ ;  $\alpha(x) \notin (\Gamma_\alpha^-(x), \Gamma_\alpha^+(x))$  and  $\beta(x) \notin (\Gamma_\beta^-(x), \Gamma_\beta^+(x))$  or  $\Gamma_\beta^-(x) \leq \beta(x) \leq \Gamma_\beta^+(x)$ ;  $\alpha(x) \notin (\Gamma_\alpha^-(x), \Gamma_\alpha^+(x))$  and  $\gamma(x) \notin (\Gamma_\gamma^-(x), \Gamma_\gamma^+(x))$  for all  $x \in U$ , then  $\Xi = \langle \Gamma, \chi \rangle$  is said to be an  $\frac{1}{3}$ -INCS or  $\frac{2}{3}$ -ENCS.

**Example 5.** Consider  $\Xi = \langle \Gamma, \chi \rangle \in NCS^U$ , if  $\Gamma(x) = \langle [0.5, 0.8], [0.15, 0.25], [0.2, 0.35] \rangle$  and  $\chi(x) = \langle 0.55, 0.4, 0.5 \rangle$  for all  $x \in U$ , then  $\Xi = \langle \Gamma, \chi \rangle$  is an  $\frac{1}{3}$ -INCS or  $\frac{2}{3}$ -ENCS.

**Definition: 14 [10]**

Consider  $\Xi_1 = \langle \Gamma_1, \chi_1 \rangle$  and  $\Xi_2 = \langle \Gamma_2, \chi_2 \rangle$  be two NCSs in  $U$ , then

1. (Equality)  $\mathcal{E}_1 = \mathcal{E}_2$  if and only if  $\Gamma_1 = \Gamma_2$  and  $\chi_1 = \chi_2$ .
2. (P-order)  $\mathcal{E}_1 \subseteq_P \mathcal{E}_2$  if and only if  $\Gamma_1 \subseteq \Gamma_2$  and  $\chi_1 \subseteq \chi_2$ .
3. (R-order)  $\mathcal{E}_1 \subseteq_R \mathcal{E}_2$  if and only if  $\Gamma_1 \subseteq \Gamma_2$  and  $\chi_1 \supseteq \chi_2$ .
4. Consider  $p_1 = \langle ([\Gamma_{\alpha_1}^-, \Gamma_{\alpha_1}^+], [\Gamma_{\beta_1}^-, \Gamma_{\beta_1}^+], [\Gamma_{\gamma_1}^-, \Gamma_{\gamma_1}^+]) \rangle$ ,  $(\alpha_1, \beta_1, \gamma_1) >$  be a NCV and  $\kappa$  be an arbitrary positive real number, then  $\kappa p_1$  and  $p_1^\kappa$  are defined as follows:
  - (i)  $\kappa p_1 = \langle ([1 - (1 - \Gamma_{\alpha_1}^-)^\kappa, 1 - (1 - \Gamma_{\alpha_1}^+)^\kappa], [(\Gamma_{\beta_1}^-)^\kappa, (\Gamma_{\beta_1}^+)^\kappa], [(\Gamma_{\gamma_1}^-)^\kappa, (\Gamma_{\gamma_1}^+)^\kappa]) \rangle$ ,  $(1 - (1 - \alpha_1)^\kappa, (\beta_1)^\kappa, (\gamma_1)^\kappa) >$ ;
  - (ii)  $p_1^\kappa = \langle ([(\Gamma_{\alpha_1}^-)^\kappa, (\Gamma_{\alpha_1}^+)^\kappa], [1 - (1 - \Gamma_{\beta_1}^-)^\kappa, 1 - (1 - \Gamma_{\beta_1}^+)^\kappa], [1 - (1 - \Gamma_{\gamma_1}^-)^\kappa, 1 - (1 - \Gamma_{\gamma_1}^+)^\kappa]) \rangle$ ,  $((\alpha_1)^\kappa, 1 - (1 - \beta_1)^\kappa, 1 - (1 - \gamma_1)^\kappa) >$ .

For convenience,  $p = \langle ([\Gamma_{\alpha}^-, \Gamma_{\alpha}^+], [\Gamma_{\beta}^-, \Gamma_{\beta}^+], [\Gamma_{\gamma}^-, \Gamma_{\gamma}^+]) \rangle$ ,  $(\alpha, \beta, \gamma) >$  is said to represent neutrosophic cubic value (NCV)

**Definition: 15**

**Complement [10]:** Consider  $\mathcal{E} = \langle \Gamma, \chi \rangle$  be an NCS, then the complement of  $\mathcal{E} = \langle \Gamma, \chi \rangle$  is given by

$$\mathcal{E}^C = \{ \langle x, \Gamma^{\tilde{C}}(x), \chi^{\tilde{C}}(x) \rangle \mid x \in U \}.$$

**2.1 Several operational rules of NCVs**

Consider  $p_1 = \langle ([\Gamma_{\alpha_1}^-, \Gamma_{\alpha_1}^+], [\Gamma_{\beta_1}^-, \Gamma_{\beta_1}^+], [\Gamma_{\gamma_1}^-, \Gamma_{\gamma_1}^+]) \rangle$ ,  $(\alpha_1, \beta_1, \gamma_1) >$  and  $p_2 = \langle ([\Gamma_{\alpha_2}^-, \Gamma_{\alpha_2}^+], [\Gamma_{\beta_2}^-, \Gamma_{\beta_2}^+], [\Gamma_{\gamma_2}^-, \Gamma_{\gamma_2}^+]) \rangle$ ,  $(\alpha_2, \beta_2, \gamma_2) >$  be two NCVs in  $U$ , then the operational rules are presented as follows:

1. The complement [10] of  $p_1$  is  $p_1^C = \langle ([\Gamma_{\alpha_1}^-, \Gamma_{\alpha_1}^+], [1 - \Gamma_{\beta_1}^+, 1 - \Gamma_{\beta_1}^-], [\Gamma_{\alpha_1}^-, \Gamma_{\alpha_1}^+]) \rangle$ ,  $(\gamma_1, 1 - \beta_1, \alpha_1) >$ .
2. The summation between  $p_1$  and  $p_2$  is defined as follows:

$$p_1 \oplus p_2 = \langle ([\Gamma_{\alpha_1}^- + \Gamma_{\alpha_2}^- - \Gamma_{\alpha_1}^-, \Gamma_{\alpha_2}^-, \Gamma_{\alpha_1}^+ + \Gamma_{\alpha_2}^+ - \Gamma_{\alpha_1}^+, \Gamma_{\alpha_2}^+], [\Gamma_{\beta_1}^-, \Gamma_{\beta_2}^-, \Gamma_{\beta_1}^+, \Gamma_{\beta_2}^+], [\Gamma_{\gamma_1}^-, \Gamma_{\gamma_2}^-, \Gamma_{\gamma_1}^+, \Gamma_{\gamma_2}^+]) \rangle, (\alpha_1 + \alpha_2 - \alpha_1 \alpha_2, \beta_1 \beta_2, \gamma_1 \gamma_2) >$$

3. The multiplication between  $p_1$  and  $p_2$  is defined as follows:

$$p_1 \otimes p_2 = \langle ([\Gamma_{\alpha_1}^-, \Gamma_{\alpha_2}^-, \Gamma_{\alpha_1}^+, \Gamma_{\alpha_2}^+], [\Gamma_{\beta_1}^- + \Gamma_{\beta_2}^- - \Gamma_{\beta_1}^-, \Gamma_{\beta_2}^-, \Gamma_{\beta_1}^+ + \Gamma_{\beta_2}^+ - \Gamma_{\beta_1}^+, \Gamma_{\beta_2}^+], [\Gamma_{\gamma_1}^- + \Gamma_{\gamma_2}^- - \Gamma_{\gamma_1}^-, \Gamma_{\gamma_2}^-, \Gamma_{\gamma_1}^+ + \Gamma_{\gamma_2}^+ - \Gamma_{\gamma_1}^+, \Gamma_{\gamma_2}^+]) \rangle, (\alpha_1 \alpha_2, \beta_1 + \beta_2 - \beta_1 \beta_2, \gamma_1 + \gamma_2 - \gamma_1 \gamma_2) >$$

**Definition: 16 [10]**

Consider  $\mathcal{E}_1 = \langle \Gamma_1, \chi_1 \rangle$  and  $\mathcal{E}_2 = \langle \Gamma_2, \chi_2 \rangle$  be two NCSs in  $U$ , then the Hamming distance between  $\mathcal{E}_1$  and  $\mathcal{E}_2$  is defined as follows:

$$D_H(\mathcal{E}_1, \mathcal{E}_2) = \frac{1}{9n} \sum_{i=1}^n (|\Gamma_{1\alpha}(x_i) - \Gamma_{2\alpha}(x_i)| + |\Gamma_{1\beta}(x_i) - \Gamma_{2\beta}(x_i)| + |\Gamma_{1\gamma}(x_i) - \Gamma_{2\gamma}(x_i)| + |\Gamma_{1\alpha}^+(x_i) - \Gamma_{2\alpha}^+(x_i)| + |\Gamma_{1\beta}^+(x_i) - \Gamma_{2\beta}^+(x_i)| + |\Gamma_{1\gamma}^+(x_i) - \Gamma_{2\gamma}^+(x_i)| + |\alpha_1(x_i) - \alpha_2(x_i)| + |\beta_1(x_i) - \beta_2(x_i)| + |\gamma_1(x_i) - \gamma_2(x_i)|).$$

**Example 7:** Suppose that  $\mathcal{E}_1 = \langle \Gamma_1, \chi_1 \rangle = \langle ([0.6, 0.75], [0.15, 0.25], [0.25, 0.45]) \rangle$ ,  $(0.8, 0.35, 0.15) >$  and  $\mathcal{E}_2 = \langle \Gamma_2, \chi_2 \rangle = \langle ([0.45, 0.7], [0.1, 0.2], [0.05, 0.2]) \rangle$ ,  $(0.3, 0.15, 0.45) >$  be two NCSs in  $U$ , then  $D_H(\mathcal{E}_1, \mathcal{E}_2) = 0.1944$ .

**Definition: 17**

Consider  $\mathcal{E}_1 = \langle \Gamma_1, \chi_1 \rangle$  and  $\mathcal{E}_2 = \langle \Gamma_2, \chi_2 \rangle$  be two NCSs in  $U$ , then the Euclidean distance between  $\mathcal{E}_1$  and  $\mathcal{E}_2$  is defined as given below.

$$D_E(\mathcal{E}_1, \mathcal{E}_2) = \sqrt{\frac{1}{9n} \sum_{i=1}^n \left( (\Gamma_{1\alpha}(x_i) - \Gamma_{2\alpha}(x_i))^2 + (\Gamma_{1\alpha}^+(x_i) - \Gamma_{2\alpha}^+(x_i))^2 + (\Gamma_{1\beta}(x_i) - \Gamma_{2\beta}(x_i))^2 + (\Gamma_{1\beta}^+(x_i) - \Gamma_{2\beta}^+(x_i))^2 + (\Gamma_{1\gamma}(x_i) - \Gamma_{2\gamma}(x_i))^2 + (\Gamma_{1\gamma}^+(x_i) - \Gamma_{2\gamma}^+(x_i))^2 + (\alpha_1(x_i) - \alpha_2(x_i))^2 + (\beta_1(x_i) - \beta_2(x_i))^2 + (\gamma_1(x_i) - \gamma_2(x_i))^2 \right)}$$

with the condition  $0 \leq D_E(\mathcal{E}_1, \mathcal{E}_2) \leq 1$ .

**Example 8:** Suppose that  $\mathcal{E}_1 = \langle \Gamma_1, \chi_1 \rangle = \langle ([0.4, 0.5], [0.1, 0.2], [0.25, 0.5]) \rangle$ ,  $(0.4, 0.3, 0.25) >$  and  $\mathcal{E}_2 = \langle \Gamma_2, \chi_2 \rangle = \langle ([0.5, 0.9], [0.15, 0.3], [0.05, 0.1]) \rangle$ ,  $(0.7, 0.1,$

0.15) > be two NCSs in  $U$ , then  $D_E(\mathcal{E}_1, \mathcal{E}_2) = 0.2409$ .

### 3 An extended TOPSIS method for MADM problems under neutrosophic cubic set environment

In this Section, we introduce a new extended TOPSIS method to handle MADM problems involving neutrosophic cubic information. Consider  $B = \{B_1, B_2, \dots, B_m\}$ , ( $m \geq 2$ ) be a discrete set of  $m$  feasible alternatives and  $C = \{C_1, C_2, \dots, C_n\}$ , ( $n \geq 2$ ) be a set of attributes. Also, let  $w = (w_1, w_2, \dots, w_n)^T$  be the unknown weight vector of the attributes with  $0 \leq w_j \leq 1$  such that  $\sum_{j=1}^n w_j = 1$ . Suppose that

the rating of alternative  $B_i$  ( $i = 1, 2, \dots, m$ ) with respect to the attribute  $C_j$  ( $j = 1, 2, \dots, n$ ) is described by  $a_{ij}$  where  $a_{ij} = \langle ([\Gamma_{\alpha_{ij}}^-, \Gamma_{\alpha_{ij}}^+], [\Gamma_{\beta_{ij}}^-, \Gamma_{\beta_{ij}}^+], [\Gamma_{\gamma_{ij}}^-, \Gamma_{\gamma_{ij}}^+]), (\alpha_{ij}, \beta_{ij}, \gamma_{ij}) \rangle$ .

The proposed approach for ranking the alternatives under neutrosophic cubic environment is shown using the following steps:

#### Step 1. Construction and standardization of decision matrix with neutrosophic cubic information

Consider the rating of alternative  $B_i$  ( $i = 1, 2, \dots, m$ ) with respect to the predefined attribute  $C_j$ , ( $j = 1, 2, \dots, n$ ) be presented by the decision maker in the neutrosophic cubic decision matrix ( See eqn. 1).

$$\langle a_{ij} \rangle_{m \times n} = \begin{bmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \dots & \dots & \dots & \dots \\ a_{m1} & a_{m2} & \dots & a_{mn} \end{bmatrix} \quad (1)$$

In general, there are two types of attributes appear in the decision making circumstances namely (i) benefit type attributes  $\in J_1$ , where the more attribute value denotes better alternative (ii) cost type attributes  $\in J_2$ , where the less attribute value denotes better alternative. We standardize the above decision matrix  $\langle a_{ij} \rangle_{m \times n}$  in order to remove the influence of diverse physical dimensions to decision results.

Consider  $\langle s_{ij} \rangle_{m \times n}$  be the standardize decision matrix, where

$$s_{ij} = \langle ([\dot{\Gamma}_{\alpha_{ij}}^-, \dot{\Gamma}_{\alpha_{ij}}^+], [\dot{\Gamma}_{\beta_{ij}}^-, \dot{\Gamma}_{\beta_{ij}}^+], [\dot{\Gamma}_{\gamma_{ij}}^-, \dot{\Gamma}_{\gamma_{ij}}^+]), (\dot{\alpha}_{ij}, \dot{\beta}_{ij}, \dot{\gamma}_{ij}) \rangle,$$

where

$$s_{ij} = a_{ij}, \text{ if the attribute } j \text{ is benefit type;}$$

$$s_{ij} = a_{ij}^c, \text{ if the attribute } j \text{ is cost type.}$$

Here  $a_{ij}^c$  denotes the complement of  $a_{ij}$ .

#### Step 2. Identify the weights of the attributes

To determine the unknown weight of attribute in the decision making situation is a difficult task for DM. Generally, weights of the attributes are dissimilar and they play a decisive role in finding the ranking order of the alternatives. Pramanik and Mondal [16] defined arithmetic averaging operator (AAO) in order to transform interval neutrosophic numbers to SVNns. Based on the concept of Pramanik and Mondal [16], we define AAO to transform NCVs to SNVs as follows:

$$NC_{ij} \langle \dot{\Gamma}_{\alpha_{ij}}^-, \dot{\Gamma}_{\beta_{ij}}^-, \dot{\Gamma}_{\gamma_{ij}}^- \rangle = NC_{ij} \left\langle \frac{\dot{\Gamma}_{\alpha_{ij}}^- + \dot{\Gamma}_{\alpha_{ij}}^+ + \dot{\alpha}_{ij}}{3}, \frac{\dot{\Gamma}_{\beta_{ij}}^- + \dot{\Gamma}_{\beta_{ij}}^+ + \dot{\beta}_{ij}}{3}, \frac{\dot{\Gamma}_{\gamma_{ij}}^- + \dot{\Gamma}_{\gamma_{ij}}^+ + \dot{\gamma}_{ij}}{3} \right\rangle$$

In this paper, we utilize information entropy method to find the weights of the attributes  $w_j$  where weights of the attributes are unequal and fully unknown to the DM. Majumdar and Samanta [17] investigated some similarity measures and entropy measures for SVNns where entropy is used to measure uncertain information. Here, we take the following notations:

$$T_{\Omega_p}(x_i) = \left[ \frac{\dot{\Gamma}_{\alpha_{ij}}^- + \dot{\Gamma}_{\alpha_{ij}}^+ + \dot{\alpha}_{ij}}{3} \right], I_{\Omega_p}(x_i) = \left[ \frac{\dot{\Gamma}_{\beta_{ij}}^- + \dot{\Gamma}_{\beta_{ij}}^+ + \dot{\beta}_{ij}}{3} \right],$$

$$F_{\Omega_p}(x_i) = \left[ \frac{\dot{\Gamma}_{\gamma_{ij}}^- + \dot{\Gamma}_{\gamma_{ij}}^+ + \dot{\gamma}_{ij}}{3} \right]$$

Then we can write  $\Omega_p = \langle T_{\Omega_p}(x_i), I_{\Omega_p}(x_i), F_{\Omega_p}(x_i) \rangle$ .

The entropy value is given as follows:

$$E_i(\Omega_p) = 1 - \frac{1}{n} \sum_{i=1}^m (T_{\Omega_p}(x_i) + F_{\Omega_p}(x_i)) |I_{\Omega_p}(x_i) - I_{\Omega_p}^c(x_i)|$$

which has the following properties:

- (i).  $E_i(\Omega_p) = 0$  if  $\Omega_p$  is a crisp set and  $I_{\Omega_p}(x_i) = 0$ ,  $F_{\Omega_p}(x_i) = 0 \forall x \in E$ .
- (ii).  $E_i(\Omega_p) = 0$  if  $\langle T_{\Omega_p}(x_i), I_{\Omega_p}(x_i), F_{\Omega_p}(x_i) \rangle = \langle T_{\Omega_p}(x_i), 0.5, F_{\Omega_p}(x_i) \rangle, \forall x \in E$ .
- (iii).  $E_i(\Omega_p) \geq E_i(\Omega_Q)$  if  $\Omega_p$  is more uncertain than  $\Omega_Q$  i.e.

$$T_{\Omega_p}(x_i) + F_{\Omega_p}(x_i) \leq T_{\Omega_Q}(x_i) + F_{\Omega_Q}(x_i)$$

$$\text{and } |I_{\Omega_p}(x_i) - I_{\Omega_p}^c(x_i)| \leq |I_{\Omega_Q}(x_i) - I_{\Omega_Q}^c(x_i)|.$$

- (iv).  $E_i(\Omega_p) = E_i(\Omega_p^c), \forall x \in E$ .

Consequently, the entropy value  $E_{v_j}$  of the  $j$ -th attribute can be calculated as as follows:

$$Ev_j = 1 - \frac{1}{n} \sum_{i=1}^m (T_{ij}(x_i) + F_{ij}(x_i)) |I_{ij}(x_i) - I_{ij}^C(x_i)|, i = 1, 2, \dots,$$

$m; j = 1, 2, \dots, n.$

We observe that  $0 \leq Ev_j \leq 1$ . Based on Hwang and Yoon [18] and Wang and Zhang [19] the entropy weight of the  $j$ -th attribute is defined as follows:

$$w_j = \frac{1 - Ev_j}{\sum_{j=1}^n (1 - Ev_j)} \text{ with } 0 \leq w_j \leq 1 \text{ and } \sum_{j=1}^n w_j = 1.$$

**Step 3. Formulation of weighted decision matrix**

The weighted decision matrix is obtained by multiplying weights of the attributes ( $w_j$ ) and the standardized decision matrix  $\langle s_{ij} \rangle_{m \times n}$ . Therefore, the weighted neutrosophic cubic decision matrix  $\langle z_{ij} \rangle_{m \times n}$  is obtained as:

$$\langle z_{ij} \rangle_{m \times n} = w_j \otimes \langle a_{ij} \rangle_{m \times n} = \begin{bmatrix} w_1 s_{11} & w_2 s_{12} & \dots & w_n s_{1n} \\ w_1 s_{21} & w_2 s_{22} & \dots & w_n s_{2n} \\ \dots & \dots & \dots & \dots \\ w_1 s_{m1} & w_2 s_{m2} & \dots & w_n s_{mn} \end{bmatrix}$$

$$= \begin{bmatrix} z_{11} & z_{12} & \dots & z_{1n} \\ z_{21} & z_{22} & \dots & z_{2n} \\ \dots & \dots & \dots & \dots \\ z_{m1} & z_{m2} & \dots & z_{mn} \end{bmatrix}$$

where

$$z_{ij} = \langle ([\tilde{I}_{\alpha_{ij}}^-, \tilde{I}_{\alpha_{ij}}^+], [\tilde{I}_{\beta_{ij}}^-, \tilde{I}_{\beta_{ij}}^+], [\tilde{I}_{\gamma_{ij}}^-, \tilde{I}_{\gamma_{ij}}^+]), (\tilde{\alpha}_{ij}, \tilde{\beta}_{ij}, \tilde{\gamma}_{ij}) \rangle >$$

$$= \langle ([1 - (1 - \tilde{I}_{\alpha_{ij}}^-)^{w_j}], 1 - (1 - \tilde{I}_{\alpha_{ij}}^+)^{w_j}),$$

$$[(\tilde{I}_{\beta_{ij}}^-)^{w_j}, (\tilde{I}_{\beta_{ij}}^+)^{w_j}], [(\tilde{I}_{\gamma_{ij}}^-)^{w_j}, (\tilde{I}_{\gamma_{ij}}^+)^{w_j}], (1 - (1 - \tilde{\alpha}_{ij})^{w_j},$$

$$(\tilde{\beta}_{ij})^{w_j}, (\tilde{\gamma}_{ij})^{w_j}) \rangle$$

**Step 4. Selection of neutrosophic cubic positive ideal solution (NCPIS) and neutrosophic cubic negative ideal solution (NCNIS)**

Consider  $z^U = (z_1^U, z_2^U, \dots, z_n^U)$  and  $z^L = (z_1^L, z_2^L, \dots, z_n^L)$  be the NCPIS and NCNIS respectively, then  $z_j^U$  is defined as follows:

$$z_j^U = \langle ([(\tilde{I}_{\alpha_j}^-)^U, (\tilde{I}_{\alpha_j}^+)^U], [(\tilde{I}_{\beta_j}^-)^U, (\tilde{I}_{\beta_j}^+)^U], [(\tilde{I}_{\gamma_j}^-)^U, (\tilde{I}_{\gamma_j}^+)^U]), ((\tilde{\alpha}_j)^U, (\tilde{\beta}_j)^U, (\tilde{\gamma}_j)^U) \rangle >$$

where

$$(\tilde{I}_{\alpha_j}^-)^U = \{(\max_i \{ \tilde{I}_{\alpha_{ij}}^- \} | j \in J_1), (\min_i \{ \tilde{I}_{\alpha_{ij}}^- \} | j \in J_2)\},$$

$$(\tilde{I}_{\alpha_j}^+)^U = \{(\max_i \{ \tilde{I}_{\alpha_{ij}}^+ \} | j \in J_1), (\min_i \{ \tilde{I}_{\alpha_{ij}}^+ \} | j \in J_2)\},$$

$$(\tilde{I}_{\beta_j}^-)^U = \{(\min_i \{ \tilde{I}_{\beta_{ij}}^- \} | j \in J_1), (\max_i \{ \tilde{I}_{\beta_{ij}}^- \} | j \in J_2)\},$$

$$(\tilde{I}_{\beta_j}^+)^U = \{(\min_i \{ \tilde{I}_{\beta_{ij}}^+ \} | j \in J_1), (\max_i \{ \tilde{I}_{\beta_{ij}}^+ \} | j \in J_2)\},$$

$$(\tilde{I}_{\gamma_j}^-)^U = \{(\min_i \{ \tilde{I}_{\gamma_{ij}}^- \} | j \in J_1), (\max_i \{ \tilde{I}_{\gamma_{ij}}^- \} | j \in J_2)\},$$

$$(\tilde{I}_{\gamma_j}^+)^U = \{(\min_i \{ \tilde{I}_{\gamma_{ij}}^+ \} | j \in J_1), (\max_i \{ \tilde{I}_{\gamma_{ij}}^+ \} | j \in J_2)\},$$

$$(\tilde{\alpha}_j)^U = \{(\max_i \{ \tilde{\alpha}_{ij} \} | j \in J_1), (\min_i \{ \tilde{\alpha}_{ij} \} | j \in J_2)\},$$

$$(\tilde{\beta}_j)^U = \{(\min_i \{ \tilde{\beta}_{ij} \} | j \in J_1), (\max_i \{ \tilde{\beta}_{ij} \} | j \in J_2)\},$$

$$(\tilde{\gamma}_j)^U = \{(\min_i \{ \tilde{\gamma}_{ij} \} | j \in J_1), (\max_i \{ \tilde{\gamma}_{ij} \} | j \in J_2)\};$$

and  $z_j^L$  is defined as given below

$$z_j^L = \langle [(\tilde{I}_{\alpha_j}^-)^L, (\tilde{I}_{\alpha_j}^+)^L], [(\tilde{I}_{\beta_j}^-)^L, (\tilde{I}_{\beta_j}^+)^L], [(\tilde{I}_{\gamma_j}^-)^L, (\tilde{I}_{\gamma_j}^+)^L], ((\tilde{\alpha}_j)^L, (\tilde{\beta}_j)^L, (\tilde{\gamma}_j)^L) \rangle >$$

where  $(\tilde{I}_{\alpha_j}^-)^L = \{(\min_i \{ \tilde{I}_{\alpha_{ij}}^- \} | j \in J_1), (\max_i \{ \tilde{I}_{\alpha_{ij}}^- \} | j \in J_2)\},$

$$(\tilde{I}_{\alpha_j}^+)^L = \{(\min_i \{ \tilde{I}_{\alpha_{ij}}^+ \} | j \in J_1), (\max_i \{ \tilde{I}_{\alpha_{ij}}^+ \} | j \in J_2)\},$$

$$(\tilde{I}_{\beta_j}^-)^L = \{(\max_i \{ \tilde{I}_{\beta_{ij}}^- \} | j \in J_1), (\min_i \{ \tilde{I}_{\beta_{ij}}^- \} | j \in J_2)\},$$

$$(\tilde{I}_{\beta_j}^+)^L = \{(\max_i \{ \tilde{I}_{\beta_{ij}}^+ \} | j \in J_1), (\min_i \{ \tilde{I}_{\beta_{ij}}^+ \} | j \in J_2)\},$$

$$(\tilde{I}_{\gamma_j}^-)^L = \{(\max_i \{ \tilde{I}_{\gamma_{ij}}^- \} | j \in J_1), (\min_i \{ \tilde{I}_{\gamma_{ij}}^- \} | j \in J_2)\},$$

$$(\tilde{I}_{\gamma_j}^+)^L = \{(\max_i \{ \tilde{I}_{\gamma_{ij}}^+ \} | j \in J_1), (\min_i \{ \tilde{I}_{\gamma_{ij}}^+ \} | j \in J_2)\},$$

$$(\tilde{\alpha}_j)^L = \{(\min_i \{ \tilde{\alpha}_{ij} \} | j \in J_1), (\max_i \{ \tilde{\alpha}_{ij} \} | j \in J_2)\},$$

$$(\tilde{\beta}_j)^L = \{(\max_i \{ \tilde{\beta}_{ij} \} | j \in J_1), (\min_i \{ \tilde{\beta}_{ij} \} | j \in J_2)\},$$

$$(\tilde{\gamma}_j)^L = \{(\max_i \{ \tilde{\gamma}_{ij} \} | j \in J_1), (\min_i \{ \tilde{\gamma}_{ij} \} | j \in J_2)\}.$$

**Step 5. Calculate the distance measure of alternatives from NCPIS and NCNIS**

The Euclidean distance measure of each alternative  $B_i, i = 1, 2, \dots, m$  from NCPIS can be defined as follows:

$$D_{E_i}^+ = \sqrt{\frac{1}{9n} \sum_{j=1}^n \left( (\tilde{I}_{\alpha_{ij}}^- - (\tilde{I}_{\alpha_j}^-)^U)^2 + (\tilde{I}_{\alpha_{ij}}^+ - (\tilde{I}_{\alpha_j}^+)^U)^2 + (\tilde{I}_{\beta_{ij}}^- - (\tilde{I}_{\beta_j}^-)^U)^2 + (\tilde{I}_{\beta_{ij}}^+ - (\tilde{I}_{\beta_j}^+)^U)^2 + (\tilde{I}_{\gamma_{ij}}^- - (\tilde{I}_{\gamma_j}^-)^U)^2 + (\tilde{I}_{\gamma_{ij}}^+ - (\tilde{I}_{\gamma_j}^+)^U)^2 + ((\tilde{\alpha}_{ij} - (\tilde{\alpha}_j)^U)^2 + (\tilde{\beta}_{ij} - (\tilde{\beta}_j)^U)^2 + (\tilde{\gamma}_{ij} - (\tilde{\gamma}_j)^U)^2 \right)}$$

Similarly, the Euclidean distance measure of each alternative  $B_i$ ,  $i = 1, 2, \dots, m$  from NCNIS can be written as follows:

$$D_{E_i}^- = \sqrt{\frac{1}{9n} \sum_{j=1}^n \left( (\ddot{I}_{a_{ij}}^- - (\ddot{I}_{a_j}^-)^L)^2 + (\ddot{I}_{a_{ij}}^+ - (\ddot{I}_{a_j}^+)^L)^2 + (\ddot{I}_{\beta_{ij}}^- - (\ddot{I}_{\beta_j}^-)^L)^2 + (\ddot{I}_{\beta_{ij}}^+ - (\ddot{I}_{\beta_j}^+)^L)^2 + (\ddot{I}_{\gamma_{ij}}^- - (\ddot{I}_{\gamma_j}^-)^L)^2 + (\ddot{I}_{\gamma_{ij}}^+ - (\ddot{I}_{\gamma_j}^+)^L)^2 + (\ddot{\alpha}_{ij}^- - (\ddot{\alpha}_j^-)^L)^2 + (\ddot{\beta}_{ij}^- - (\ddot{\beta}_j^-)^L)^2 + (\ddot{\gamma}_{ij}^- - (\ddot{\gamma}_j^-)^L)^2 \right)}$$

**Step 6. Evaluate the relative closeness co-efficient to the neutrosophic cubic ideal solution**

The relative closeness co-efficient  $RCC_i^*$  of each  $B_i$ ,  $i = 1, 2, \dots, m$  with respect to NCPIS  $z_j^U$ ,  $j = 1, 2, \dots, n$  is defined as follows:

$$RCC_i^* = \frac{D_{E_i}^-}{D_{E_i}^+ + D_{E_i}^-}, i = 1, 2, \dots, m.$$

**Step 7. Rank the alternatives**

We obtain the ranking order of the alternatives based on the  $RCC_i^*$ . The bigger value of  $RCC_i^*$  reflects the better alternative.

**4. Numerical example**

In this section, we consider an example of neutrosophic cubic MADM, adapted from Mondal and Pramanik [20] to demonstrate the applicability and the effectiveness of the proposed extended TOPSIS method.

Consider a legal guardian desires to select an appropriate school for his/ her child for basic education [20]. Suppose there are three possible alternatives for his/ her child:

- (1)  $B_1$ , a Christian missionary school
- (2)  $B_2$ , a Basic English medium school
- (3)  $B_3$ , a Bengali medium kindergarten.

He/ She must take a decision based on the following four attributes:

- (1)  $C_1$  is the distance and transport,
- (2)  $C_2$  is the cost,
- (3)  $C_3$  is the staff and curriculum, and
- (4)  $C_4$  is the administrative and other facilities

Here  $C_1$  and  $C_2$  are cost type attributes; while  $C_3$  and  $C_4$  are benefit type attributes. Suppose the weights of the four attributes are unknown. Using the the following steps, we solve the problem.

**Step 1.** The rating of the alternative  $B_i$ ,  $i = 1, 2, 3$  with respect to the alternative  $C_j$ ,  $j = 1, 2, 3, 4$  is represented by neutrosophic cubic assessments. The decision matrix  $\langle a_{ij} \rangle_{3 \times 4}$  is shown in Table 1.

Table 1. Neutrosophic cubic decision matrix

	$C_1$	$C_2$
$B_1$	$\langle ([0.3, 0.4], [0.1, 0.2], [0.2, 0.35]), (0.3, 0.4, 0.25) \rangle$	$\langle ([0.6, 0.7], [0.05, 0.1], [0.2, 0.3]), (0.5, 0.1, 0.25) \rangle$
$B_2$	$\langle ([0.8, 0.9], [0.1, 0.2], [0.15, 0.3]), (0.7, 0.15, 0.3) \rangle$	$\langle ([0.3, 0.5], [0.1, 0.4], [0.3, 0.5]), (0.4, 0.3, 0.2) \rangle$
$B_3$	$\langle ([0.6, 0.7], [0.2, 0.4], [0.25, 0.4]), (0.5, 0.3, 0.3) \rangle$	$\langle ([0.2, 0.35], [0.1, 0.25], [0.2, 0.3]), (0.3, 0.3, 0.4) \rangle$

	$C_3$	$C_4$
$B_1$	$\langle ([0.5, 0.6], [0.2, 0.4], [0.1, 0.3]), (0.5, 0.3, 0.4) \rangle$	$\langle ([0.4, 0.6], [0.1, 0.25], [0.1, 0.3]), (0.5, 0.2, 0.4) \rangle$
$B_2$	$\langle ([0.4, 0.5], [0.2, 0.35], [0.05, 0.2]), (0.35, 0.1, 0.1) \rangle$	$\langle ([0.2, 0.3], [0.2, 0.35], [0.1, 0.25]), (0.4, 0.1, 0.1) \rangle$
$B_3$	$\langle ([0.4, 0.7], [0.1, 0.3], [0.15, 0.25]), (0.5, 0.2, 0.2) \rangle$	$\langle ([0.5, 0.7], [0.1, 0.2], [0.2, 0.25]), (0.3, 0.1, 0.2) \rangle$

**Step 2.** Standardize the decision matrix.

The standardized decision matrix  $\langle s_{ij} \rangle_{3 \times 4}$  is constructed as follows (see Table 2):

Table 2. The standardized neutrosophic cubic decision matrix

	$C_1$	$C_2$
$B_1$	$\langle ([0.2, 0.35], [0.8, 0.9], [0.3, 0.4]), (0.25, 0.6, 0.3) \rangle$	$\langle ([0.2, 0.3], [0.9, 0.95], [0.6, 0.7]), (0.25, 0.9, 0.5) \rangle$
$B_2$	$\langle ([0.15, 0.3], [0.8, 0.9], [0.8, 0.9]), (0.3, 0.85, 0.7) \rangle$	$\langle ([0.3, 0.5], [0.6, 0.9], [0.3, 0.5]), (0.2, 0.7, 0.4) \rangle$
$B_3$	$\langle ([0.25, 0.4], [0.6, 0.8], [0.6, 0.7]), (0.3, 0.7, 0.5) \rangle$	$\langle ([0.2, 0.3], [0.75, 0.9], [0.2, 0.35]), (0.4, 0.7, 0.3) \rangle$

	$C_3$	$C_4$
$B_1$	$\langle ([0.5, 0.6], [0.2, 0.4], [0.1, 0.3]), (0.5, 0.3, 0.4) \rangle$	$\langle ([0.4, 0.6], [0.1, 0.25], [0.1, 0.3]), (0.5, 0.2, 0.4) \rangle$
$B_2$	$\langle ([0.4, 0.5], [0.2, 0.35], [0.05, 0.2]), (0.35, 0.1, 0.1) \rangle$	$\langle ([0.2, 0.3], [0.2, 0.35], [0.1, 0.25]), (0.4, 0.1, 0.1) \rangle$
$B_3$	$\langle ([0.4, 0.7], [0.1, 0.3], [0.15, 0.25]), (0.5, 0.2, 0.2) \rangle$	$\langle ([0.5, 0.7], [0.1, 0.2], [0.2, 0.25]), (0.3, 0.1, 0.2) \rangle$

**Step 3.** Using AAO, we transform NCVs into SNVs. We calculate entropy value  $E_j$  of the  $j$ -th attribute as follows:

$$Ev_1 = 0.644, Ev_2 = 0.655, Ev_3 = 0.734, Ev_4 = 0.663.$$

The weight vector of the four attributes are obtained as:

$$w_1 = 0.2730, w_2 = 0.2646, w_3 = 0.2040, w_4 = 0.2584.$$

**Step 4.** After identifying the weight of the attribute ( $w_j$ ), we multiply the standardized decision matrix with  $w_j$ ,  $j = 1, 2, \dots, n$  to obtain the weighted decision matrix  $\langle z_{ij} \rangle_{3 \times 4}$  (see Table 3).

Table 3. The weighted neutrosophic cubic decision matrix

	$C_1$	$C_2$
$B_1$	$\langle ([0.059, 0.110], [0.941, 0.972], [0.720, 0.779]), (0.075, 0.87, 0.72) \rangle$	$\langle ([0.057, 0.090], [0.972, 0.986], [0.874, 0.91]), (0.073, 0.972, 0.832) \rangle$
$B_2$	$\langle ([0.043, 0.093], [0.941, 0.972], [0.941, 0.972]), (0.093, 0.957, 0.907) \rangle$	$\langle ([0.09, 0.168], [0.874, 0.972], [0.727, 0.832]), (0.057, 0.910, 0.785) \rangle$
$B_3$	$\langle ([0.076, 0.13], [0.87, 0.941], [0.87, 0.907]), (0.093, 0.907, 0.828) \rangle$	$\langle ([0.057, 0.090], [0.928, 0.972], [0.653, 0.757]), (0.126, 0.910, 0.727) \rangle$

	$C_3$	$C_4$
$B_1$	$\langle ([0.132, 0.17], [0.720, 0.830], [0.625, 0.782]), (0.084, 0.625, 0.625) \rangle$	$\langle ([0.124, 0.211], [0.552, 0.699], [0.552, 0.733]), (0.164, 0.660, 0.789) \rangle$
$B_2$	$\langle ([0.100, 0.132], [0.720, 0.807], [0.543, 0.720]), (0.084, 0.625, 0.625) \rangle$	$\langle ([0.056, 0.088], [0.66, 0.762], [0.552, 0.699]), (0.124, 0.552, 0.552) \rangle$
$B_3$	$\langle ([0.100, 0.218], [0.625, 0.782], [0.679, 0.754]), (0.132, 0.720, 0.720) \rangle$	$\langle ([0.164, 0.267], [0.552, 0.660], [0.660, 0.699]), (0.088, 0.522, 0.660) \rangle$

**Step 5.** From Table 3, the NCPIS  $z_j^U$ ,  $j = 1, 2, 3, 4$  is obtained as follows:

$$z_1^U = \langle ([0.043, 0.093], [0.941, 0.972], [0.941, 0.972]), (0.075, 0.957, 0.907) \rangle,$$

$$z_2^U = \langle ([0.057, 0.09], [0.972, 0.986], [0.874, 0.91]), (0.057, 0.972, 0.832) \rangle,$$

$$z_3^U = \langle ([0.132, 0.218], [0.625, 0.782], [0.543, 0.72]), (0.132, 0.625, 0.625) \rangle,$$

$$z_4^U = \langle [0.164, 0.267], [0.552, 0.66], [0.552, 0.699], (0.66, 0.552, 0.552) \rangle;$$

The NCNIS  $z_j^L$ ,  $j = 1, 2, 3, 4$  is determined from the weighted decision matrix (see Table 3) as follows:

$$z_1^L = \langle [0.076, 0.13], [0.87, 0.941], [0.72, 0.779], (0.093, 0.87, 0.72) \rangle,$$

$$z_2^L = \langle [0.09, 0.168], [0.874, 0.972], [0.653, 0.757], (0.126, 0.91, 0.727) \rangle,$$

$$z_3^L = \langle [0.1, 0.132], [0.72, 0.83], [0.679, 0.782], (0.084, 0.782, 0.83) \rangle,$$

$$z_4^L = \langle [0.056, 0.088], [0.66, 0.762], [0.66, 0.733], (0.088, 0.66, 0.789) \rangle.$$

**Step 6.** The Euclidean distance measure of each alternative from NCPIS is obtained as follows:

$$D_{E_1}^+ = 0.1232, D_{E_2}^+ = 0.1110, D_{E_3}^+ = 0.1200.$$

Similarly, the Euclidean distance measure of each alternative from NCNIS is computed as follows:

$$D_{E_1}^- = 0.0705, D_{E_2}^- = 0.0954, D_{E_3}^- = 0.0736.$$

**Step 7.** The relative closeness co-efficient  $RCC_i^*$ ,  $i = 1, 2, 3$  is obtained as follows:

$$RCC_1^* = 0.3640, RCC_2^* = 0.4622, RCC_3^* = 0.3802.$$

**Step 8.** The ranking order of the feasible alternative according to the relative closeness co-efficient of the neutrosophic cubic ideal solution is presented as follows:

$$B_2 > B_3 > B_1$$

Therefore,  $B_2$  i.e. a Basic English medium school is the best option for the legal guardian.

### 5 Conclusions

In the paper, we have presented a new extended TOPSIS method for solving MADM problems with neutrosophic cubic information. We have proposed several operational rules on neutrosophic cubic sets. We have defined Euclidean distance between two neutrosophic cubic sets. We have defined arithmetic average operator for neutrosophic cubic numbers. We have employed information entropy scheme to calculate unknown weights of the attributes. We have also defined neutrosophic cubic positive ideal solution and neutrosophic cubic negative ideal solution in the decision making process. Then, the most desirable alternative is selected based on the proposed extended TOPSIS method under neutrosophic cubic environment. Finally, we have solved a numerical example of MADM problem regarding school selection for a legal guardian to illustrate the proposed TOPSIS method. We hope that the proposed TOPSIS method will be effective in dealing with different MADM problems such as medical diagnosis, pattern recognition, weaver selection, supplier selection, etc in neutrosophic cubic set environment.

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# Multi criteria decision making using correlation coefficient under rough neutrosophic environment

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**Abstract** In this paper, we define correlation coefficient measure between any two rough neutrosophic sets. We also prove some of its basic properties.. We develop a new multiple attribute group decision making method based on the proposed correlation coefficient measure.

An illustrative example of medical diagnosis is solved to demonstrate the applicability and effectiveness of the proposed method.

**Keywords:** Multi-attribute group decision making; Neutrosophic set; Rough set; Rough neutrosophic set; Correlation coefficient.

## 1 Introduction

Smarandache established the concept of neutrosophic set and neutrosophic logic [1] to deal uncertainty, inconsistency, incompleteness and indeterminacy in 1998. Smarandache [1] and Wang et. al. [2] studied single valued neutrosophic set (SVNS), a subclass of neutrosophic set to deal realistic problems in 2010. SVNSs have been widely studied and applied in different fields such as medical diagnosis [3], multi criteria decision making [4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17], image processing [18, 19, 20], etc.

Pawlak [21] defined rough set to study intelligence systems characterized by inexact, uncertain or insufficient information. Broumi et al. [22, 23] defined rough neutrosophic set by combining the rough set and single valued neutrosophic set to deal with problems involving uncertain, imprecise, incomplete and inconsistent information existing in real world problems.

Decision making in rough neutrosophic environment is a new subfield of operational research. In rough neutrosophic environment, Mondal and Pramanik [24] defined accumulated geometric operator to transform rough neutrosophic number (neutrosophic pair) to single valued neutrosophic number and developed a new multi-attribute decision-making (MADM) method based on grey relational analysis. Mondal and Pramanik [25] defined accuracy score function and proved its basic properties. In the same study, Mondal and Pramanik [25] presented a

new MADM method in rough neutrosophic environment. Pramanik and Mondal [26] defined cotangent similarity measure of rough neutrosophic sets and proved its basic properties. In the same study, Pramanik and Mondal [26] presented its application to medical diagnosis. Pramanik and Mondal [27] proposed cosine similarity measure of rough neutrosophic sets and its application in medical diagnosis. Pramanik and Mondal [28] also proposed Dice and Jaccard similarity measures in rough neutrosophic environment and applied them for MADM. Mondal and Pramanik [29] studied cosine, Dice and Jaccard similarity measures for interval rough neutrosophic sets and presented MADM methods based on proposed rough cosine, Dice and Jaccard similarity measures in interval rough neutrosophic environment Mondal et al. [30] presented rough trigonometric Hamming similarity measures such as cosine, sine and cotangent rough similarity measures and proved their basic properties. In the same study, Mondal et al. [30] presented new MADM methods based on cosine, sine and cotangent rough similarity measures with illustrative example. Mondal et al. [31] proposed variational coefficient similarity measures under rough neutrosophic environment and proved some of their basic properties. In the same study, Mondal et al. [31] developed a new MADM method based on the proposed variational coefficient similarity measures and presented a comparison with four existing rough similarity measures namely, rough cosine similarity measure, rough dice

similarity measure, rough cotangent similarity measure and rough Jaccard similarity measure for different values of the parameter  $\lambda$ . Mondal et al. [32] proposed rough neutrosophic aggregate operator and weighted rough neutrosophic aggregate operator to develop TOPSIS based MADM method in rough neutrosophic environment. Pramanik et al. [33] defined projection and bidirectional projection measures between rough neutrosophic sets. In the same study, Pramanik et al. [33] proposed two new multi criteria decision making (MCDM) methods based on neutrosophic projection and bidirectional projection measures respectively.

Mondal and Pramanik [34] proposed rough tri-complex similarity measure based MADM method in rough neutrosophic environment and proved some of its basic properties. In the same study, Mondal and Pramanik [34] presented comparison of obtained results for an illustrative MADM problem with other existing rough neutrosophic similarity measures.

Mondal et al. [35] defined rough neutrosophic hyper-complex set and rough neutrosophic hyper-complex cosine function and proved some of their basic properties. In the same study, Mondal et al. [35] also proposed rough neutrosophic hyper-complex similarity measure based MADM method.

Pramanik and Mondal [36] defined bipolar rough neutrosophic sets and proved its basic properties.

The correlation coefficient is an important tool to judge the relation between two objects. The correlation coefficients [37, 38, 39, 40, 41, 42] have been widely employed to data analysis and classification, decision making, pattern recognition, and so on. Many researchers pay attention to correlation coefficients under fuzzy environments. Chiang and Lin [43] introduced the correlation of fuzzy sets. Hong [44] proposed fuzzy measures for a correlation coefficient of fuzzy numbers under Tw (the weakest t-norm)-based fuzzy arithmetic operations. As an extension of fuzzy correlations, Wang and Li [45] introduced the correlation and information energy of interval-valued fuzzy numbers. Gerstenkorn and Manko [46] developed the correlation coefficients of intuitionistic fuzzy sets (IFSs). Hung and Wu [47] also proposed a method to calculate the correlation coefficients of IFSs by centroid method. Xu [48] developed another correlation measure of interval-valued intuitionistic fuzzy environment, and applied it to medical diagnosis. Ye [49] studied the fuzzy decision-making method based on the weighted correlation coefficient under intuitionistic fuzzy environment. Bustince and Burillo [50] and Hong [51] further developed the correlation coefficients for interval-valued intuitionistic fuzzy sets (IVIFSs). Hanafy et al. [52] introduced the correlation of neutrosophic data. Ye [53] presented the correlation coefficient of SVNNSs based on the extension of the correlation coefficient of IFSs and proved that the cosine

similarity measure of SVNNSs is a special case of the correlation coefficient of SVNNSs. Hanafy et al. [54] presented the centroid-based correlation coefficient of neutrosophic sets and investigated its properties. Broumi and Smarandache [55] defined correlation coefficient of interval neutrosophic set and investigated its properties.

In the literature no studies have been reported on MADM using correlation coefficient under rough neutrosophic environment. To fill the research gap, we propose correlation coefficient under rough neutrosophic environment and proved some of its basic properties. We also present a new MADM method based on proposed measure. We also present an illustrative numerical example to show the effectiveness and applicability of the proposed method.

Rest of the paper is organized as follows: Section 2 describes preliminaries of neutrosophic sets, SVNNSs and rough neutrosophic set (RNS). Section 3 describes the correlation coefficient between SVNNSs. Section 4 presents definition and properties of proposed correlation coefficient between RNSs. Section 5 presents a rough neutrosophic decision making method based on correlation coefficient. Section 6 presents an illustrative hypothetical medical diagnostic problem based on the proposed MADM method. Finally, section 7 presents concluding remarks and future scope of research.

## 2 Preliminaries

**2.1 Neutrosophic sets** In 1998, Smarandache offered the following definition of neutrosophic set (NS)[1].

### Definition 2.1.1 [1]

Let  $X$  be a space of points (objects) with generic element in  $X$  denoted by  $x$ . A NS  $A$  in  $X$  is characterized by a truth-membership function  $T_A$ , an indeterminacy membership function  $I_A$  and a falsity membership function  $F_A$ . The functions  $T_A$ ,  $I_A$  and  $F_A$  are real standard or non-standard subsets of  $]0^-, 1^+[$  that is  $T_A: X \rightarrow ]0^-, 1^+[$ ,  $I_A: X \rightarrow ]0^-, 1^+[$  and  $F_A: X \rightarrow ]0^-, 1^+[$ . It should be noted that there is no restriction on the sum of  $T_A$ ,  $I_A$  and  $F_A$  i.e.  $0^- \leq T_A + I_A + F_A \leq 3^+$ .

### Definition 2.1.2 [1]

(Complement) The complement of a neutrosophic set  $A$  is denoted by  $C(A)$  and is defined by  $T_{C(A)}(x) = \{1^+\} - T_A(x)$ ,  $I_{C(A)}(x) = \{1^+\} - I_A(x)$ ,  $F_{C(A)}(x) = \{1^+\} - F_A(x)$ .

### Definition 2.1.3 [1]

A neutrosophic set  $A$  is contained in another neutrosophic set  $B$ , denoted by  $A \subseteq B$  iff  $\inf T_A(x) \leq \inf T_B(x)$ ,  $\sup T_A(x) \leq \sup T_B(x)$ ,  $\inf I_A(x) \geq \inf I_B(x)$ ,  $\sup I_A(x) \geq \sup I_B(x)$ ,  $\inf F_A(x) \geq \inf F_B(x)$  and  $\sup F_A(x) \geq \sup F_B(x)$  for all  $x$  in  $X$ .

### Definition 2.1.4 [2]

Let  $X$  be a universal space of points (objects) with a generic element of  $X$  denoted by  $x$ . A single valued neutrosophic set  $A$  is characterized by a truth membership function  $T_A(x)$ , a falsity membership function  $F_A(x)$  and

indeterminacy function  $I_A(x)$  with  $T_A(x), I_A(x)$  and  $F_A(x) \in [0,1]$  for all  $x$  in  $X$ .

When  $X$  is continuous, a SNVS  $A$  can be written as follows:  $A = \int_x \langle T_A(x), I_A(x), F_A(x) \rangle / x$  for all  $x \in X$  and when  $X$  is discrete, a SVNS  $A$  can be written as follows :  $A = \sum \langle T_A(x), I_A(x), F_A(x) \rangle / x$  for all  $x \in X$ .

For a SVNS  $S, 0 \leq \sup T_A(x) + \sup I_A(x) + \sup F_A(x) \leq 3$ .

**Definition 2.1.5** [2]

The complement of a single valued neutrosophic set  $A$  is denoted by  $c(A)$  and is defined by  $T_{c(A)}(x) = F_A(x), I_{c(A)}(x) = 1 - I_A(x), F_{c(A)}(x) = T_A(x)$ .

**Definition 2.1.6** [2]

A SVNS  $A$  is contained in the other SVNS  $B$ , denoted as  $A \subseteq B$  iff,  $T_A(x) \leq T_B(x), I_A(x) \geq I_B(x), F_A(x) \geq F_B(x)$  for all  $x$  in  $X$ .

**2.2 Rough Neutrosophic sets**

Rough neutrosophic sets [22, 23] are the generalization of rough fuzzy sets [56, 57, 58] and rough intuitionistic fuzzy sets [59].

**Definition 2.2.1** [22]

Let  $Y$  be a non-null set and  $R$  be an equivalence relation on  $Y$ . Let  $P$  be a neutrosophic set in  $Y$  with the membership function  $T_P$ , indeterminacy function  $I_P$  and non-membership function  $F_P$ . The lower and the upper approximations of  $P$  in the approximation space  $(Y, R)$  are respectively defined as:

$$\underline{N(P)} = \langle \langle x, T_{\underline{N(P)}}(x), I_{\underline{N(P)}}(x), F_{\underline{N(P)}}(x) \rangle \rangle / y \in [x]_R, x \in Y$$

and

$$\overline{N(P)} = \langle \langle x, T_{\overline{N(P)}}(x), I_{\overline{N(P)}}(x), F_{\overline{N(P)}}(x) \rangle \rangle / y \in [x]_R, x \in Y$$

where,

$$\begin{aligned} T_{\underline{N(P)}}(x) &= \bigwedge z \in [x]_R T_P(Y), I_{\underline{N(P)}}(x) \\ &= \bigwedge z \in [x]_R I_P(Y), F_{\underline{N(P)}}(x) \\ &= \bigwedge z \in [x]_R F_P(Y) \end{aligned}$$

and

$$\begin{aligned} T_{\overline{N(P)}}(x) &= \bigvee z \in [x]_R T_P(Y), I_{\overline{N(P)}}(x) \\ &= \bigvee z \in [x]_R I_P(Y), F_{\overline{N(P)}}(x) \\ &= \bigvee z \in [x]_R F_P(Y) \end{aligned}$$

So,

$$0 \leq T_{\underline{N(P)}}(x) + I_{\underline{N(P)}}(x) + F_{\underline{N(P)}}(x) \leq 3 \quad \text{and} \quad 0 \leq T_{\overline{N(P)}}(x) + I_{\overline{N(P)}}(x) + F_{\overline{N(P)}}(x) \leq 3$$

Here  $\bigvee$  and  $\bigwedge$  denote ‘‘max’’ and ‘‘min’’ operators respectively,  $T_P(y), I_P(y)$ , and  $F_P(y)$  are the degrees of membership, indeterminacy and non-membership of  $Y$  with respect to  $P$ .

Thus NS mapping,  $\underline{N}, \overline{N}: N(Y) \rightarrow N(Y)$  are, respectively, referred to as the lower and upper rough NS approximation operators, and the pair  $(\underline{N(P)}, \overline{N(P)})$  is called the rough neutrosophic set in  $(Y, R)$ .

**Definition 2.2.2** [22]

If  $\underline{N(P)} = (\underline{N(P)}, \overline{N(P)})$  is a rough neutrosophic set in  $(Y, R)$ , the rough complement of  $\underline{N(P)}$  is the rough neutrosophic set denoted by  $\sim(\underline{N(P)}) = ((\underline{N(P)})^c, (\overline{N(P)})^c)$ , where  $(\underline{N(P)})^c$  and  $(\overline{N(P)})^c$

are the complements of neutrosophic sets  $\underline{N(P)}$  and  $\overline{N(P)}$  respectively.

**3 Correlation coefficient of SVNSs**

Based on the correlation of intuitionistic fuzzy sets, Ye [53] defined the informational energy of a SVNS  $A$ , the correlation of two SVNSs  $A$  and  $B$ , and the correlation coefficient of two SVNSs  $A$  and  $B$ .

**Definition 3.1** [53]

For a SVNS  $A$  in the universe of discourse  $X = \{x_1, x_2, \dots, x_n\}$ , the informational energy of the SVNS  $A$  is defined by

$$I(A) = \sum_{i=1}^n [T_A^2(x_i) + I_A^2(x_i) + F_A^2(x_i)]$$

**Definition 3.2** [53]

For two SVNSs  $A$  and  $B$  in the universe of discourse  $X = \{x_1, x_2, \dots, x_n\}$ , correlation of the SVNSs  $A$  and  $B$  is defined as

$$C(A, B) = \sum_{i=1}^n [T_A(x_i)T_B(x_i) + I_A(x_i)I_B(x_i) + F_A(x_i)F_B(x_i)]$$

**Definition 3.3** [53]

The correlation coefficient of the SVNSs  $A$  and  $B$  is defined by the following formula: (1)

$$K(A, B) = \frac{C(A, B)}{[C(A, A)C(B, B)]^{1/2}} = \frac{\sum_{i=1}^n [T_A(x_i)T_B(x_i) + I_A(x_i)I_B(x_i) + F_A(x_i)F_B(x_i)]}{[\sum_{i=1}^n [(T_A(x_i))^2 + (I_A(x_i))^2 + (F_A(x_i))^2] \sum_{i=1}^n [(T_B(x_i))^2 + (I_B(x_i))^2 + (F_B(x_i))^2]]^{1/2}}$$

The correlation coefficient  $K(A, B)$  satisfies the following properties :

- (1)  $K(A, B) = K(B, A)$ ;
- (2)  $0 \leq K(A, B) \leq 1$ ;
- (3)  $K(A, B) = 1$ , if  $A = B$ .

**4 Correlation coefficient of rough neutrosophic sets**

Correlation coefficient between rough neutrosophic sets (RNSs) is yet to define in the literature. Therefore in this paper, we define correlation coefficient between RNSs.

**Definition 4.1.** Assume that there are any two RNSs

$A = \langle (T_A(x_i), I_A(x_i), F_A(x_i)), (T_A(x_i), I_A(x_i), F_A(x_i)) \rangle$  and  $B = \langle (T_B(x_i), I_B(x_i), F_B(x_i)), (T_B(x_i), I_B(x_i), F_B(x_i)) \rangle$ . Then the correlation between the RNSs  $A$  and  $B$  is defined as

$$C(A, B) = \sum_{i=1}^n [\delta T_A(x_i), \delta T_B(x_i) + \delta I_A(x_i), \delta I_B(x_i) + \delta F_A(x_i), \delta F_B(x_i)]$$

where

$$\begin{aligned} \delta T_A(x_i) &= \frac{T_A(x_i) + \overline{T_A(x_i)}}{2}, \\ \delta I_A(x_i) &= \frac{I_A(x_i) + \overline{I_A(x_i)}}{2}, \\ \delta F_A(x_i) &= \frac{F_A(x_i) + \overline{F_A(x_i)}}{2}, \\ \delta T_B(x_i) &= \frac{T_B(x_i) + \overline{T_B(x_i)}}{2}, \end{aligned}$$

$$\delta I_B(x_i) = \frac{I_B(x_i) + \overline{I_B}(x_i)}{2} \quad \text{and}$$

$$\delta F_B(x_i) = \frac{F_B(x_i) + \overline{F_B}(x_i)}{2}.$$

**Definition 4.2.** The correlation coefficient of the RNSs A and B is defined as

$$K(A,B) = \frac{C(A,B)}{[C(A,A)C(B,B)]^{1/2}}$$

$$= \frac{\sum_{i=1}^n [\delta T_A(x_i)\delta T_B(x_i) + \delta I_A(x_i)\delta I_B(x_i) + \delta F_A(x_i)\delta F_B(x_i)]}{(\sum_{i=1}^n [(\delta T_A(x_i))^2 + (\delta I_A(x_i))^2 + (\delta F_A(x_i))^2])^{1/2} (\sum_{i=1}^n [(\delta T_B(x_i))^2 + (\delta I_B(x_i))^2 + (\delta F_B(x_i))^2])^{1/2}} \dots (2)$$

The correlation coefficient  $K(A, B)$  satisfies the following properties :

- (1)  $K(A, B) = K(B, A)$ ;
- (2)  $0 \leq K(A, B) \leq 1$ ;
- (3)  $K(A, B) = 1$ , if  $A = B$ .

**Proof**

(i)

$$K(A,B) = \frac{C(A,B)}{[C(A,A)C(B,B)]^{1/2}}$$

$$= \frac{C(B,A)}{[C(B,B)C(A,A)]^{1/2}} = K(B,A)$$

(ii) As  $C(A, B) \geq 0, C(A, A) \geq 0, C(B, B) \geq 0$  so  $K(A, B) \geq 0$ .

According to the Cauchy–Schwarz inequality:

$$(a_1 b_1 + \dots + a_n b_n)^2 \leq (a_1^2 + \dots + a_n^2)(b_1^2 + \dots + b_n^2)$$

where  $a_i, b_i \in R$  for  $i=1, \dots, n$ ,

$$\text{So } \frac{(a_1 b_1 + \dots + a_n b_n)}{(a_1^2 + \dots + a_n^2)^{1/2} (b_1^2 + \dots + b_n^2)^{1/2}} \leq 1$$

Replacing  $a_i$  by  $\delta T_A(x_i)$  and  $b_i$  by  $\delta T_B(x_i)$  we obtain

$$K(A, B) \leq 1.$$

Therefore,  $0 \leq K(A, B) \leq 1$ .

(iii) If  $A = B$

$$\text{then } K(A,B) = K(A,A) = \frac{C(A,A)}{[C(A,A)C(A,A)]^{1/2}}$$

$$= \frac{C(A,A)}{C(A,A)} = 1$$

Hence proved.

Considering  $n = 1$ , we get the following: (3)

$$K(A,B) = \frac{\delta T_A(x_1)\delta T_B(x_1) + \delta I_A(x_1)\delta I_B(x_1) + \delta F_A(x_1)\delta F_B(x_1)}{((\delta T_A(x_1))^2 + (\delta I_A(x_1))^2 + (\delta F_A(x_1))^2)^{1/2} ((\delta T_B(x_1))^2 + (\delta I_B(x_1))^2 + (\delta F_B(x_1))^2)^{1/2}}$$

Which is the cosine similarity measure between two RNSs A and B [27].

Weighted correlation coefficient:

Let  $w = \{w_1, w_2, \dots, w_n\}$  be the weight vector of the elements  $x_i$  ( $i = 1, 2, \dots, n$ ).

Then the weighted correlation coefficient between A and B is defined by the following formula:

$$K_w(A, B) = \frac{\sum_{i=1}^n w_i [\delta T_A(x_i)\delta T_B(x_i) + \delta I_A(x_i)\delta I_B(x_i) + \delta F_A(x_i)\delta F_B(x_i)]}{[(\sum_{i=1}^n \{w_i [(\delta T_A(x_i))^2 + (\delta I_A(x_i))^2 + (\delta F_A(x_i))^2]\})^{1/2} (\sum_{i=1}^n \{w_i [(\delta T_B(x_i))^2 + (\delta I_B(x_i))^2 + (\delta F_B(x_i))^2]\})^{1/2}] \quad (4)$$

If  $w = \{1/n, 1/n, \dots, 1/n\}$ , then equation (4) reduces to equation (2).

Weighted correlation coefficient  $K_w(A, B)$  also satisfies the following properties:

- (1)  $K_w(A, B) = K_w(B, A)$ ;
- (2)  $0 \leq K_w(A, B) \leq 1$ ;
- (3)  $K_w(A, B) = 1$ , if  $A = B$ .

**Proof**

(i)

$$K_w(A, B) = \frac{\sum_{i=1}^n w_i [\delta T_A(x_i)\delta T_B(x_i) + \delta I_A(x_i)\delta I_B(x_i) + \delta F_A(x_i)\delta F_B(x_i)]}{[(\sum_{i=1}^n \{w_i [(\delta T_A(x_i))^2 + (\delta I_A(x_i))^2 + (\delta F_A(x_i))^2]\})^{1/2} (\sum_{i=1}^n \{w_i [(\delta T_B(x_i))^2 + (\delta I_B(x_i))^2 + (\delta F_B(x_i))^2]\})^{1/2}]}$$

$$= \frac{\sum_{i=1}^n w_i [\delta T_B(x_i)\delta T_A(x_i) + \delta I_B(x_i)\delta I_A(x_i) + \delta F_B(x_i)\delta F_A(x_i)]}{[(\sum_{i=1}^n \{w_i [(\delta T_B(x_i))^2 + (\delta I_B(x_i))^2 + (\delta F_B(x_i))^2]\})^{1/2} (\sum_{i=1}^n \{w_i [(\delta T_A(x_i))^2 + (\delta I_A(x_i))^2 + (\delta F_A(x_i))^2]\})^{1/2}]}$$

$$= K_w(B, A)$$

$$\sum_{i=1}^n w_i [\delta T_A(x_i)\delta T_B(x_i) + \delta I_A(x_i)\delta I_B(x_i) + \delta F_A(x_i)\delta F_B(x_i)] \geq 0,$$

(ii) As  $\sum_{i=1}^n \{w_i [(\delta T_A(x_i))^2 + (\delta I_A(x_i))^2 + (\delta F_A(x_i))^2]\} \geq 0$

$$\text{and } \sum_{i=1}^n \{w_i [(\delta T_B(x_i))^2 + (\delta I_B(x_i))^2 + (\delta F_B(x_i))^2]\} \geq 0$$

so  $K_w(A, B) \geq 0$ .

Using the weighted Cauchy–Schwarz inequality [60], we have

$$(w_1 a_1 b_1 + \dots + w_n a_n b_n)^2 \leq (w_1 a_1^2 + \dots + w_n a_n^2)(w_1 b_1^2 + \dots + w_n b_n^2)$$

where  $w_i, a_i, b_i \in R$  for  $i = 1, \dots, n$ .

$$\text{So } \frac{(w_1 a_1 b_1 + \dots + w_n a_n b_n)}{(w_1 a_1^2 + \dots + w_n a_n^2)^{1/2} (w_1 b_1^2 + \dots + w_n b_n^2)^{1/2}} \leq 1$$

Replacing  $a_i$  by  $w_i \delta T_A(x_i)$  and  $b_i$  by  $w_i \delta T_B(x_i)$  we obtain

$$K_w(A, B) \leq 1.$$

Therefore,  $0 \leq K(A, B) \leq 1$ .

(iii) If  $A = B$ , then

$$K(A,B) = K(A,A) = \frac{\sum_{i=1}^n w_i [\delta T_A(x_i)\delta T_A(x_i) + \delta I_A(x_i)\delta I_A(x_i) + \delta F_A(x_i)\delta F_A(x_i)]}{[(\sum_{i=1}^n \{w_i [(\delta T_A(x_i))^2 + (\delta I_A(x_i))^2 + (\delta F_A(x_i))^2]\})^{1/2} (\sum_{i=1}^n \{w_i [(\delta T_A(x_i))^2 + (\delta I_A(x_i))^2 + (\delta F_A(x_i))^2]\})^{1/2}]}$$

$$= \frac{\sum_{i=1}^n w_i [(\delta T_A(x_i))^2 + (\delta I_A(x_i))^2 + (\delta F_A(x_i))^2]}{(\sum_{i=1}^n \{w_i [(\delta T_A(x_i))^2 + (\delta I_A(x_i))^2 + (\delta F_A(x_i))^2]\})^{1/2} (\sum_{i=1}^n \{w_i [(\delta T_A(x_i))^2 + (\delta I_A(x_i))^2 + (\delta F_A(x_i))^2]\})^{1/2}} = 1$$

Hence proved.

**5 Rough neutrosophic decision making based on correlation coefficient**

Let  $A_1, A_2, \dots, A_m$  be a set of elements (/objects / persons),  $C_1, C_2, \dots, C_n$  be a set of criteria for each element and  $E_1, E_2, \dots, E_k$  are the alternatives for each element.

Step 1. The relation between elements  $A_i$  ( $i = 1, 2, \dots, m$ ) and the criteria  $C_j$  ( $j = 1, 2, \dots, n$ ) is presented in Table 1 in terms of RNSs.

Table1 : Relation between elements and criteria

$$A_i \begin{bmatrix} C_1 & C_2 & \dots & C_n \\ X_{11} & X_{12} & \dots & X_{1n} \\ X_{21} & X_{22} & \dots & X_{2n} \\ \dots & \dots & \dots & \dots \\ X_{m1} & X_{m2} & \dots & X_{mn} \end{bmatrix}$$

where

$$X_{ij} = \langle (T_{ij}, I_{ij}, F_{ij}), (\overline{T_{ij}}, \overline{I_{ij}}, \overline{F_{ij}}) \rangle$$

with  $0 \leq T_{ij} + I_{ij} + F_{ij} \leq 3$  and  $0 \leq \overline{T_{ij}} + \overline{I_{ij}} + \overline{F_{ij}} \leq 3$ .

The relation between criterion  $C_i$  ( $i = 1, 2, \dots, n$ ) and the alternative  $E_j$  ( $j = 1, 2, \dots, k$ ) is presented in Table 2 in terms of RNSs.

Table 2 : Relation between criteria and alternatives

$$C_i \begin{bmatrix} E_1 & E_2 & \dots & E_k \\ Y_{11} & Y_{12} & \dots & Y_{1k} \\ Y_{21} & Y_{22} & \dots & Y_{2k} \\ \dots & \dots & \dots & \dots \\ Y_{n1} & Y_{n2} & \dots & Y_{nk} \end{bmatrix}$$

where

$$Y_{ij} = \langle (T_{ij}, I_{ij}, F_{ij}), (\overline{T_{ij}}, \overline{I_{ij}}, \overline{F_{ij}}) \rangle$$

with

$$0 \leq T_{ij} + I_{ij} + F_{ij} \leq 3 \text{ and } 0 \leq \overline{T_{ij}} + \overline{I_{ij}} + \overline{F_{ij}} \leq 3.$$

Step 2. Determine the correlation measure between Table 1 and Table 2 using equation 2. The obtained values are presented in Table 3.

Table 3 : Correlation coefficient between table1 and table2

$$A_i \begin{bmatrix} E_1 & E_2 & \dots & E_k \\ P_{11} & P_{12} & \dots & P_{1k} \\ P_{21} & P_{22} & \dots & P_{2k} \\ \dots & \dots & \dots & \dots \\ P_{m1} & P_{m2} & \dots & P_{mk} \end{bmatrix}$$

Step 3. From Table 3, for each element  $A_i$  ( $i = 1, 2, \dots, m$ ), find the maximum correlation value of the  $i$ -th row ( $i = 1, 2, \dots, m$ ). If the maximum value occurs at  $j$ -th column ( $j = 1, 2, \dots, k$ ) (see Table 3), then  $E_j$  will be the best alternative for the element  $A_i$  ( $i = 1, 2, \dots, m$ ).

Step 4. End.

**6 Medical Diagnosis Problem**

We consider a medical diagnosis problem for illustration of the proposed method. Medical diagnosis comprises of inconsistent, indeterminate and incomplete information though increased volume of information available to

doctors from new medical technologies. The proposed correlation coefficients among the patients versus symptoms and symptoms versus diseases will provide medical diagnosis. Let  $P = \{P_1, P_2, P_3\}$  be a set of patients,  $D = \{\text{Viral fever, Malaria, Stomach problem, Chest problem}\}$  be a set of diseases and  $S = \{\text{Temperature, Headache, Stomach pain, Cough, Chest pain}\}$  be a set of symptoms. Using proposed method the doctor is to examine the patient and to determine the disease of the patient in rough neutrosophic environment.

Based on the proposed approach the considered problem is solved using the following steps:

**Step 1. Construction of the rough neutrosophic decision matrix**

Table 4: (Relation-1) The relation between Patients and Symptoms

	Temperature	Headache	Stomach pain	cough	Chest pain
P <sub>1</sub>	$\langle (.6, .4, .3), (.8, .2, .1) \rangle$	$\langle (.4, .4, .4), (.6, .2, .2) \rangle$	$\langle (.5, .3, .2), (.7, .1, .2) \rangle$	$\langle (.6, .2, .4), (.8, .0, .2) \rangle$	$\langle (.4, .4, .4), (.6, .2, .2) \rangle$
P <sub>2</sub>	$\langle (.5, .3, .4), (.7, .3, .2) \rangle$	$\langle (.5, .3, .3), (.7, .3, .3) \rangle$	$\langle (.5, .3, .4), (.7, .1, .4) \rangle$	$\langle (.5, .3, .3), (.9, .1, .3) \rangle$	$\langle (.5, .3, .3), (.7, .1, .3) \rangle$
P <sub>3</sub>	$\langle (.6, .4, .4), (.8, .2, .2) \rangle$	$\langle (.5, .2, .3), (.7, .0, .1) \rangle$	$\langle (.4, .3, .4), (.8, .1, .2) \rangle$	$\langle (.6, .1, .4), (.8, .1, .2) \rangle$	$\langle (.5, .3, .3), (.7, .1, .1) \rangle$

Table 5: (Relation-2) The relation among Symptoms and Diseases

	Viral Fever	Malaria	Stomach problem	Chest problem
Temperature	$\langle (.6, .5, .4), (.8, .3, .2) \rangle$	$\langle (.1, .4, .4), (.5, .2, .2) \rangle$	$\langle (.3, .4, .4), (.5, .2, .2) \rangle$	$\langle (.2, .4, .6), (.4, .4, .4) \rangle$
Headache	$\langle (.5, .3, .4), (.7, .3, .2) \rangle$	$\langle (.2, .3, .4), (.6, .3, .2) \rangle$	$\langle (.2, .3, .3), (.4, .1, .1) \rangle$	$\langle (.1, .5, .5), (.5, .3, .3) \rangle$
Stomach pain	$\langle (.2, .3, .4), (.4, .3, .2) \rangle$	$\langle (.1, .4, .4), (.3, .2, .2) \rangle$	$\langle (.4, .3, .4), (.6, .1, .2) \rangle$	$\langle (.1, .4, .6), (.3, .2, .4) \rangle$
cough	$\langle (.4, .3, .3), (.6, .1, .1) \rangle$	$\langle (.3, .3, .3), (.5, .1, .3) \rangle$	$\langle (.1, .6, .6), (.3, .4, .4) \rangle$	$\langle (.5, .3, .4), (.7, .1, .2) \rangle$

Chest pain	$\langle (.2, .4, .4), (.4, .2, .2) \rangle$	$\langle (.1, .3, .3), (.3, .1, .1) \rangle$	$\langle (.1, .4, .4), (.3, .2, .2) \rangle$	$\langle (.4, .4, .4), (.6, .2, .3) \rangle$
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**Step 2. Determination of correlation coefficient between table 1 and table 2**

Table 6: The correlation measure between Relation-1 and Relation-2

	Viral Fever	Malaria	Stomach problem	Chest problem
P <sub>1</sub>	<b>0.95135</b>	0.91141	0.84518	0.87465
P <sub>2</sub>	<b>0.95033</b>	0.94374	0.86228	0.91731
P <sub>3</sub>	<b>0.93473</b>	0.89549	0.82559	0.85937

**Step 3. Ranking the alternatives**

According to the values of correlation coefficient of each alternative shown in Table 3, the highest correlation measure occurs in column1(i.e. for the diseases viral fever. Therefore, all three patients P<sub>1</sub>, P<sub>2</sub>, P<sub>3</sub> suffer from viral fever.

**7 Conclusion**

In this paper, we have proposed correlation coefficient and weighted correlation coefficient between rough neutrosophic sets and proved some of their basic properties. We have developed a new multi criteria decision making method based on the correlation coefficient measure. We presented an illustrative example in medical diagnosis. We hope that the proposed method can be applied in solving realistic multi criteria group decision making problems in rough neutrosophic environment.

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# Decision support based on single valued neutrosophic number for information system project selection

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**Abstract.** Neutrosophic sets and its application to decision support have become a topic of great importance for researchers and practitioners alike. In this paper, a new model for decision making in the selection of information system projects is presented based on single valued neutrosophic number (SVN-numbers) allowing the use of linguistic variables with multiples points of view from experts. The proposed framework is composed of four activ-

ities, framework, gathering information, rating alternatives and information system project selection. Project alternatives are rated based on the Euclidean distance to the ideal alternative. A case study is developed in information system, showing the applicability of the proposal. Further works will concentrate in extending the model for dealing with heterogeneous information and in developing a software tool.

**Keywords:** Decision Analysis, SVN Numbers, Ideal Alternative, Information Systems, project selection.

## 1 Introduction

Decision analysis is a discipline, belonging to decision theory, with the goal of computing an overall assessment that summarizes the information gathered and providing useful information about each evaluated element (Macarena Espinilla, Palomares, Martínez, & Ruan, 2012). Uncertainty is present in real world decision making problems in such cases the use of linguistic information to model and manage such an uncertainty has given good results (Estrella, Espinilla, Herrera, & Martínez, 2014). Experts feel more comfortable providing their knowledge by using terms close to human beings cognitive model (Rodríguez & Martínez, 2013) that is the rationale for using linguistic variables.

The conventional techniques have been not much effective for solving decision problems because of imprecise nature of the linguistic assessments. It is more reasonable to consider the values of alternatives according to the criteria as single valued neutrosophic sets (SVNS) (Wang, Smarandache, Zhang, & Sunderraman, 2010) for handling indeterminate and inconsistent information, while fuzzy sets and intuitionistic fuzzy sets cannot describe it properly. In this paper a new model of information system project selection is developed based on single valued neutrosophic number (SVN-number) allowing the use of linguistic variables (Biswas, Pramanik, & Giri, 2016).

This paper is structured as follows: Section 2 reviews some preliminaries concepts about decision analysis framework and SVN numbers is presented. In Section 3, a decision

analysis framework based on SVN numbers for project selection. Section 4 shows a case study of the proposed model. The paper ends with conclusions and further work recommendations.

## 2 Preliminaries

In this section, we first provide a brief revision of a general decision scheme and the use of linguistic information using SVN numbers for information system Project selection.

### 2.1 Decision Scheme and Information Systems Project Selection

Decision analysis is a discipline with the main purpose of helping decision maker to reach a reliable decision (M. Espinilla, Ruan, Liu, & Martínez, 2010).

A common decision resolution scheme consists of following eight phases (Clemen, 1996; Estrella et al., 2014):

1. Identify decision and objectives.
2. Identify alternatives.
3. Framework:
4. Gathering information.
5. Rating alternatives.
6. Choosing the alternative/s:

7. Sensitive analysis
8. Make a decision

In the framework phase, the structures and elements of the decision problem are defined such as experts, criteria, options. The information provided by experts is collected, according to the defined framework.

The gathered information provided by experts is then aggregated to obtain a collective value of alternatives in the rating phase. Therefore, in rating phase, it is necessary to carry out a solving process to compute the collective assessments for the set of alternatives, using appropriate aggregation operators (Calvo, Kolesárová, Komorníková, & Mesiar, 2002).

A way to compute a rating of alternatives is by using the ideal alternative concept. A comparison between an ideal alternative and available options in order to find the optimal choice is used for the rating of alternatives (Zeng, Baležentis, & Zhang, 2012). Normally, the closer the alternative to the ideal the better the alternative is.

Information systems project selection could be defined as a multicriteria decision problem (Lee & Kim, 2001) . This fact makes the process of selecting information systems projects suitable for decision analysis scheme model.

### 2.2 SVN-numbers

Neutrosophy (Smarandache, 1999) is mathematical theory developed by Florentín Smarandache for dealing with indeterminacy Neutrosophy have been the base for developing of new methods to handle indeterminate and inconsistent information like neutrosophic sets an neutrosophic logic (Smarandache, 2005; Vera, José, Menéndez Delgado, González, & Vázquez, 2016) . It is used specially in decision making problems.

The truth value in neutrosophic set is as follows (Rivieccio, 2008):

Let  $N$  be a set defined as:  $N = \{(T, I, F) : T, I, F \in [0, 1]\}$ , a neutrosophic valuation  $n$  is a mapping from the set of propositional formulas to  $N$  , that is for each sentence  $p$  we have  $v(p) = (T, I, F)$ .

Single valued neutrosophic set (SVNS ) (Wang et al., 2010) was developed with the goal of facilitate the real applications of neutrosophic set and set-theoretic operators.

A single valued neutrosophic set (SVNS) has been defined (Definition 1) (Wang et al., 2010):

**Definition 1:** Let  $X$  be a universe of discourse. A single valued neutrosophic set  $A$  over  $X$  is an object having the form of :

$$A = \{ \langle x, u_A(x), r_A(x), v_A(x) \rangle : x \in X \} \tag{1}$$

where  $u_A(x): X \rightarrow [0,1]$ ,  $r_A(x): X \rightarrow [0,1]$  and  $v_A(x): X \rightarrow [0,1]$  with  $0 \leq u_A(x) + r_A(x) + v_A(x) \leq 3$  for

all  $x \in X$ . The intervals  $u_A(x)$ ,  $r_A(x)$  y  $v_A(x)$  denote the truth- membership degree, the indeterminacy-membership degree and the falsity membership degree of  $x$  to  $A$  respectively.

Single valued neutrosophic numbers (SVN number) are denoted by  $A = (a, b, c)$ , where  $a, b, c \in [0,1]$  and  $a + b + c \leq 3$  .

In decision analysis schema aggregation operating are important for rating options. Some aggregation operators have been proposed for SVN numbers (Ye, 2014a). Single valued neutrosophic weighted averaging (SVNWA) operator was proposed by Ye (Ye, 2014a) for SVNSs as follows (Biswas et al., 2016):

$$F_w(A_1, A_2, \dots, A_n) = \langle 1 - \prod_{j=1}^n (1 - T_{A_j}(x))^{w_j}, \prod_{j=1}^n (I_{A_j}(x))^{w_j}, \prod_{j=1}^n (F_{A_j}(x))^{w_j} \rangle \tag{2}$$

Alternatives could be rated according Euclidean distance in SVN (Şahin & Yiğider, 2014; Ye, 2014b).

Definition 2: Let  $A^* = (A_1^*, A_2^*, \dots, A_n^*)$  be a vector of  $n$  SVN numbers such that  $A_j^* = (a_j^*, b_j^*, c_j^*)$   $j = (1, 2, \dots, n)$  and  $B_i = (B_{i1}, B_{i2}, \dots, B_{im})$   $(i = 1, 2, \dots, m)$  be  $m$  vectors of  $n$  SVN numbers such that  $B_{ij} = (a_{ij}, b_{ij}, c_{ij})$   $(i = 1, 2, \dots, m)$ ,  $(j = 1, 2, \dots, n)$ . Then the separation measure between  $B_i$ 's y  $A^*$  is defined as follows:

$$s_i = \left( \frac{1}{3} \sum_{j=1}^n \left\{ (|a_{ij} - a_j^*|)^2 + (|b_{ij} - b_j^*|)^2 + (|c_{ij} - c_j^*|)^2 \right\} \right)^{\frac{1}{2}} \tag{3}$$

$(i = 1, 2, \dots, m)$

In this paper the concept of linguistic variables (Leyva-Vázquez, Santos-Baquerizo, Peña-González, Cevallos-Torres, & Guijarro-Rodríguez, 2016) are represented using single valued neutrosophic numbers (Şahin & Yiğider, 2014) for developing a framework to decision support.

The gathering information phase is developed using SVN numbers (Deli & Şubaş, 2016) due to the fact that provides adequate computational models to deal with linguistic information (Leyva-Vázquez et al., 2016) in decision. It allow to include handling of indeterminate and inconsistent in information system project selection.

### 3 Proposed framework.

Our aim is to develop a framework for information system project selection based on SVN numbers. The model consists of the following phases (figure 1).



**Figure 1:** A framework for using SVN numbers in information system project selection

The proposed framework is composed of four activities, framework, gathering information, rating alternatives and information system project selection.

**Framework**

In this phase, the evaluation framework, the decision problem of information system project selection is defined. The framework is established as follows:

- $C = \{c_1, c_2, \dots, c_n\}$  with  $n \geq 2$ , a set of criteria.
- $E = \{e_1, e_2, \dots, e_k\}$  with  $k \geq 2$ , a set of experts.
- $X = \{x_1, x_2, \dots, x_m\}$  with  $m \geq 2$ , a finite set of information systems projects alternatives. The set of experts will provide the assessments of the decision problem.

**Gathering information**

In this phase, each expert,  $e_k$  provides the assessments by means of assessment vectors:

$$U^k = (v_{ij}^k, i = 1, \dots, n, j = 1, \dots, m) \quad (5)$$

The assessment  $v_{ij}^k$ , provided by each expert  $e_k$ , for each criterion  $c_i$  of each project alternative  $x_j$ , is expressed using an SVN number.

**Rating alternatives**

Initial aggregation process is developed for rating alternatives. The aggregated SVN decision matrix obtained by aggregating of opinions of decision makers. In our proposal the SVNWA aggregation operator used Eq. (2).

For rating alternatives an ideal project option is constructed (Leyva-Vázquez, Pérez-Teruel, & John, 2014; Şahin & Yiğider, 2014). The evaluation criteria can be categorized into two categories, benefit and cost. Let  $C^+$  be a collection of benefit criteria and  $C^-$  be a collection of cost criteria. The ideal alternative is defined as:

$$I = \left\{ \left( \max_{i=1}^k T_{U_j} | j \in C^+, \min_{i=1}^k T_{U_j} | j \in C^- \right), \left( \min_{i=1}^k I_{U_j} | j \in C^+, \max_{i=1}^k I_{U_j} | j \in C^- \right), \left( \min_{i=1}^k F_{U_j} | j \in C^+, \max_{i=1}^k F_{U_j} | j \in C^- \right) \right\} \\ = [v_1, v_2, \dots, v_n] \quad (6)$$

Alternatives are rated according Euclidean distance  $I$ :

$$s_i = \left( \frac{1}{3} \sum_{j=1}^n \left\{ (|T(v_{ij}) - T(v_i^I)|)^2 + (|I(v_{ij}) - I(v_i^I)|)^2 + (|F(v_{ij}) - F(v_i^I)|)^2 \right\} \right)^{\frac{1}{2}} \\ (i = 1, 2, \dots, n) \quad (7)$$

**Information System Project Selection**

Ranking is based in the global distance to the ideal. If alternative project  $x_i$  is closer to  $I$  the distance measure ( $s_i$  closer) better is the project alternative (Leyva-Vázquez, Pérez-Teruel, Febles-Estrada, & Gulín-González, 2013).

**4 Case study**

In this section, we present an illustrative example in order to show the applicability of the proposed framework for information system project selection.

In this case study the evaluation framework is compose by 2 experts  $E = \{e_1, e_2\}$  who evaluate 3 alternatives (information system projects).

$x_1$ : CRM

$x_2$ : ERP

$x_3$ : SCM

These projects are described in Table #1.

TABLE I. PROJECTS OPTIONS

id	Name	Description
1	CRM.	Customer Relation Management Software
2	ERP	Enterprise Relationship Management Software
3	SCM	Supply Chain Management Software

3 criteria are involved, which are shown below:

$c_1$ : Benefits

$c_2$ : Factibility

$c_3$ : Cost

In Table 2, we give the set of linguistic terms used for experts to provide the assessments.

TABLE II. LINGUISTIC TERMS USED TO PROVIDE THE ASSESSMENTS (ŞAHIN & YİĞIDER, 2014)

Linguistic terms	SVNSs
Extremely good (EG)	(1,0,0)
Very very good (VVG)	(0.9, 0.1, 0.1)
Very good (VG)	(0.8,0.15,0.20)
Good (G)	(0.70,0.25,0.30)
Medium good (MG)	(0.60,0.35,0.40)
Medium (M)	(0.50,0.50,0.50)
Medium bad (MB)	(0.40,0.65,0.60)
Bad (B)	(0.30,0.75,0.70)
Very bad (VB)	(0.20,0.85,0.80)
Very very bad (VVB)	(0.10,0.90,0.90)
Extremely bad (EB)	(0,1,1)

Once the evaluation framework has been determined the information about the projects is gathered (see Table 3).

TABLE III. RESULT OF GATHERING INFORMATION

	$e_1$			$e_1$		
	$x_1$	$x_2$	$x_3$	$x_1$	$x_2$	$x_3$
$c_1$	MG	EG	MB	M	VVG	M
$c_2$	G	MG	M	B	MB	M
$c_3$	MG	MG	G	MB	MG	B

For rating project alternatives, an initial aggregation process is developed. Then the aggregated SVN decision matrix obtained by aggregating of opinions of decision makers is constructed by Eq. (2). The result is given in Table 4. The importance of each expert is expressed in the weighting vector  $W = [0.7,0.3]$ .

TABLE IV. AGGREGATED SVN DECISION MATRIX

	$x_1$	$x_2$	$x_3$
$c_1$	(0.57,0.39,0.43)	(1,0,0)	(0.4, 0.60,0.57)
$c_2$	(0.65,0.31,0.35)	(0.55,0.42,0.45)	(0.50,0.50,0.50)
$c_3$	(0.63,0.32, 0.37)	(0.60,0.35,0.40)	(0.61,0.35,0.47)

Calculation SVN positive-ideal solution is made as Table 5.

TABLE V. SVN POSITIVE-IDEAL VALUES

	Positive-ideal
$c_1$	(1,0,0)
$c_2$	(0.65,0.31,0.35)
$c_3$	(0.63,0.32, 0.37)

Separation measure of each alternative from the positive-ideal solution are calculated using Eq. (4) and are given by Table 6.

TABLE VI. DISTANCE TO THE IDEAL SOLUTION

	SVN positive-ideal	Ranking
$x_1$	0.42	2
$x_2$	0.11	1
$x_3$	0.61 0.37)	3

According to descending order of relative closeness coefficients values, four alternatives are ranked as:  $x_2 > x_1 > x_3$ .

### 5 Conclusions.

In recent years, neutrosophic sets and its application to multiple attribute decision making have become a topic of great importance for researchers and practitioners. In this paper a new model information system project selection based on SVN-number applied allowing the use of linguistic variables for application in in complex decisions that require multiples points of view. To demonstrate the applicability of

the proposal a case study. Our approach has many application information system project selection that include indeterminacy.

Further works will concentrate extending the model for dealing with heterogeneous information (Pérez-Teruel, Leyva-Vázquez, & Espinilla-Estevez, 2013). Another area of future work is the developing of new aggregation models based on SVN numbers specially compensatory operators (Espin-Andrade, González Caballero, Pedrycz, & Fernández González, 2015) and the developing of a software tool.

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# Uniform Single Valued Neutrosophic Graphs

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**Abstract.** In this paper, we propose a new concept named the uniform single valued neutrosophic graph. An illustrative example and some properties are examined. Next, we develop an algorithmic approach for computing the complement of the single va-

lued neutrosophic graph. A numerical example is demonstrated for computing the complement of single valued neutrosophic graphs and uniform single valued neutrosophic graph.

**Keywords:** Single valued neutrosophic sets; Uniform single valued neutrosophic graph; Complement operators

## 1 Introduction

In 1965, Zadeh [7] originally introduced the concept of fuzzy set (FSs) which is characterized by a membership degree in  $[0, 1]$  for each element in the dataset. It may not always be true that the degree of non-membership of an element in a fuzzy set is equal to 1 minus the truth-membership degree because there is some kind of hesitation degree. On the basis of fuzzy sets, Atanassov [4] added a non-membership in the definition of intuitionistic fuzzy sets (IFSs) and later Smarandache [2] introduced the neutrosophic sets (NSs) with the appearance of the truth-membership degree (T), the falsehood-membership degree (F), and the indeterminacy degree (I). Wang et al. [3] proposed various set theoretical operators and linked to single valued neutrosophic sets. The concept of neutrosophic sets have been successfully applied to many fields [16].

Fuzzy graph has been studied extensively in the past years [5,8,9]. Later on, Smarandache [1] proposed neutrosophic graphs in some special types such as neutrosophic offgraph, neutrosophic bipolar/tripolar/ multipolar graph. Presently, works on neutrosophic vertex-edge graphs and neutrosophic edge graphs are progressing rapidly. Broumi et al. [13] introduced certain types of single valued neutrosophic graphs (in short SVNG) such as strong single valued neutrosophic graph, constant single valued neutrosophic graph, complete single valued neutrosophic graph with their properties and examples. Neighborhood degree of a vertex and closed neighborhood degree of vertex in single valued neutrosophic graph were introduced in [15]. The necessary and sufficient condition for a single valued

neutrosophic graph to be an isolated single valued neutrosophic graph has been presented in [10]. Other extensions of the neutrosophic graph have been described in [11,12, 14].

Up to now, to the best of our knowledge, there has been no study on the uniform single valued neutrosophic graph. Thus, we propose in this paper a new concept named the uniform single valued neutrosophic graph. An illustrative example and some properties are examined. Next, we develop an algorithmic approach for computing the complement of the single valued neutrosophic graph.

The remainder of this paper is organized as follows. In Section 2, we present the basic definitions. In section 3, we introduce the concept of uniform single valued neutrosophic graph and investigate its properties. Section 4 introduces an algorithm for computing the complement of single valued neutrosophic graphs. A numerical example is presented in Section 5. Finally, Section 6 outlines the conclusion of this paper and suggests several directions for future research.

## 2 Preliminaries

In this section, we have present the basic definitions of fuzzy sets, neutrosophic sets, single valued neutrosophic sets, fuzzy graphs, uniform fuzzy graphs, complement of single valued neutrosophic graph which will be useful to our main work in the next sections.

**Definition 1**[1]. Let  $X$  be the universe of discourse and its elements denoted by  $x$ . In fuzzy theory, a fuzzy set

A of universe X is defined by the function  $T_A(x)$ , called the membership function of set A.

$$T_A: X \rightarrow [0, 1] \quad (1)$$

For any element x of universe X,  $T_A(x)$  equals the degree, between 0 and 1, to which x is an element of set A. This degree represents the membership value or degree of membership of element x in set A.

**Definition 2**[1]. Let X be a space of points and let  $x \in X$ . A **neutrosophic set** A in X is characterized by a truth membership function T, an indeterminacy membership function I, and a falsehood membership function F which are real standard or nonstandard subsets of  $]^{-}0,1+[$ , and T, I, F:  $X \rightarrow ]^{-}0,1+[$ . The neutrosophic set can be represented as,

$$A = \{(x, T_A(x), I_A(x), F_A(x)) : x \in X\} \quad (4)$$

There is no restriction on the sum of T, I, F, So

$$^{-}0 \leq T_A(x) + I_A(x) + F_A(x) \leq 3^{+} \quad (5)$$

From philosophical point of view, the neutrosophic set takes the value from real standard or non-standard subsets of  $]^{-}0,1+[$ . Thus it is necessary to take the interval  $]^{-}0,1+[$  instead of  $]^{+}0,1+[$ . For practical applications, it is difficult to apply  $]^{-}0,1+[$  in the real life applications such as engineering and scientific problems.

**Definition 3**[3]. Let X be a space of objects with generic elements in X denoted by x. A single valued neutrosophic set A (SVNS) is characterized by truth-membership function  $T_A(x)$ , an indeterminate-membership function  $I_A(x)$ , and a falsehood-membership function  $F_A(x)$ . For each point x in X,  $T_A(x), I_A(x), F_A(x) \in [0, 1]$ . A SVNS A can be written as,

$$A = \{(x, T_A(x), I_A(x), F_A(x)) : x \in X\} \quad (6)$$

**Definition 4** [5]. A **fuzzy graph** is a pair of functions  $G = (\sigma, \mu)$  where  $\sigma$  is a fuzzy subset of a non empty set V and  $\mu$  is a symmetric fuzzy relation on  $\sigma$ . i.e  $\sigma : V \rightarrow [0,1]$  and  $\mu : V \times V \rightarrow [0,1]$  such that  $\mu(uv) \leq \sigma(u) \wedge \sigma(v)$  for all  $u, v \in V$  where  $uv$  denotes the edge between u and v and  $\sigma(u) \wedge \sigma(v)$  denotes the minimum of  $\sigma(u)$  and  $\sigma(v)$ .  $\sigma$  is called the fuzzy vertex set of V and  $\mu$  is called the fuzzy edge set of E.

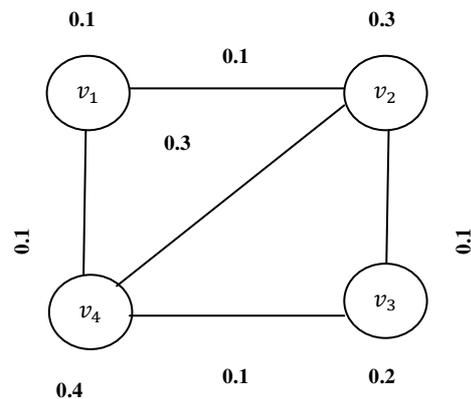


Fig.1. Fuzzy graph

**Remark:** The crisp graph  $G^* = (V, E)$  is a special case of the fuzzy graph G with each vertex and edge of  $(V, E)$  having degree of membership 1 (Fig. 1).

**Definition 5**[6,8]. The complement of a fuzzy graph  $G = (\sigma, \mu)$  is a fuzzy graph  $\bar{G} = (\bar{\sigma}, \bar{\mu})$  where  $\bar{\sigma} = \sigma$  and  $\bar{\mu}(u,v) = \sigma(u) \wedge \sigma(v) - \mu(u,v), \forall u, v \in V$ .

**Definition 6**[6,8]. Let  $G = (\sigma, \mu)$  be a fuzzy graph on a crisp graph  $G^* = (V, E)$ . Let  $\sigma^* = \{x \in V \mid \sigma(x) > 0\}$ . Then G is called a uniform fuzzy graph of level k if  $\mu(x,y) = k, \forall (x,y) \in (\sigma^* \times \sigma^*)$  and  $\sigma(x) = k$  where k is a positive real such that  $0 < k_1 \leq 1$ .

**Definition 7**[15]. Let  $G = (V, E)$  be a single valued neutrosophic graph, then the **degree of a vertex**  $x_i$  is defined by  $d_G(x_i) = d_G(x) = (d_T(x), d_I(x), d_F(x)), d_G(x_i) = (\sum_{x \neq y} T_B(x,y), \sum_{x \neq y} I_B(x,y), \sum_{x \neq y} F_B(x,y))$ .

**Definition 8**[15]. Let  $G = (V, E)$  be a single valued neutrosophic graph, then the **total degree of a vertex**  $x_i$  is defined by  $td_G(x_i) = d_G(x) = (td_T(x), td_I(x), td_F(x)), td_G(x_i) = (\sum_{x \neq y} T_B(x,y) + T_A(x), \sum_{x \neq y} I_B(x,y) + I_A(x), \sum_{x \neq y} F_B(x,y) + F_A(x))$ .

**Definition 9**[13]. Let  $G = (V, E)$  be a single valued neutrosophic graph, then the complement of single valued neutrosophic graph is defined as

1.  $\bar{V} = V$
2.  $\bar{T}_A(x) = T_A(x), \bar{I}_A(x) = I_A(x), \bar{F}_A(x) = F_A(x)$  for all  $x \in V$ .
3.  $\bar{T}_B(x,y) = \min [T_A(x), T(y)] - T_B(x,y)$   
 $\bar{I}_B(x,y) = \max [I_A(x), I_A(y)] - I_B(x,y)$  and  
 $\bar{F}_B(x,y) = \max [F_A(x), F_A(y)] - F_B(x,y)$ , for all  $(x,y) \in E$

**Definition 10**[13]. Let  $G = (V, E)$  be a single valued neutrosophic graph. If  $d_G(x_i) = (k_1, k_2, k_3)$  for all  $x_i \in V$ , then the single valued neutrosophic graph is called regular SVNG of degree  $(k_1, k_2, k_3)$

**Definition 11**[13]. Let  $G = (V, E)$  be a single valued neutrosophic graph. If  $td_G(x_i) = (k_1, k_2, k_3)$  for all  $x_i \in V$ , then the single valued neutrosophic graph is called Totally regular SVNG of degree  $(k_1, k_2, k_3)$

### III. Uniform Single Valued Neutrosophic Graph

In this section, we define the concept of uniform single valued neutrosophic graphs( in short USVNGs).

**Definition 8.** Let  $G = (A, B)$  be a single valued neutrosophic graph where  $A = (T_A, I_A, F_A)$  is a single valued neutrosophic vertex of  $G$  and  $B$  is a single valued neutrosophic edge set of  $G$ . Let  $A = \{x \in V \mid T_A(x) > 0, I_A(x) > 0 \text{ and } F_A(x) > 0\}$ . Then  $G$  is called Uniform single valued neutrosophic graph of level  $(k_1, k_2, k_3)$  if  $T_B(x, y) = k_1, I_B(x, y) = k_2$  and  $F_B(x, y) = k_3 \forall (x, y) \in E$  and  $T_A(z) = k_1, I_A(z) = k_2$  and  $F_A(z) = k_3 \forall z \in V$  for some real  $k_1, k_2$  and  $k_3$  where  $0 < k_1, k_2, k_3 \leq 1$ . Let  $x \in V$ . Now,

**Example 1.** Consider an USVNG  $G = (A, B)$  on  $V = \{v_1, v_2, v_3, v_4\}$  as shown in Fig.2.

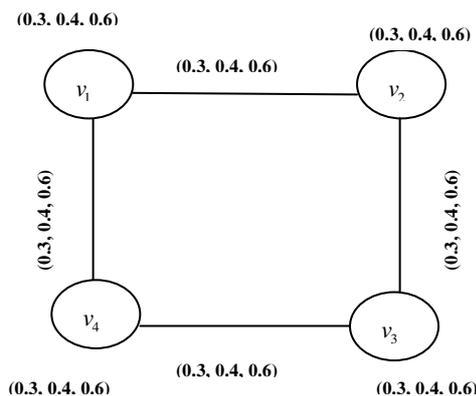


Fig. 2. USVNG.

**Remark:** The complement of an uniform single valued neutrosophic graph is always an empty graph.

**Theorem1.** If  $G = (A, B)$  is an uniform single valued neutrosophic graph of level  $(k_1, k_2, k_3)$  then  $G$  is a regular-USVNG.

**Proof.** Let  $A = \{x \in V \mid T_A(x) > 0, I_A(x) > 0 \text{ and } F_A(x) > 0\}$ . Suppose that  $G$  is a uniform single valued neutrosophic graph. Then  $T_B(x, y) = k_1, I_B(x, y) = k_2$  and  $F_B(x, y) = k_3 \forall (x, y) \in E$  and  $T_A(z) = k_1, I_A(z) = k_2$  and  $F_A(z) = k_3 \forall z \in V$  for some real  $k_1, k_2$  and  $k_3$  where  $0 < k_1, k_2, k_3 \leq 1$ .

Let  $x \in V$ . Now  $d_G(x) = (d_T(x), d_I(x), d_F(x))$

$$d_G(x) = \left( \sum_{x \neq y} T_B(x, y), \sum_{x \neq y} I_B(x, y), \sum_{x \neq y} F_B(x, y) \right) = (\sum_{x \neq y} k_1, \sum_{x \neq y} k_2, \sum_{x \neq y} k_3)$$

$$= ((n-1)k_1, (n-1)k_2, (n-1)k_3) \\ d_G(x) = ((n-1)k_1, (n-1)k_2, (n-1)k_3) \forall x \in V$$

Therefore,  $G$  is regular uniform single valued neutrosophic graph.

**Theorem 2.** If  $G = (A, B)$  is a uniform single valued neutrosophic graph of level  $(k_1, k_2, k_3)$  then  $G$  is a totally regular- USVNG.

**Proof.** Let  $A = \{x \in V \mid T_A(x) > 0, I_A(x) > 0 \text{ and } F_A(x) > 0\}$ . Suppose that  $G$  is a uniform single valued neutrosophic graph. Then  $T_B(x, y) = k_1, I_B(x, y) = k_2$  and  $F_B(x, y) = k_3 \forall (x, y) \in E$  and  $T_A(z) = k_1, I_A(z) = k_2$  and  $F_A(z) = k_3 \forall z \in V$  for some real  $k_1, k_2$  and  $k_3$  where  $0 < k_1, k_2, k_3 \leq 1$ . Let  $x \in V$ . Now,

$$td_G(x) = (d_T(x) + T_A(x), d_I(x) + I_A(x), d_F(x) + F_A(x)) \\ td_G(x) = \left( \sum_{x \neq y} T_B(x, y) + T_A(x), \sum_{x \neq y} I_B(x, y) + I_A(x), \sum_{x \neq y} F_B(x, y) + F_A(x) \right) \\ = ((\sum_{x \neq y} k_1) + k_1, (\sum_{x \neq y} k_2) + k_2, (\sum_{x \neq y} k_3) + k_3) \\ = ((n-1)k_1 + k_1, (n-1)k_2 + k_2, (n-1)k_3 + k_3) \\ td_G(x) = (nk_1, nk_2, nk_3) \forall x \in V.$$

Therefore,  $G$  is totally-regular uniform single valued neutrosophic graph.

**Theorem 3.** If  $G = (A, B)$  is a uniform single valued neutrosophic graph of level  $(k_1, k_2, k_3)$  on  $G^* = (V, E)$ , then the order of  $G$  is  $O(G) = (nk_1, nk_2, nk_3)$ .

**Proof:** Let  $A = \{x \in V \mid T_A(x) > 0, I_A(x) > 0 \text{ and } F_A(x) > 0\}$ . Suppose that  $G$  is a uniform single valued neutrosophic graph. Then  $T_B(x, y) = k_1, I_B(x, y) = k_2$  and  $F_B(x, y) = k_3 \forall (x, y) \in E$  and  $T_A(z) = k_1, I_A(z) = k_2$  and  $F_A(z) = k_3 \forall z \in V$  for some real  $k_1, k_2$  and  $k_3$  where  $0 < k_1, k_2, k_3 \leq 1$ . Let  $x \in V$ . Now

$$O(G) = (O_T(G), O_I(G), O_F(G))$$

$$O(G) = \left( \sum_{x \in V} T_A(x), \sum_{x \in V} I_A(x), \sum_{x \in V} F_A(x) \right) \\ = (\sum_{x \in V} k_1, \sum_{x \in V} k_2, \sum_{x \in V} k_3) \\ \text{Then, } O(G) = (nk_1, nk_2, nk_3).$$

$$= (\sum_{x \in V} k_1, \sum_{x \in V} k_2, \sum_{x \in V} k_3) \\ \text{Then, } O(G) = (nk_1, nk_2, nk_3).$$

**Theorem 4.** The uniform single valued neutrosophic graph is a generalization of uniform fuzzy graph.

**Proof:** Straightforward.

### IV. Computing Complement of Single Valued Neutrosophic Graph

In this section, we present in the last paper, a pseudo-code of an algorithm computing the complement of single valued neutrosophic graph. This algorithm has the ability of computing the complement of fuzzy graphs, strong intuitionistic fuzzy graphs, uniform fuzzy graphs and also uniform single valued neutrosophic graphs.

The following flowchart demonstrates the algorithm to compute the complement operator is presented in Fig.3V. Numerical Example

In this section, we present an example to compute the complements of the uniform single valued neutrosophic graph. Consider a graph in Fig.4.

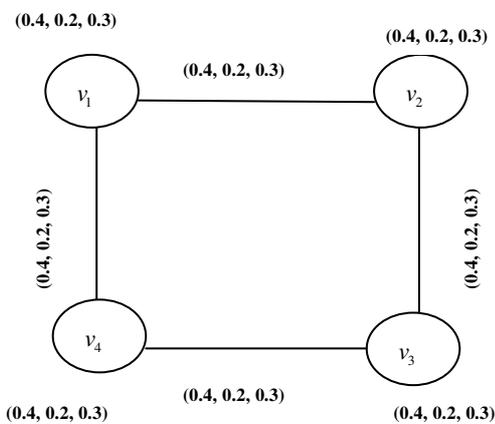


Fig. 4. A uniform single valued neutrosophic graph

Using the above pseudo code, the output result for the complement of a uniform single valued neutrosophic graph is in Fig. 5.

```

C:\Documents and Settings\said\Bureau\code of single valued ne...
Please enter no of vertex:4
Please enter (T,I,F)membership values of vertex:0.4 0.2 0.3
Please enter the edges (x to y):1 2
Please enter (T,I,F)membership values of edge:0.4 0.2 0.3
Please enter the edges (x to y):2 3
Please enter (T,I,F)membership values of edge:0.4 0.2 0.3
Please enter the edges (x to y):3 4
Please enter (T,I,F)membership values of edge:0.4 0.2 0.3
Please enter the edges (x to y):4 1
Please enter (T,I,F)membership values of edge:0.4 0.2 0.3
Please enter the edges (x to y):4 2
Please enter (T,I,F)membership values of edge: 0.4 0.2 0.3
Please enter the edges (x to y):1 3
Please enter (T,I,F)membership values of edge:0.4 0.2 0.3
The complement of Single valued neutrosophic graphs is:
1 - 2 edge membership value= 0.000000 0.000000 0.000000
1 - 3 edge membership value= 0.000000 0.000000 0.000000
1 - 4 edge membership value= 0.000000 0.000000 0.000000
2 - 1 edge membership value= 0.000000 0.000000 0.000000
2 - 3 edge membership value= 0.000000 0.000000 0.000000
2 - 4 edge membership value= 0.000000 0.000000 0.000000
3 - 1 edge membership value= 0.000000 0.000000 0.000000
3 - 2 edge membership value= 0.000000 0.000000 0.000000
3 - 4 edge membership value= 0.000000 0.000000 0.000000
    
```

Fig. 5. The outputs

Example 2 Consider a fuzzy graph as shown in Fig.6

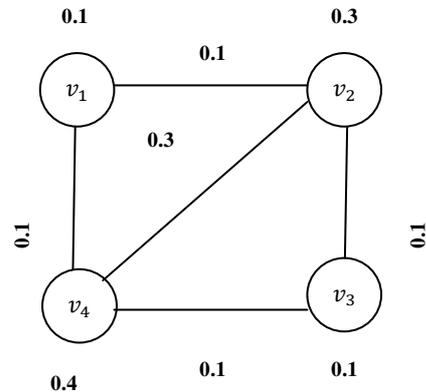


Fig. 6. Fuzzy graph

Using the above pseudo code, the output result for the complement of fuzzy graph is as follows:

```

C:\Documents and Settings\said\Bureau\dey article...
Please enter no of vertex 4
Please enter (T,F) values of vertex:0.1 0
Please enter (T,F) values of vertex:0.3 0
Please enter (T,F) values of vertex:0.1 0
Please enter (T,F) values of vertex:0.4 0
Please enter the edges (x to y):1 2
Please enter (T,F) values of edge:0.1 0
Please enter (T,F) values of edge:0.1 0
Please enter the edges (x to y):3 4
Please enter (T,F) values of edge:0.1 0
Please enter (T,F) values of edge:0.1 0
Please enter the edges (x to y):4 1
Please enter (T,F) values of edge:0.1 0
Please enter the edges (x to y):4 2
Please enter (T,F) values of edge:0.3 0
Please enter the edges (x to y):1 3
Please enter (T,F) values of edge:0 0
edges membership values of complement of IFG are:
1 - 2 edge membership value= 0.000000 0.000000
1 - 3 edge membership value= 0.100000 0.000000
1 - 4 edge membership value= 0.000000 0.000000
2 - 1 edge membership value= 0.000000 0.000000
2 - 3 edge membership value= 0.000000 0.000000
2 - 4 edge membership value= 0.000000 0.000000
3 - 1 edge membership value= 0.100000 0.000000
3 - 2 edge membership value= 0.000000 0.000000
3 - 4 edge membership value= 0.000000 0.000000
    
```

Example 3 Consider an uniform intuitionistic fuzzy graph as shown in Fig.7

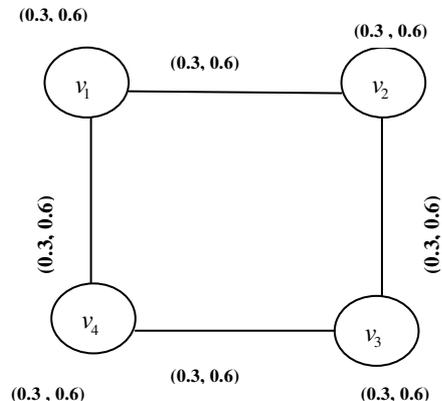


Fig.7. Uniform Intuitionistic fuzzy graph

Using the above pseudo code, the output result for the complement of uniform intuitionistic fuzzy graph is as follows

```

C:\Documents and Settings\said\Bureau\dey article...
Please enter no of vertex 4
Please enter (T,F) values of vertex: 0.3 0.6
Please enter the edges (x to y)1 2
Please enter (T,F) values of edge: 0.3 0.6
Please enter the edges (x to y)2 3
Please enter (T,F) values of edge: 0.3 0.6
Please enter the edges (x to y)3 4
Please enter (T,F) values of edge: 0.3 0.6
Please enter the edges (x to y)1 3
Please enter (T,F) values of edge: 0.3 0.6
Please enter the edges (x to y)2 4
Please enter (T,F) values of edge: 0.3 0.6
Please enter the edges (x to y)4 1
Please enter (T,F) values of edge: 0.3 0.6
edges membership values of complement of IFG are:
1 - 2 edge membership value= 0.000000 0.000000
1 - 3 edge membership value= 0.000000 0.000000
1 - 4 edge membership value= 0.000000 0.000000
2 - 1 edge membership value= 0.000000 0.000000
2 - 3 edge membership value= 0.000000 0.000000
2 - 4 edge membership value= 0.000000 0.000000
3 - 1 edge membership value= 0.000000 0.000000
3 - 2 edge membership value= 0.000000 0.000000
3 - 4 edge membership value= 0.000000 0.000000

```

## VI. CONCLUSION

In this paper, we propose a new uniform single valued neutrosophic graph and an algorithm for computing its complement. Some theorems of the uniform single valued neutrosophic graph have been examined. The algorithm in this research also enables us to compute the complement of uniform single valued neutrosophic graph. In the future, we plan to extended this algorithm for computing the complement of others variants of single valued neutrosophic graphs.

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## Appendix

```

#include<stdio.h>
#include<conio.h>
#define max 100
typedef struct {
float
Truth_membership,Indeterminate_membership,False_membership;
}fuzzy;
fuzzy
element[max][max],compliment[max][max];//element store the membership value of vertex.Compliment store the value of complimented graph.
int vertex;//store total number of vertex.

```



```

float vertex_membership[max][6]; //store membership value of vertex.
void input()
{
int i,origin,destiny; //origin & destiny store the no. of vertex. And i for iteration.
    printf("Please enter no of vertex:");
    scanf("%d",&vertex);
    for(i=0;i<vertex;i++)
    {
        printf("Please enter (T,I,F)membership values of vertex:");
        scanf("%f%f%f",&vertex_membership[i][0],&vertex_membership[i][1],&vertex_membership[i][2]); //store the membership value of vertex
        if(vertex_membership[i][0]+vertex_membership[i][1]+vertex_membership[i][2]>=3&&(vertex_membership[i][0]<=3&&vertex_membership[i][1]&&vertex_membership[i][2]))
        {
            printf("Error Invalid input\n");
            i--;
        }
    }
    for(i=0;i<vertex*(vertex-1)/2;i++)
    {
        printf("Please enter the edges (x to y):");
        scanf("%d%d",&origin,&destiny);

        if(origin>vertex||destiny>vertex||origin<=0||destiny<=0||destiny==origin)
        {
            printf("Error! Invalid input\n");
            i--;
        }
        else
        {
            printf("Please enter (T,I,F)membership values of edge:");
            scanf("%f%f%f",&element[origin-1][destiny-1].Truth_membership,&element[origin-1][destiny-1].Indeterminate_membership,&element[origin-1][destiny-1].False_membership); //store th membership value of edge.
            element[destiny-1][origin-1].Truth_membership=element[origin-1][destiny-1].Truth_membership; //store the truth-membership value of edge.
            element[destiny-1][origin-1].Indeterminate_membership=element[origin-1][destiny-1].Indeterminate_membership; //store the indeterminate-membership value of edge.
            element[destiny-1][origin-1].False_membership=element[origin-1][destiny-1].False_membership; //store the False-membership value of edge.
            if(element[origin-1][destiny-1].Truth_membership+element[origin-1][destiny-1].Indeterminate_membership+element[origin-1][destiny-1].False_membership>3) //store the membership value of edge.
            {
                printf("Error! Invalid input\n");
                i--;
            }
        }
    }
}
void output()
{
    int i,j;
    float maximum,minimum,maximuma;
    printf("The complement of Single valued neutrosophic graphs is:\n");
    for(i=0;i<vertex;i++)
    {
        for(j=0;j<vertex;j++)
        {
            if(i==j)
                j++;
            if(vertex_membership[i][0]>vertex_membership[j][0])
                minimum=vertex_membership[j][0]; //find minimum value between two vertex.
            else
                minimum=vertex_membership[i][0]; //find minimum value between two vertex.
            if(vertex_membership[i][1]>vertex_membership[j][1])
                maximum=vertex_membership[i][1]; //find maximum value between two vertex.
            else
                maximum=vertex_membership[j][1]; //find maximum value between two vertex.
        }
    }
}

```

```

if(vertex_membership[i][2]>vertex_membership[j][2])
maximума=vertex_membership[i][2];//find maximum value between two vertex.
else
maximума=vertex_membership[j][2];//find maximum value between two vertex.
compliment[i][j].Truth_membership=minimum-
element[i][j].Truth_membership;//calculating compliment.
compliment[i][j].Indeterminate_membership=maximum-
element[i][j].Indeterminate_membership;//calculating compliment.
compliment[i][j].False_membership=maximума-
element[i][j].False_membership;//calculating compliment.
}
}
for(i=0;i<vertex-1;i++)
{
for(j=0;j<vertex;j++)
{
if(i==j)
j++;
printf("%d - %d edge membership value= %f %f %f
\n",i+1,j+1,compliment[i][j].Truth_membership,complime
nt[i][j].Indeterminate_membership,compliment[i][j].False_
membership);//printing complimented graph.
}
}
}
void main()
{
input();
output();
getch();
}

```

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# On New Measures of Uncertainty for Neutrosophic Sets

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**Abstract:** The notion of entropy of single valued neutrosophic sets (SVNS) was first introduced by Majumdar and Samanta in [10]. In this paper some problems with the earlier definition of entropy has been pointed out and a new modified definition of entropy for SVNS has been proposed.

Next four new types of entropy functions were defined with examples. Superiority of this new definition over the earlier definition of entropy has been discussed with proper examples.

**Keywords:** Single valued neutrosophic sets, Neutrosophic element, Neutrosophic cube, Entropy, Entropy function, Intuitionistic fuzzy sets, Measure of uncertainty.

2010 AMS Classification: 03E72, 03E75, 62C86

## 1. Introduction.

The first successful attempt towards incorporating non-probabilistic uncertainty, i.e. uncertainty which is not caused by randomness of an event, into mathematical modelling was made in 1965 by L. A. Zadeh [20] through his remarkable theory on fuzzy sets (FST). A fuzzy set is a set where each element of the universe belongs to it but with some 'grade' or 'degree of belongingness' which lies between 0 and 1 and such grades are called membership value of an element in that set. This gradation concept is very well suited for applications involving imprecise data such as natural language processing or in artificial intelligence, handwriting and speech recognition etc. Although Fuzzy set theory is very successful in handling uncertainties arising from vagueness or partial belongingness of an element in a set, it cannot model all sorts of uncertainties prevailing in different real physical situations specially problems involving incomplete information. Further generalization of this fuzzy set was made by K. Atanassov [1] in 1986, which is known as Intuitionistic fuzzy set (IFS). In IFS, instead of one 'membership grade', there is also a 'non-membership grade' attached with each element. Furthermore there is a restriction that the sum of these two grades is less or equal to unity. In IFS the 'degree of non-belongingness' is not independent but it is dependent on the 'degree of belongingness'. A fuzzy set can be considered as a special case of IFS where the 'degree of non-belongingness' of an element is exactly equal to 'one

minus the degree of belongingness'. Intuitionistic fuzzy sets definitely have the ability to handle imprecise data of both complete and incomplete in nature. In applications like expert systems, belief systems, information fusion etc., where 'degree of non-belongingness' is equally important as 'degree of belongingness', intuitionistic fuzzy sets are quite useful. There are of course several other generalizations of Fuzzy as well as Intuitionistic fuzzy sets like L-fuzzy sets and intuitionistic L-fuzzy sets, interval valued fuzzy and intuitionistic fuzzy sets etc that have been developed and applied in solving many practical physical problems [2, 5, 6, 16].

In 1999, a new theory has been introduced by Florentin Smarandache [14] which is known as 'Neutrosophic logic'. It is a logic in which each proposition is estimated to have a degree of truth (T), a degree of indeterminacy (I) and a degree of falsity (F). A Neutrosophic set is a set where each element of the universe has a degree of truth, indeterminacy and falsity respectively and which lies between  $[0, 1^+]$ , the non-standard unit interval. Unlike in intuitionistic fuzzy sets, where the incorporated uncertainty is dependent on the degree of belongingness and degree of non belongingness, here the uncertainty present, i.e. the indeterminacy factor, is independent of truth and falsity values. Neutrosophic sets are indeed more general in nature than IFS as there are no constraints between the 'degree of truth', 'degree of indeterminacy' and 'degree of falsity'. All these degrees can individually vary within  $[0, 1^+]$ .

Smarandache [14] and Wang et. al. [17] introduced an instance of neutrosophic set known as single valued neutrosophic sets which were motivated from the practical point of view and that can be used in real scientific and engineering applications. Here the degree of truth, indeterminacy and falsity of any element of a neutrosophic set respectively lies within standard unit interval [0, 1]. The single valued neutrosophic set is a generalization of classical set, fuzzy set, intuitionistic fuzzy set and paraconsistent sets etc.

The organization of the rest of this paper is as follows: Some basic definitions and operations on SVNS are given in section 2. Section 3 discusses the notion of entropy of SVNS as defined in [10]. In section 4, some problems with the earlier definition of entropy have been pointed out using counterexample. A new definition of entropy of SVNS has been given in section 5. Section 6 concludes the paper.

**2. Single Valued Neutrosophic sets.**

A single valued neutrosophic set has been defined in [17] as follows:

**Definition 2.1** Let  $X$  be a universal set. A Neutrosophic set  $A$  in  $X$  is characterized by a truth-membership function  $t_A$ , a indeterminacy-membership function  $i_A$  and a falsity-membership function  $f_A$ ,  $\langle t_A(x), i_A(x), f_A(x) \rangle : X \rightarrow [0, 1]$ , are functions and  $\langle t_A(x), i_A(x), f_A(x) \rangle$  is a single valued neutrosophic element or simply a neutrosophic element of  $A$ .

A single valued neutrosophic set  $A$  (SVNS in short) over a finite universe  $X = \{x_1, x_2, x_3, \dots, x_n\}$  is represented as

$$A = \sum_{i=1}^n \frac{x_i}{\langle t_A(x_i), i_A(x_i), f_A(x_i) \rangle}$$

**Example 2.2** Assume that  $X = \{x_1, x_2, x_3\}$ , where  $x_1$  is capacity,  $x_2$  is trustworthiness and,  $x_3$  is price of a machine, be the universal set. The values of  $x_1, x_2, x_3$  are in [0, 1]. They are obtained from the questionnaire of some domain experts, their option could be a degree of “good service”, a degree of

indeterminacy and a degree of “poor service”.  $A$  is a single valued Neutrosophic set of  $X$  defined by

$$A = \langle 0.3, 0.4, 0.5 \rangle / x_1 + \langle 0.5, 0.2, 0.3 \rangle / x_2 + \langle 0.7, 0.2, 0.2 \rangle / x_3$$

The following is a graphical representation of a single valued neutrosophic set. The elements of a single valued neutrosophic set, denoted henceforth by a neutrosophic element  $x(t,i,f)$ , always remain inside and on a closed unit cube which henceforth will be called a **neutrosophic cube**. Figure 1 describes a neutrosophic cube.

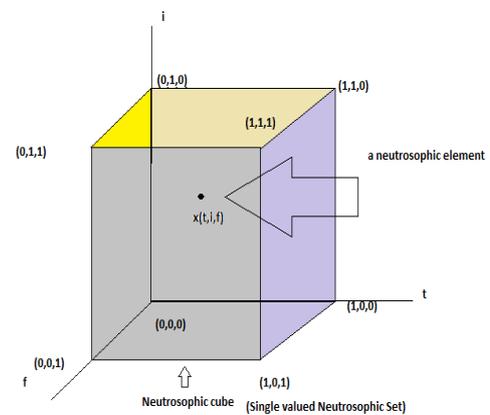


Figure 1

Next we give the definitions of complement and containment as follows:

**Definition 2.3** The complement of a SVNS  $A$  is denoted by  $A^c$  and is defined by

$$t_{A^c}(x) = f_A(x); i_{A^c}(x) = 1 - i_A(x) \text{ \& } f_{A^c}(x) = t_A(x) \forall x \in X.$$

**Definition 2.4** A SVNS  $A$  is contained in the other SVNS  $B$ , denoted as  $A \subset B$ , if and only if

$$t_A(x) \leq t_B(x); i_A(x) \leq i_B(x) \text{ \& } f_A(x) \geq f_B(x) \forall x \in X.$$

Two sets will be equal, i.e.  $A = B$ , iff  $A \subset B \text{ \& } B \subset A$ .

Let us denote the collection of all SVNS over the universe  $X$  as  $N(X)$ .

Several operations like union and intersection has been defined on SVN sets and they satisfy most of the common algebraic properties of ordinary sets.

**Definition 2.5** The union of two SVNS  $A$  &  $B$  is a SVNS  $C$ , written as  $C = A \cup B$ , which is defined as follows:

$$t_C(x) = \max(t_A(x), t_B(x)); i_C(x) = \max(i_A(x), i_B(x)) \\ \& f_C(x) = \min(f_A(x), f_B(x)) \forall x \in X.$$

**Definition 2.6** The intersection of two SVNS  $A$  &  $B$  is a SVNS  $C$ , written as  $C = A \cap B$ , which is defined as follows:

$$t_C(x) = \min(t_A(x), t_B(x)); i_C(x) = \min(i_A(x), i_B(x)) \\ \& f_C(x) = \max(f_A(x), f_B(x)) \forall x \in X.$$

For practical purpose, throughout the rest of this chapter, we have considered only SVNS over a finite universe.

Next two operators, namely 'truth favourite' and 'falsity favourite' are defined to remove indeterminacy in the SVNS and transform it into an IFS or a paraconsistent set.

**Definition 2.7** The truth favourite of a SVNS  $A$  is again a SVNS  $B$  written as  $B = \Delta A$ , which is defined as follows:

$$T_B(x) = \min(T_A(x) + I_A(x), 1) \\ I_B(x) = 0 \\ F_B(x) = F_A(x), \forall x \in X.$$

**Definition 2.8** The falsity favourite of a SVNS  $A$  is again a SVNS  $B$  written as  $B = \nabla A$ , which is defined as follows:

$$T_B(x) = T_A(x) \\ I_B(x) = 0 \\ F_B(x) = \min(F_A(x) + I_A(x), 1), \forall x \in X.$$

The next two examples of truth & falsity favourite respectively of two given SVNS:

**Example 2.9** Here the SVNS is  $A$  and the truth and falsity favourite sets are defined as follows:

$$A = \langle 0.3, 0.1, 0.5 \rangle / x_1 + \langle 0.5, 0.2, 0.3 \rangle / x_2 \\ + \langle 0.4, 0.2, 0.2 \rangle / x_3; \text{ then} \\ B = \Delta A = \langle 0.4, 0.0, 0.5 \rangle / x_1 + \langle 0.7, 0.0, 0.3 \rangle / x_2 \\ + \langle 0.6, 0.0, 0.2 \rangle / x_3 \text{ and} \\ C = \nabla A = \langle 0.3, 0.0, 0.6 \rangle / x_1 + \langle 0.5, 0.0, 0.5 \rangle / x_2 \\ + \langle 0.4, 0.0, 0.4 \rangle / x_3.$$

Here both  $B$  and  $C$  are IFS.

**Example 2.10** Again consider the neutrosophic set  $A$  given in example 2.2, then

$$B = \Delta A = \langle 0.7, 0.0, 0.5 \rangle / x_1 + \langle 0.7, 0.0, 0.3 \rangle / x_2 \\ + \langle 0.9, 0.0, 0.2 \rangle / x_3 \text{ and} \\ C = \nabla A = \langle 0.3, 0.0, 0.9 \rangle / x_1 + \langle 0.5, 0.0, 0.5 \rangle / x_2 \\ + \langle 0.7, 0.0, 0.4 \rangle / x_3.$$

Here both  $B$  and  $C$  are paraconsistent sets.

### 3. Entropy of Single Valued Neutrosophic sets.

Entropy can be considered as a measure of uncertainty about the information contained by a set. Generally crisp sets do not possess any entropy because there is no uncertainty about its members. But other non-crisp sets like fuzzy, intuitionistic fuzzy or vague etc, every set contain uncertain information of different types and hence there exists entropy for them. Here the SVNS are also capable of handling uncertain data, therefore as a natural consequence we are also interested in finding the entropy of a single valued neutrosophic set. Shannon [13] first introduced the notion of Probabilistic entropy. Shannon entropy has many applications in theory of communications. Entropy as a measure of fuzziness was first mentioned by Zadeh [21] in 1968. Later De Luca-Termini [4] axiomatized the non-probabilistic entropy.

- (DT1)  $E(A) = 0$  iff  $A \in 2^X$
- (DT2)  $E(A) = 1$  iff  $\mu_A(x) = 0.5, \forall x \in X$
- (DT3)  $E(A) \leq E(B)$  iff  $A$  is less fuzzy than  $B$ , i.e. if  $\mu_A(x) \leq \mu_B(x) \leq 0.5 \forall x \in X$ . (3.1) or if  $\mu_A(x) \geq \mu_B(x) \geq 0.5, \forall x \in X$ .
- (DT4)  $E(A^c) = E(A)$ .

Several other authors have investigated the notion of entropy. Kaufmann [7] proposed a distance based measure of fuzzy entropy; Yager [18, 19] gave another view of entropy or the degree of fuzziness of any fuzzy set in terms of lack of distinction between the fuzzy set and its complement. Kosko [8] investigated the fuzzy entropy in relation to a measure of subset hood. Szmidt & Kacprzyk [15] studied the entropy of intuitionistic fuzzy sets etc. Several applications of fuzzy entropy in solving many practical problems like image processing, inventory, economics can be found in literatures [3, 11, 12]. In [9, 10] the notion of entropy of single valued neutrosophic sets was first introduced. The following definition of entropy of a SVNS is due to [10]:

According to them the entropy  $E$  of a fuzzy set  $A$  should satisfy the following axioms:

**Definition 3.3** Here in case of SVNS also we introduce the entropy as a function  $E_N : N(X) \rightarrow [0, 1]$  which satisfies the following axioms:

- (i)  $E_N(A) = 0$  if  $A$  is a crisp set
- (ii)  $E_N(A) = 1$  if  $(t_A(x), i_A(x), f_A(x)) = (0.5, 0.5, 0.5) \forall x \in X$
- (iii)  $E_N(A) \geq E_N(B)$  if  $A$  is more uncertain than  $B$   
i.e.  $t_A(x) + f_A(x) \leq t_B(x) + f_B(x)$   
and  $|i_A(x) - i_{A^c}(x)| \leq |i_B(x) - i_{B^c}(x)|$  (3.2)

Now notice that in a SVNS the presence of uncertainty is due to two factors, firstly due to the partial belongingness and partial non-belongingness and secondly due to the indeterminacy factor. Considering these two factors, an entropy function

$E_1$  for a single valued neutrosophic sets  $A$  was proposed and it is defined as follows:

$$E_1(A) = 1 - \frac{1}{n} \sum_{x_i \in X} (t_A(x_i) + f_A(x_i)) \cdot |i_A(x_i) - i_{A^c}(x_i)|. (3.3)$$

**Proposition 3.4**  $E_1$  satisfies all the axioms given in definition 3.3.

**Example 3.5** Let  $X = \{a, b, c, d\}$  be the universe and  $A$  be a single valued neutrosophic set in  $X$  defined as follows:

$$A = \left\{ \frac{a}{\langle 0.5, 0.2, 0.9 \rangle}, \frac{b}{\langle 0.8, 0.4, 0.2 \rangle}, \frac{c}{\langle 0.3, 0.8, 0.7 \rangle}, \frac{d}{\langle 0.6, 0.3, 0.5 \rangle} \right\}.$$

Then the entropy of  $A$  will be

$$E_1(A) = 1 - 0.52 = 0.48.$$

**4. Problems with the earlier definition.**

In this section we point out some problems with the earlier definition of entropy given in [10].

**Problem 4.1:** The entropy function  $E_1$  defined in equation 3.3 is not a correct entropy function. Especially it may not lie in  $[0, 1]$ .

The following example satisfies the claim:

**Example 4.2** A counter example:

In the following example we will show that  $E_1$  is not always an entropy function for all SVN sets.

Let  $X = \{a, b\}$  be the universe and let

$$A = \left\{ \frac{a}{(1.0, 0.01, 1.0)}, \frac{b}{(1.0, 0.02, 1.0)} \right\}$$
 be a SVNS, then

$$E_1 = 1 - \frac{1}{2} \cdot (2 \times 0.98 + 2 \times 0.96) = 1 - 1.94 = -0.94 < 0, \text{ which is undesirable.}$$

This definition holds only if  $t_A(x) + f_A(x) \leq 1$  holds.

The figure 2 shows that actually the half cubic portion ABODEGBA, left of the yellow plane of the ‘neutrosophic cubic’ where formula  $E_1$  given in equation 3.3 holds. But for the other half cube it may not hold true as described above.

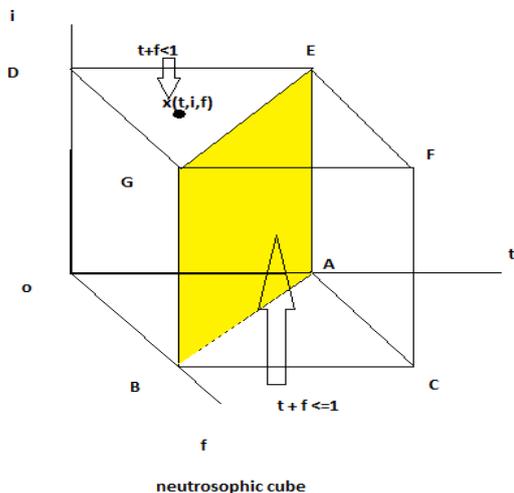


Figure 2

**Problem 4.3:** In definition 3.1, the most uncertain case is assumed to be (0.5, 0.5, 0.5) which is not necessarily true. Rather (0.5, 1, 0.5) is more uncertain case as here the indeterminacy factor ‘i’ has the maximum value. Also (1, 1, 1) is far more uncertain than (0.5, 0.5, 0.5).

More generally speaking the area indicated by pink colour in the neutrosophic cube is the place where lies the most uncertain cases. We further assume that the points D,G,F,E,J are the most uncertain neutrosophic elements because there indeterminacy is 1 and truth and falsities are also extreme. No other point in pink region can have higher uncertainty value.

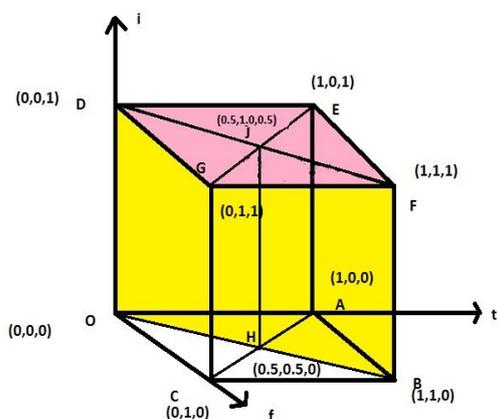


Figure 3

**Problem 4.4** In case of neutrosophic sets or single valued neutrosophic sets, the degree of indeterminacy (i) of any neutrosophic element x in its complement set is defined as 1-i. This does not seem to be very reasonable. The degree of indeterminacy in the original set and its complement should be same because both bears the same amount of uncertainty. Also neutrosophic sets are generalizations of intuitionistic fuzzy sets. There the amount of uncertainty for any IF set A is measured as  $\pi_A = 1 - t_A - f_A$ . Then  $\pi_A^c = 1 - f_A^c - t_A^c$  and hence  $\pi_A = \pi_A^c$ . Neutrosophic sets are generalizations of IF sets so accordingly here also  $i = i^c$  should hold. Therefore we represent a new definition of complement of a SVNS as follows:

**Definition 4.5** The complement of a SVNS A is denoted by  $A^c$  and is defined by

$$t_{A^c}(x) = f_A(x); i_{A^c}(x) = i_A(x) \\ \& f_{A^c}(x) = t_A(x) \forall x \in X.$$

Then A will satisfy involutive law :  $(A^c)^c = A$ .

Although we have to sacrifice De’Morgans Law in this case.

Considering the above problems, we propose a new modified definition of entropy of single valued neutrosophic sets in the next section.

**5. New modified definition of Entropy of Neutrosophic Sets**

In this section we present a modified definition of entropy for neutrosophic sets. But before that we have to introduce two new definitions, namely ‘intuitionistic uncertainty’ and ‘more uncertain’ SVNS.

**Definition 5.1** For any neutrosophic set

$$A = \sum_{i=1}^n \frac{x_i}{\langle t_A(x_i), i_A(x_i), f_A(x_i) \rangle} \quad \text{the}$$

Intuitionistic uncertainty of any neutrosophic element  $\langle t_A(x), i_A(x), f_A(x) \rangle$  is defined as:

$$\pi_A^N(x) = \frac{1}{2} \times (2 - t_A(x) - f(x)), \forall x \in X.$$

Then for the whole SVN \$A\$ the intuitionistic uncertainty will be defined as

$$\pi_A^N = \frac{1}{|X|} \sum_{x_i \in X} \pi_A^N(x_i).$$

Note that intuitionistic uncertainty satisfies the following properties:

(i)  $0 \leq \pi_A^N(x), \pi_A^N \leq 1$  and (ii)  $\pi_A^N = \pi_{A^c}^N$ .

But for neutrosophic elements of type  $\langle 0.5, i_A(x), 0.5 \rangle$ ,  $\pi_A^N(x) \neq 1$  which is natural as in SVN the uncertainty depends on both  $\pi_A^N(x)$  and  $i_A(x)$ .

Consider the SVN given in example 2.2, here  $\pi_A^N(x_1) = 0.6, \pi_A^N(x_2) = 0.6, \pi_A^N(x_3) = 0.55$  and thus  $\pi_A^N \approx 0.583$ .

**Example 5.2** Consider the examples 2.9 and 2.10. In the first example  $\pi_A^N \approx 0.633$  but

$$\begin{aligned} \pi_{\Delta A}^N = \pi_{\exists}^N &= \frac{1}{|X|} \sum_{i=1}^3 \frac{(2-t_i-f_i)}{2} = \frac{1}{3} \left\{ \frac{1.1+1+1.2}{2} \right\} \\ &= 0.55 = \pi_{\nabla A}^N = \pi_{\subset}^N. \end{aligned}$$

In the later example  $\pi_A^N \approx 0.583$  but

$$\begin{aligned} \pi_{\Delta A}^N = \pi_{\exists}^N &= \frac{1}{|X|} \sum_{i=1}^3 \frac{(2-t_i-f_i)}{2} = \frac{1}{3} \left\{ \frac{.8+1+.9}{2} \right\} \\ &= 0.45 = \pi_{\nabla A}^N = \pi_{\subset}^N. \end{aligned}$$

So we can also see that the Intuitionistic uncertainty of truth favourite and falsity favourite sets of a SVN are same because the value of  $(2 - t_i - f_i)$  is same for every element in each set  $\Delta A$  and  $\nabla A$ , but it's different (uncertainty decreased) with the original SVN \$A\$.

**Definition 5.3** A SVN \$A\$ is said to be more uncertain than another SVN \$B\$, denoted as  $A < B$ , if and only if

i.e. if  $\pi_A^N(x) \geq \pi_B^N(x)$ , or  $t_A(x) + f_A(x) \leq t_B(x) + f_B(x)$  and  $i_A(x) \geq i_B(x), \forall x \in X$ .

**Example 5.4** Consider the following two SVN's \$A\$ and \$B\$ defined as follows:

$$A = \langle 0.3, 0.8, 0.5 \rangle / x_1 + \langle 0.2, 0.7, 0.4 \rangle / x_2 + \langle 0.7, 0.6, 0.3 \rangle / x_3,$$

$$B = \langle 0.7, 0.6, 0.5 \rangle / x_1 + \langle 0.2, 0.5, 0.7 \rangle / x_2 + \langle 0.9, 0.3, 0.2 \rangle / x_3.$$

Then

	A	$t_A + f_A$	$i_A$
$x_1$		0.8	0.8
$x_2$		0.6	0.7
$x_3$		1.0	0.6

and

	B	$t_B + f_B$	$i_B$
$x_1$		1.2	0.6
$x_2$		0.9	0.5
$x_3$		1.1	0.3

Therefore here \$A\$ is more uncertain than \$B\$,

i.e.  $A < B$ .

Now we introduce a new definition of Entropy for SVN:

**Definition 5.5** For any SVN \$A\$ we define entropy as a function  $E_N : N(X) \rightarrow [0, 1]$  which satisfies the following axioms:

- (1)  $E_N(A) = 0$  if \$A\$ is a crisp set
- (2)  $E_N(A) = 1$  for  $\forall x, x \in$  neutrosophic elements  $D, E, F, G, J$   
i.e. if  $(t_A(x), i_A(x), f_A(x)) = J = (0.5, 1.0, 0.5) \forall x \in X$ , or  $F = (1, 1, 1) \forall x \in X$  or  $D = (0, 1, 0) \forall x \in X$  or  $G = (0, 1, 1) \forall x \in X$  or  $E = (1, 1, 0) \forall x \in X$
- (3)  $E_N(A) \geq E_N(B)$  if \$A\$ more uncertain than \$B\$, i.e. if  $A < B$ .
- (4)  $E_N(A) = E_N(A^c) \forall A \in N(X)$ .....(3.4)

We can also classify entropy of SVN's into 4 classes namely type I - IV according to the point for which we get maximum entropy value.

**Example 5.6** Considering these two factors we propose an entropy measure  $E_i$  of a single valued neutrosophic sets  $A$  as follows:

$$(i) E(x) = \text{Min}\{1, (2 - t_x - f_x).i_x\}, x \in A$$

$$\text{and } E_1(A) = \frac{1}{|X|} \sum_{x \in A} E(x) \dots \dots \dots (3.18)$$

$$(ii) E(x) = \frac{1}{2} \cdot \{|1 - t_x - f_x| + i_x\}, x \in A$$

$$\text{and } E_2(A) = \frac{1}{|X|} \sum_{x \in A} E(x) \dots \dots \dots (3.19)$$

$$(iii) E(x) = \frac{1}{2} \cdot (2 - t_x - f_x).i_x, x \in A$$

$$\text{and } E_3(A) = \frac{1}{|X|} \sum_{x \in A} E(x) \dots \dots \dots (3.20)$$

$$(iv) E(x) = (2 - t_x - f_x).i_x, x \in A$$

$$\text{and } E_4(A) = \frac{1}{|X|} \sum_{x \in A} E(x) \dots \dots \dots (3.21)$$

Here  $E_1(A)$  is an entropy function for any SVNS  $A$  of Type I,  $E_2(A)$  is of Type II,  $E_3(A)$  is of Type III and  $E_4(A)$  is an entropy function for any SVNS  $A$  of Type IV respectively.

**Example 5.7** Consider the example 2.2. In this case we have

$$E_1(A) = E_4(A) \approx 0.31, E_2(A) \approx 0.22, E_3(A) \approx 0.16.$$

$$\text{So average entropy is } \frac{1}{4} \sum_i E_i(A) = 0.25.$$

**6. Conclusion:**

In this paper we have introduced a new modified definition of entropy of SVNS which is significantly different from earlier definition of entropy for SVNS.

This definition is more logical than the earlier and radically different in nature due to the introduction of new concepts like ‘intuitionistic uncertainty’ of a SVNS, ‘more uncertain SVNs’, ‘most uncertain SVNs’ etc.

Here we have also introduced four different types of entropy functions which are more general in nature and free from the anomalies present in the earlier entropy function.

One can further study the applications of these entropy functions in solving several decision making problems.

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## A framework for PEST analysis based on neutrosophic cognitive map: case study in a vertical farming initiative

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### Abstract.

Recently, neutrosophic cognitive maps and its application in decision making have become a topic of great importance for researchers and practitioners alike. In this paper, a new model PEST analysis is presented based on neutrosophic cognitive maps static analysis. The proposed framework is composed of five activities, identifying PEST factors and sub-factors, modelling interrelation among PEST

factors, calculate centrality measures, factor classification, and factors ranking. A case study developed in environment analysis for a vertical farming project was presented, ranking factor based in interrelation and incorporating indeterminacy in the analysis. Further works will concentrate extending the model for incorporating scenario analysis.

**Keywords:** PEST, Neutrosophy, Neutrosophic Cognitive Maps, Static Analysis, Vertical Farming.

### 1. Introduction

PEST (Political, Economic, Social and Technological), is used to assess these four external factors in relation to business situation [1]. When environment and legal factors are included it is name PESTEL (Political, Economic, Socio-cultural, Technological, Environment and Legal) analysis [2]. PEST analysis lacks a quantitative approach to the measurement of interrelation among it factor is generally ignored. Neutrosophic sets and logic is a generalization of fuzzy set and logic based on neutrosophy [3].

Neutrosophy can handle indeterminate and inconsistent information, while fuzzy sets and intuitionistic fuzzy sets cannot describe them appropriately [4].

In this paper a new model PEST analysis based on neutrosophic cognitive maps (NCM) [5] is presented giving methodological support and the possibility of dealing with interdependence, feedback and indeterminacy. This paper is structured as follows: Section 2 reviews some important concepts about PEST analysis framework and NCM. In Section 3, a framework for PEST analysis based on NCM static analysis is presented. Section 4 shows a case study of the proposed model applied to vertical farming project environment analysis. The paper ends with conclusions and further work recommendations.

### 2. Preliminaries

In this section, we first provide a brief revision PEST analysis and the interdependency of its factors. We then provide a review of the foundations of NCM.

#### 2.1 PESTEL Analysis

PEST (Political, Economic, Social and Technological), analysis is a precondition analysis with the main function of the identification of the environment within which the company or project operates and providing data and information that will enable the organization predictions of new situations and circumstances [6, 7].

PEST analysis in the original formulation lack a quantitative approach to measurement and the analyzed factors are generally measured and evaluated independently [2].

PEST have a hierarchical structure of objective, factor and sub-factor (Figure 1).

In [2] a proposal from analysis PEST in a multicriteria environment is presented, but only interdependency among factor is analysis.

Additionally, factors and sub-factor have ambiguity, vagueness and indeterminacy in their structure.

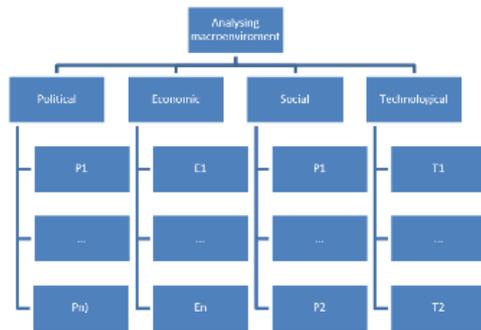


Fig. 1. The hierarchical model of PEST

This study presents a model to address problems encountered in the measurement and evaluation process of PEST analysis taking into account interdependencies among sub-factors. The integrated structure of PESTEL sub-factors were modeled by NCM and quantitative analysis is developed based on static analysis.

### 2.2 Neutrosophic cognitive maps

Neutrosophic Logic (NL) was introduced in 1995 as a generalization of the fuzzy logic, especially of the intuitionistic fuzzy logic [8]. A logical proposition P is characterized by three neutrosophic components:

$$NL(P) = (T, I, F) \tag{1}$$

where T is the degree of truth, F the degree of falsehood, and I the degree of indeterminacy.

A neutrosophic matrix is a matrix where the elements  $a_{ij}$  have been replaced by elements in  $\langle R \cup I \rangle$ , where  $\langle R \cup I \rangle$  is the neutrosophic integer ring [9]. A neutrosophic graph is a graph in which at least one edge is a neutrosophic edge [10]. If indeterminacy is introduced in cognitive mapping it is called Neutrosophic Cognitive Map (NCM) [11].

NCM are based on neutrosophic logic to represent uncertainty and indeterminacy in cognitive maps [3]. A NCM is a directed graph in which at least one edge is an indeterminacy denoted by dotted lines [12] (Figure 2.).

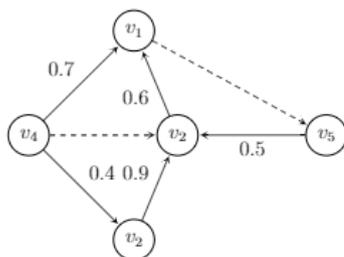


Fig. 2. Fuzzy Neutrosophic Cognitive Maps example.

In [13] a static analysis of mental model in the form of NCM is presented. The result of the static analysis result is in the form of neutrosophic numbers  $(a+bI)$ , where I = indeterminacy) [14]. Finally a deneutrosophication process as proposes by Salmeron and Smarandache [15] is applied to given the final ranking value. In this paper this model is extended and detailed to deal with factor classification and prioritization.

### 3. Proposed Framework

Our aim is to develop a framework PEST analysis based on NCM. The model consists of the following phases (graphically, Figure 3).

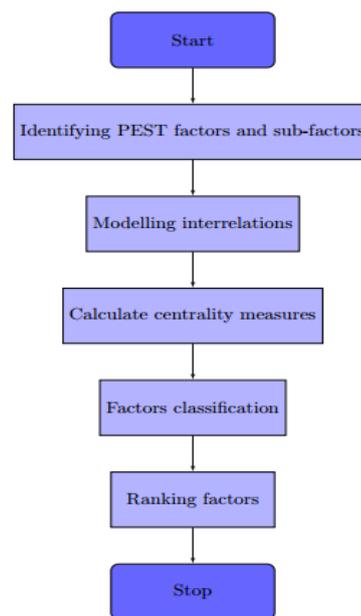


Fig. 3. Proposed framework for PEST analysis.

#### 3.1 Identifying PEST factors and sub-factors

In this step, the relevant PESTEL factors and sub-factors are identified. PESTEL factors are derived from the themes: political, economic, socio-cultural, technological factors. Identifying PEST factors and sub-factors to form a hierarchical structure of PESTEL model (Figure 1.)

#### 3.2 Modelling interdependencies

Causal interdependencies among PEST sub-factors are modelled. This step consists of the formation of NCM of sub-factors, according to the views of the expert team.

### 3.3 Calculate centrality measures

The following measures are calculated [16] with absolute values of the NCM adjacency matrix [17]:

1. Outdegree  $od(v_i)$  is the row sum of absolute values of a variable in the neutrosophic adjacency matrix. It shows the cumulative strengths of connections ( $c_{ij}$ ) exiting the variable.

$$od(v_i) = \sum_{j=1}^N c_{ij} \quad (2)$$

2. Indegree  $id(v_i)$  is the column sum of absolute values of a variable. It shows the cumulative strength of variables entering the variable.

$$id(v_i) = \sum_{j=1}^N c_{ji} \quad (3)$$

3. The centrality (total degree  $td(v_i)$ ), of a variable is the summation of its indegree (in-arrows) and out-degree (out-arrows)

$$td(v_i) = od(v_i) + id(v_i) \quad (4)$$

### 3.4 Factors classification

Factors are classified according to the following rules:

- a) Transmitter variables have a positive or indeterminacy outdegree,  $od(v_i)$  and zero indegree,  $id(v_i)$ .
- b) Receiver variables have a positive indegree or indeterminacy,  $id(v_i)$ , and zero outdegree,  $od(v_i)$ .
- c) Ordinary variables have both a non-zero indegree and. Ordinary variables can be more or less a receiver or transmitter variables, based on the ratio of their indegrees and outdegrees.

### 3.5 Ranking Factors

A de-neutrosophication process gives an interval number for centrality. This one is based on max-min values of I. A neutrosophic value is transformed in an interval with two values, the maximum and the minimum value  $\in [0,1]$ .

The contribution of a variable in a cognitive map can be understood by calculating its degree centrality, which shows how connected the variable is to other variables and what the cumulative strength of these connections are. The median of the extreme values [18] is used to give a centrality value :

$$\lambda([a_1, a_2]) = \frac{a_1 + a_2}{2} \quad (5)$$

Then

$$A > B \Leftrightarrow \frac{a_1 + a_2}{2} > \frac{b_1 + b_2}{2} \quad (6)$$

Finally, a ranking of variables is given. The numerical value it used for factor prioritization and/or reduction [19].

## 4. Case Study

Environmental concerns, including issues of ecological justice, attention to sustainability, and focus on issues of food security have gathered increased momentum in vertical farming [20]. This case study is based in a vertical farming project proposal at the University of Guayaquil.

In recent years, Guayaquil has become a city of cement with scarcity on green areas [21]. The main goal of the project is the optimization and use of spaces not suitable for cultivation, such as walls and terraces; with systems of supports helping in the beautification of the environment and allow the planting of plants of distinct types obtaining a commercial harmony sustained in the environment.

Initially factors and sub-factors were identified. Figure 3 shows the hierarchical structure.

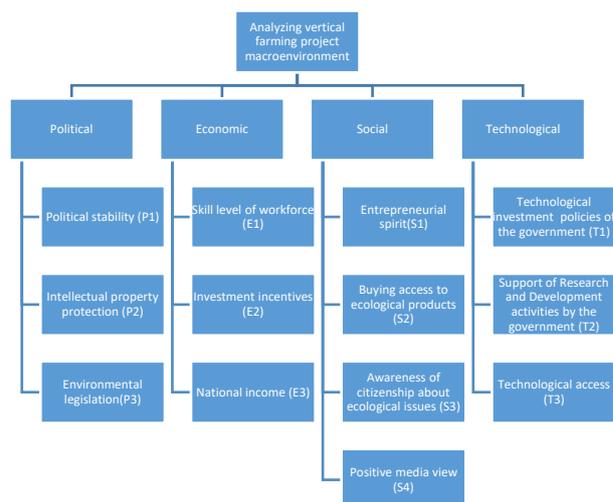


Fig. 4. The hierarchical model of PEST in the vertical farming project.

Interdependencies are identified and modelled using a NCM. NCM with weights is represented in Table 1.

**Table 1. Neutrosophic Adjacency Matrix**

	P1	P2	P3	E1	E2	E3	S1	S2	S3	S4	T1	T2	T3
P1	0	0	0	0	0	0	0	0	0	0	0.7	0.6	0
P2	0	0	0	0	0.4	0	0	0	0	0	0	0	I
P3	0	0	0	0	0	0	0	0.3	0	0	0	0	0
E1	0	0	0	0	0	0	0.6	0	0	0	0	0	0
E2	0	0	0	0	0	0.3	0.4	0	0	0	0	0	0
E3	0	0	0	0	0	0	0	0.8	0	0	0	0	0
S1	0	0	0	0	0	0	0	0	0	0	0	0	0
S2	0	0	0	0	0	0	0	0	0	0	0	0	0
S3	0	0	0	0	0	0	0	0	0	0	0	0	0
S4	0	0	0	0	0	0	0	0	0	0	0	0	0
T1	0	0	0	0.2	0	0	0	0	0	0	0	0	0
T2	0	0	0	0	0	I	I	0	0.4	0.5	0	0	0
T3	0	0	0	0	0	0	0.4	0	0	0	0	0	0

The centralities measures are calculates. Outdegree and indegree measures are presentes in Table 2.

**Table 2. Centrality measures, outdegree, indegree.**

	id	od
P1	1.3	0
P2	0.4+I	0
P3	0.3	0
E1	0.6	0.2
E2	0.7	0.4
E3	0.8	0.3+I
S1	0	1.4+I
S2	0	1.1
S3	0	0.4
S4	0	0.5
T1	0.2	0.7
T2	0.9+2I	0.6
T3	0.4	I

Later nodes are classified. In this case, political nodes are transmitter and social nodes are received. The rest of the nodes are ordinary.

**Table 3. Nodes classification**

	Transmitter	Receiver	Ordinary
P1	X		
P2	X		
P3	X		
E1			X
E2			X
E3			X
S1		X	

S2		X	
S3			
S4			
T1			X
T2			X
T3			X

Total degree (Eq. 4) was calculated. Results are show in Table 5.

**Table 4. Total degree**

	td
P1	1.3
P2	0.4+I
P3	0.3
E1	0.8
E2	1.1
E3	1.1+I
S1	1.4+I
S2	1.1
S3	0.4
S4	0.5
T1	0.9
T2	1.5+2I
T3	0.4+I

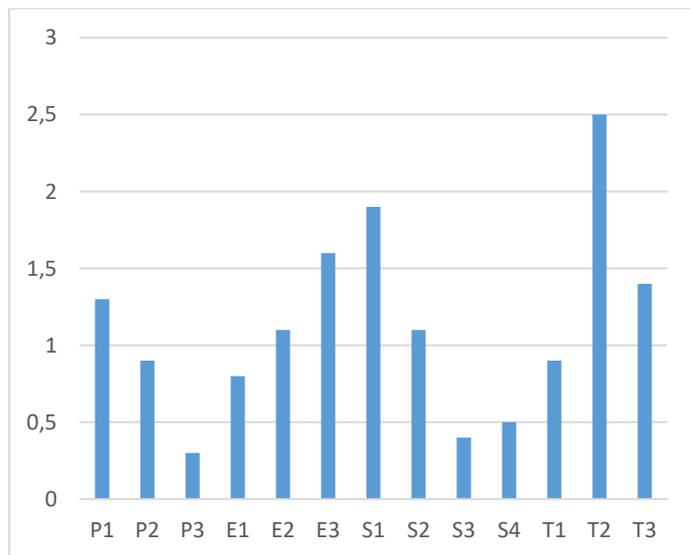
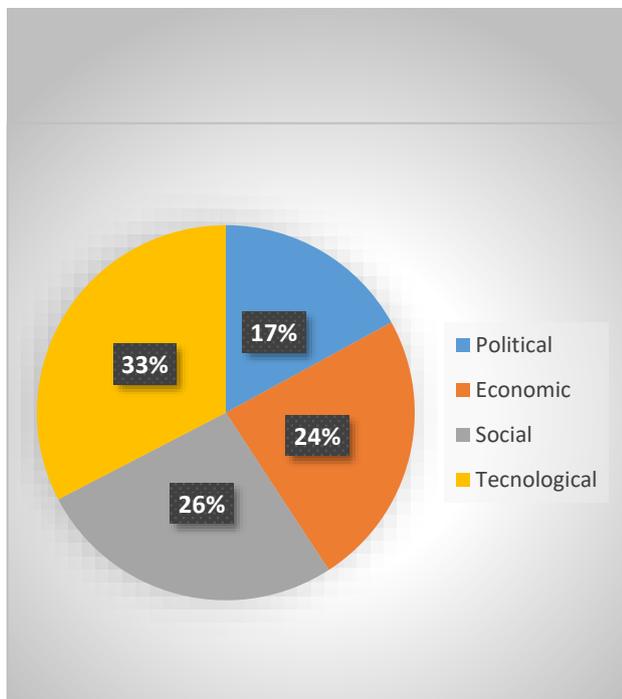
The next step is the de-neutrosophication process as proposes by Salmeron and Smarandache [15].  $I \in [0,1]$  is replaced by both maximum and minimum values. In Table 6 are presented as interval values.

**Table 3. De-neutrosophication, total degree values**

	td
P1	1.3
P2	[0.4, 1.4]
P3	0.3
E1	0.8
E2	1.1
E3	[1.1, 2.1]
S1	[1.4, 2.4]
S2	1.1
S3	0.4
S4	0.5
T1	0.9
T2	[1.5, 3.5]
T3	[0.4, 1.4]

Finally we work with the median of the extreme values (Eq 5) [18].

**Table 4.** Total degree using median of the extreme values



**Fig. 5.** Total degree measures

The ranking obtained is as follows:

$$T_2 > S_1 > E_3 > T_3 > P_1 > E_2 \sim S_2 > P_2 \sim T_1 > E_1 > S_4 > S_3 > P_2$$

Support of research and development activities by the government was selected as the top environment factor at this vertical farming initiative. Centrality measures of sub factor were grouped according to its factors (Figure 6).

	td
P1	1.3
P2	0,9
P3	0.3
E1	0.8
E2	1.1
E3	1.6
S1	1,9
S2	1.1
S3	0.4
S4	0.5
T1	0.9
T2	2.5
T3	1.4

Graphically the result is shown in Figure 4.

**Fig. 6.** Aggregated total centrality values by factors

After application in this case study the model is found to be practical to use. The NCM gives a high flexibility and take into account interdependencies PEST analysis

### 5. Conclusions

This study presents a model to address problems encountered in the measurement and evaluation process of PEST analysis taking into account interdependencies among sub-factors modeling uncertainty and indeterminacy. The integrated structure of PESTEL sub-factors were modeled by NCM and quantitative analysis is developed based on static analysis.

To demonstrate the applicability of the proposal a case study to a vertical farming project proposed at the University of Guayaquil. Most notably, this is the first study to our knowledge to integrate NCM to the PEST analysis Schema. Our approach has many applications in complex decision

problem that include interdependencies among criteria, and such as complex agriculture decision support.

Further works will concentrate extending the model for dealing scenario analysis and the use of compensatory operator in static analysis [22]. Another area of future work is the developing a consensus model for NCM and the development of a software tool.

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# An Evidence Fusion Method with Importance Discounting Factors based on Neutrosophic Probability Analysis in DS<sub>m</sub>T Framework

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## Abstract:

To obtain effective fusion results of multi source evidences with different importance, an evidence fusion method with importance discounting factors based on neutrosophic probability analysis in DS<sub>m</sub>T framework is proposed. First, the reasonable evidence sources are selected out based on the statistical analysis of the pignistic probability functions of single focal elements. Secondly, the neutrosophic probability analysis is conducted based on the similarities of the pignistic probability functions from the prior evidence knowledge of the reasonable evidence sources. Thirdly, the importance discounting factors of the reasonable evidence sources are obtained based on the neutrosophic probability analysis and the reliability

discounting factors of the real-time evidences are calculated based on probabilistic-based distances. Fourthly, the real-time evidences are discounted by the importance discounting factors and then the evidences with the mass assignments of neutrosophic empty sets are discounted by the reliability discounting factors. Finally, DS<sub>m</sub>T+PCR5 of importance discounted evidences is applied. Experimental examples show that the decision results based on the proposed fusion method are different from the results based on the existed fusion methods. Simulation experiments of recognition fusion are performed and the superiority of proposed method is testified well by the simulation results.

**Keywords:** Information fusion; Belief function; Dezert-Smarandache Theory; Neutrosophic probability; Importance discounting factors.

## 1. Introduction

As a high-level and commonly applicable key technology, information fusion can integrate partial information from multisource, and decrease potential redundant and incompatible information between different sources, thus reducing uncertainties and improving the quick and correct decision ability of high intelligence systems. It has drawn wide attention attention by scholars and has found many successful applications in the military and economy fields in recent years [1-9]. With the increment of information environmental complexity, effective highly conflict evidence reasoning has huge demands on information fusion. Belief function also called evidence theory which includes Dempster-Shafer theory (DST) and Dezert-Smarandache theory (DS<sub>m</sub>T) has made great efforts and contributions to solve this problem. Dempster-Shafer theory (DST) [10,11] has been commonly applied in information fusion field since it can represent uncertainty and full ignorance effectively and includes Bayesian theory

as a special case. Although very attractive, DST has some limitations, especially in dealing with highly conflict evidences fusion [9]. DS<sub>m</sub>T, jointly proposed by Dezert and Smarandache, can be considered as an extension of DST. DS<sub>m</sub>T can solve the complex fusion problems beyond the exclusive limit of the DST discernment framework and it can get more reasonable fusion results when multisource evidences are highly conflicting and the refinement of the discernment framework is unavailable. Recently, DS<sub>m</sub>T has many successful applications in many areas, such as, Map Reconstruction of Robot [12,13], Clustering [14,15], Target Type Tracking [16,17], Image Processing [18], Data Classification [19-21], Decision Making Support [22], Sonar Imagery [23], and so on. Recently the research on the discounting factors based on DST or DS<sub>m</sub>T have been done by many scholars [24,25]. Smarandache and et al [24] put forward that discounting factors in the procedure of evidence fusion should conclude

importance discounting factors and reliability discounting factors, and they also proved that effective fusion could not be carried out by Dempster combination rules when the importance discounting factors were considered. However, the method for calculating the importance discounting factors was not mentioned. A method for calculating importance or reliability discounting factors was proposed in article [25]. However, the importance and reliability discounting factors could not be distinguished and the focal element of empty set or full ignorance was processed based on DST. As the exhaustive limit of DST, it could not process empty set effectively. So, the fusion results based on importance and reliability

discounting factors are the same in [25], which is not consist with real situation. In this paper, an evidence fusion method with importance discounting factors based on neutrosophic probability analysis in DSMT framework is proposed. In Section 2, basic theories including DST, DSMT and the dissimilarity measure of evidences are introduced briefly. In Section 3, the contents and procedure of the proposed fusion method are given. In Section 4, simulation experiments in the application background of recognition fusion are also performed for testifying the superiority of proposed method. In Section 5, the conclusions are given.

## 2. Basic Theories

### 2.1. DST

Let  $\Theta = \{\theta_1, \theta_2, L, \theta_n\}$  be the discernment frame having  $n$  exhaustive and exclusive hypotheses  $\theta_i, i = 1, 2, L, n$ . The exhaustive and exclusive limits of DST assume that the refinement of the fusion problem is accessible and the hypotheses are

$$2^\Theta = \{\emptyset, \{\theta_1\}, \{\theta_2\}, L, \{\theta_n\}, \{\theta_1, \theta_2\}, L, \{\theta_1, \theta_2, L, \theta_n\}\}. \tag{1}$$

In Shafer's model, a basic belief assignment (bba)  $m(\cdot): 2^\Theta \rightarrow [0,1]$  which consists evidences is defined by  $m_k(\emptyset) = 0$  and  $\sum_{A \in 2^\Theta} m(A) = 1$ . (2)

The DST rule of combination (also called the Dempster combination rule) can be considered as a conjunctive normalized rule on the power set  $2^\Theta$ . The fusion results based on the Dempster combination rule are obtained by the bba's products

$$(m_1 \oplus m_2)(C) = \frac{1}{1-K} \sum_{A \cap B = C} m_1(A)m_2(B), \forall C \subseteq \Theta \tag{3}$$

$$K = \sum_{\substack{A, B \subseteq \Theta \\ A \cap B = \emptyset}} m_1(A)m_2(B) \tag{4}$$

In some applications of multisource evidences fusion, some evidences influenced by the noise or some other conditions are highly conflicting with the other evidences. The reliability of an evidence can represent its accuracy degree of describing the given problem. The reliability discounting factor  $\alpha$  in  $[0, 1]$  is considered as the quantization of the reliability of an evidence. The reliability discounting method of

of the focal elements from different evidences which intersect to get the focal elements of the results. DST also assumes that the evidences are independent. The  $i^{\text{th}}$  evidence source's bba is denoted  $m_i$ . The Dempster combination rule is given by [10,11]:

DST (also called the Shafer's discounting method) is widely accepted and applied. The method consists of two steps. First, the mass assignments of focal elements are multiplied by the reliability discounting factor  $\alpha$ . Second, all discounted mass assignments of the evidence are transferred to the focal element of full ignorance  $\Theta$ . The Shafer's discounting method can be mathematically defined as follows [10,11]

$$\begin{cases} m_\alpha(X) = \alpha \cdot m(X), \text{ for } X \neq \Theta \\ m_\alpha(X) = \alpha \cdot m(\Theta) + (1 - \alpha) \end{cases} \tag{5}$$

where the reliability discounting factor is denoted by  $\alpha$  and  $0 \leq \alpha \leq 1, X$  denotes the focal element which is not the empty set,  $m(\cdot)$  denotes the original bba of evidence,  $m_\alpha(\cdot)$  denotes the bba after importance discounting.

### 2.2. DSMT

For many complex fusion problems, the elements can not be separated precisely and the refinement of discernment frame is inaccessible. For dealing with this situation, DSMT [9] which overcomes the exclusive limit of DST, is jointly proposed by Dezert and Smarandache. The hyper-power set in DSMT framework denoted by  $D^\Theta$  consists of the unions and intersections elements in

$\Theta$ . Assume that  $\Theta = \{\theta_1, \theta_2\}$ , the hyper-power set of  $\Theta$  can be defined as  $D^\Theta = \{\emptyset, \theta_1, \theta_2, \theta_3, \theta_1 \cup \theta_2, \theta_1 \cap \theta_2\}$ . The bba which consists the body of the evidence in DSmT framework is defined on the hyper-power set as  $m(\cdot): D^\Theta \rightarrow [0,1]$ .

Dezert Smarandache Hybrid (DSmH) combination rule transfers partial conflicting beliefs to the union of the corresponding elements in conflicts which can be considered as partial ignorance or uncertainty. However, the way of transferring the conflicts in DSmH increases the uncertainty of fusion results and it is not convenient for decision-making based on the fusion results. The

$$m_{1\oplus 2}(X_i) = \sum_{\substack{Y,Z \in G^\Theta \text{ and } Y,Z \neq \emptyset \\ Y \cap Z = X_i}} m_1(Y) \cdot m_2(Z) \tag{6}$$

$$m_{PCR5}(X_i) = \begin{cases} m_{1\oplus 2} + \sum_{\substack{X_j \in G^\Theta \text{ and } i \neq j \\ X_i \cap X_j = \emptyset}} \left[ \frac{m_1(X_i)^2 \cdot m_2(X_j)}{m_1(X_i) + m_2(X_j)} + \frac{m_2(X_i)^2 \cdot m_1(X_j)}{m_2(X_i) + m_1(X_j)} \right] & X_i \in G^\Theta \text{ and } X_i \neq \emptyset \\ 0 & X_i = \emptyset \end{cases}$$

where all denominators are more than zero, otherwise the fraction is discarded, and where  $G^\Theta$  can be regarded as a general power set which is equivalent to the power set  $2^\Theta$ , the hyper-power set  $D^\Theta$  and the super-power set  $S^\Theta$ , if discernment of the fusion problem satisfies the Shafer's model, the hybrid DSm model, and the minimal refinement  $\Theta^{ref}$  of  $\Theta$  respectively [9,26,27].

Although PCR5 rule can get more reasonable fusion results than the combination rule of DST, it still has two disadvantages, first, it is not associative which means that the fusion sequence of multiple (more than 2) sources of evidences can influence the fusion results, second, with the increment of the focal element number in discernment frame, the computational complexity increases exponentially.

It is pointed out in [24] that importances and reliabilities of multisources in evidence fusion are different. The reliability of a source in DSmT framework represents the ability of describing the given problem by its real-time evidence which is the same as the notion in DST framework. The

$$\begin{cases} m_\beta(X) = \beta \cdot m(X), \text{ for } X \neq \emptyset \\ m_\beta(\emptyset) = \beta(\emptyset) + (1 - \beta) \end{cases} \tag{7}$$

where the importance discounting factor is denoted by  $\beta$  and  $0 \leq \beta \leq 1$ ,  $X$  denotes the focal element which is not the empty set,  $m(\cdot)$  denotes the original bba of evidence,  $m_\beta(\cdot)$  denotes the bba after importance discounting. The empty set  $\emptyset$  of Equation (7) is particular in DSmT discounted framework which is not the representation of unknown elements under the open-world assumption

$$m_{PCR5\beta}(A) = \sum_{\substack{X_1, X_2 \in G^\Theta \\ X_1 \cap X_2 = A}} m_1(X_1)m_2(X_2) + \sum_{\substack{X \in G^\Theta \\ X \cap A = \emptyset}} \left[ \frac{m_1(A)^2 \cdot m_2(X)}{m_1(A) + m_2(X)} + \frac{m_2(A)^2 \cdot m_1(X)}{m_2(A) + m_1(X)} \right] \tag{8}$$

Proportional Conflict Redistribution (PCR) 1-6 rules overcome the weakness of DSmH and gives a better way of transferring the conflicts in multisource evidence fusion. PCR 1-6 rules proportionally transfer conflicting mass beliefs to the involved elements in the conflicts [9,26,27]. Each PCR rule has its own and different way of proportional redistribution of conflicts and PCR5 rule is considered as the most accurate rule among these PCR rules [9,26,27]. The combination of two independent evidences by PCR5 rule is given as follows [9,26,27]:

importances of sources in DSmT framework represent the weight that the fusion system designer assigns to the sources. Since the notions of importances and reliabilities of sources make no difference in DST framework, Shafer's discounting method can not be applied to evidence fusion of multisources with unequal importances.

The importance of a source in DSmT framework [24] can be characterized by an importance discounting factor, denoted  $\beta$  in  $[0,1]$ . The importance discounting factor  $\beta$  is not related with the reliability discounting factor  $\alpha$  which is defined the same as DST framework.  $\beta$  can be any value in  $[0,1]$  chosen by the fusion system designer for his or her experience. The main difference of importance discounting method and reliability discounting method lies in the importance discounted mass beliefs of evidences are transferred to the empty set rather than the total ignorance  $\Theta$ . The importance discounting method in DSmT framework can be mathematically defined as

(Smets model), but only the meaning of the discounted importance of a source. Obviously, the importance discounted mass beliefs are transferred to the empty set in DSmT discounted framework which leads to the Dempster combination rule is not suitable to solve this type of fusion problems. The fusion rule with importance discounting factors in DSmT framework for 2 sources is considered as the extension of PCR5 rule, defined as follows [24]:

The fusion rules with importance discounting factors considered as the extension of PCR6 and the

fusion rule for multisources ( $s > 2$ ) as the extension of PCR5 can be seen referred in [24].

### 3. An Evidence Fusion Method with Importance Discounting Factors Based on Neutrosophic Probability Analysis in DSMT Framework

An evidence fusion method with importance discounting factors based on neutrosophic probability analysis in DSMT framework is proposed in this section. First, the reasonable evidence sources are selected out based on the statistical analysis of the pignistic probability functions of single focal elements. Secondly, the neutrosophic probability analysis is conducted based on the similarities of the pignistic probability functions from the prior evidence knowledge of the reasonable evidence sources. Thirdly, the importance discounting factors

of the reasonable evidence sources are obtained based on the neutrosophic probability analysis and the reliability discounting factors of the real-time evidences are calculated based on probabilistic-based distances. Fourthly, the real-time evidences are discounted by the importance discounting factors and then the evidences with the mass assignments of neutrosophic empty sets are discounted by the reliability discounting factors. Finally, DSMT+PCR5 of importance discounted evidences is applied.

#### 3.1. The reasonable evidence sources are selected out

*Definition 1: Extraction function* for extracting focal elements from the the pignistic probability functions of single focal elements.

$$\chi(P(a_i)) = a_i, a_i \in \{a_1, a_2, L, a_z\} \quad (11)$$

*Definition 2: Reasonable sources.*

The evidence sources are defined as reasonable sources if and only if the focal element which has the maximum mean value of the pignistic probability functions of all single focal elements is the element  $a_j$  which is known in prior knowledge, denoted by

$$\chi(P(\theta)) = \max(\overline{P(a)}) = a_j, 1 \leq i \leq z \quad (12)$$

where  $\theta$  represents that the focal element which has the maximum mean value of the pignistic probability functions of all single focal elements.

Based on *Definition 2* and the prior evidence knowledge, reasonable sources are selected out. The unreasonable sources are not suggested to be considered in the following procedure for they are imprecise and unbelievable.

#### 3.2. The neutrosophic probability analysis of the sources and the importance discounting factors in DSMT framework

The neutrosophic probability theory is proposed by Smarandache [30]. In this section, the neutrosophic probability analysis is conducted based

on the similarities of the pignistic probability functions from the prior evidence knowledge of the reasonable evidence sources.

*Definition 3: Similarity measure of the pignistic probability functions (SMPPF).*

Assume that the distribution characteristics of pignistic probability functions of the focal elements  $P(a_i): \{\overline{P(a_i)}, \sigma(a_i)\}, P(a_k): \{\overline{P(a_k)}, \sigma(a_k)\}$ .

$a_i, 1 \leq i \leq z$  and  $a_k, k \neq i, 1 \leq k \leq z$  are denoted by:

The similarity measure of the pignistic probability functions(SMPPF) is the function satisfying the following conditions:

(1) Symmetry:

$$\forall a_i, a_k \in \Theta, Sim(P(a_i), P(a_k)) = Sim(P(a_k), P(a_i));$$

(2) Consistency:

$$\forall a_i \in \Theta, Sim(P(a_i), P(a_i)) = Sim(P(a_i), P(a_i)) = 1;$$

(3) Nonnegativity:

$$\forall a_i, a_k \in \Theta, Sim(P(a_i), P(a_k)) > 0.$$

We will say that  $P(a_i)$  is more similar to  $P(a_k)$  than  $P(a_g)$  if and only if:

$$Sim(P(a_i), P(a_k)) > Sim(P(a_i), P(a_g)).$$

The similarity measure of the pignistic probability functions based on the distribution

$$similarity(a_i, a_k) = \exp \left\{ -\frac{|P(a_i) - P(a_k)|}{2[\sigma(a_i) + \sigma(a_k)]} \right\} \quad (13)$$

Assume that  $a_j$  is known in prior knowledge, the diagram for the similarity of the pignistic probability functions of focal elements  $a_j$  and  $a_k$  which has the largest SMPPF to  $a_j$  is shown in Fig. 1.  $P(a_j)$  is mapped to a circle in which  $\overline{P(a_j)}$  is the center and  $\sigma(a_j)$  is the radius. Similarly,  $P(a_k)$  is mapped to a circle in which  $\overline{P(a_k)}$  is the center and  $\sigma(a_k)$  is the radius. All the evidences in the prior knowledge from the reasonable source are mapped to the drops in any circle which means that the mapping from drops in the circle of  $P(a_j)$  to the prior evidences is one-to-one mapping and similarly the mapping from drops in the circle of  $P(a_k)$  to the prior evidences is also one-to-one mapping. If  $P(a_j)$  is very similar to  $P(a_k)$ , the shadow accounts for a

characteristics of the pignistic probability functions is defined as follows:

large proportion of  $P(a_j)$  or  $P(a_k)$ . If  $P(a_j)$  or  $P(a_k)$  has the random values in the shadow of the diagram, the evidences of the reasonable source can not totally and correctly support decision-making for there are two possibilities which are  $P(a_j) > P(a_k)$  and  $P(a_j) \leq P(a_k)$ . If  $P(a_j) \leq P(a_k)$  in the evidences, the decisions are wrong. However, if  $P(a_j)$  or  $P(a_k)$  has the random values in the blank of the diagram, there is only one possibility which is  $P(a_j) > P(a_k)$  for the sources are reasonable and the decisions by these evidences are totally correct. So, we define the neutrosophic probability and the absolutely right probability of the reasonable evidence source as probability of  $P(a_j)$  in the shadow and blank of the diagram.

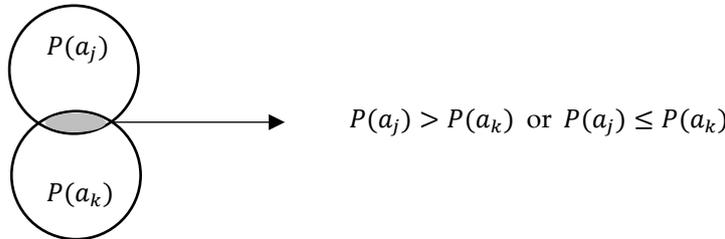


Figure 1. The diagram for the similarity.

Based on the above analysis, the neutrosophic probability and the absolutely right probability of the reasonable evidence source can be obtained by the similarity from the prior evidences for the mapping of the SMPPF of  $P(a_j)$  and  $P(a_k)$  to the probability of  $P(a_j)$  in the shadow is one-to-one mapping.

As  $\forall a_i, a_k \in \Theta, 0 < similarity(P(a_i) P(a_k)) \leq 1$ , iff  $a_i = P(S_k \text{ is neutral} | a_i) = \max_{1 < j < n, j \neq i} [similarity(P(a_i) P(a_k))]$  (14)

Then, the absolutely right probability of the reasonable evidence source in the prior condition that  $a_j$  is known can be calculated as follows:

$$(S_k \text{ is absolutely right} | a_i) = 1 - P(S_k \text{ is neutral} | a_i) = 1 - \max_{1 < j < n, j \neq i} [similarity(P(a_i) P(a_k))] \quad (15)$$

So, if the prior probability of each focal element can be obtained accurately, the absolutely right  $P(S_k \text{ is absolutely right}) = \sum_{a_i \in \Theta, i=1,2,L,n} P(S_k \text{ is absolutely right} | a_i) g P(a_i)$ . (16)

If the prior probability of each focal element can not be obtained accurately and any focal element has no advantage in the prior knowledge, denoted by

$$P(S_k \text{ is absolutely right}) = \frac{\sum_{a_i \in \Theta, i=1,2,L,n} P(S_k \text{ is absolutely right} | a_i)}{n} \quad (17)$$

We define the discounting factors of importances in DSMT framework  $\alpha_{SIG}(S_k)$  as the normalization of the absolutely right probabilities of

$a_k, similarity(P(a_i))$ , we define that the probability of  $P(a_j)$  in the shadow is the same as  $similarity(P(a_i) P(a_k))$ .

Assume there are reasonable evidence sources for evidence fusion, denoted by  $S_k, k = 1, 2, L, h$ . So, the neutrosophic probability of the the reasonable evidence source in the prior condition that  $a_j$  is known can be calculated as follows:

probability of the reasonable evidence source can be calculated by the equation

$P(a_1) = P(a_2) = L = P(a_n)$ , the absolutely right probability of the reasonable evidence source can be calculated as follows:

the the reasonable evidence sources  $P(S_k \text{ is right}), k = 1, 2, L, h$ , denoted by

$$\alpha_{SIG}(S_k) = \frac{P(S_k \text{ is absolutely right})}{\max_{k=1,2,L,h} [P(S_k \text{ is absolutely right})]} \quad (18)$$

3.3. The reliability discounting factors based on probabilistic-based distances

The Classical Pignistic Transformation(CPT) [9,10,11] is introduced briefly as follows:

$$P(A) = \sum_{X \in 2^\Theta} \frac{|X \cap A|}{|X|} m(X) \tag{19}$$

Based on CPT, if the mass assignments of the single focal elements which consist of the union set of single focal elements are equal divisions of the mass assignment of the union set of single focal elements in two evidences, the pignistic probability of two evidences are equal and the decisions of the two evidences based on CPT are also the same. From the view of decision, it is a good way to measure the similarity of the real-time evidences based on pignistic probability of evidences. Probabilistic distance based on Minkowski's distance [25] is applied in this paper to measure the similarity of real-time evidences. The method for calculating the

reliability discounting factors based on Minkowski's distance [25] ( $t = 1$ ) is given as follows.

Assume that there are  $h$  evidence sources, denoted by  $S_k, k = 1, 2, \dots, L, h$ , the real-time 2 evidences from  $S_i$  and  $S_j, i \neq j$  are denoted by  $\mathbf{m}_i, \mathbf{m}_j$  the discernment framework of the sources is  $\{\theta_1, \theta_2, \dots, \theta_n\}$ , the pignistic probabilities of single focal elements from  $S_i$  are denoted by  $P_{S_i}(\theta_w), 1 < w < n$  and the pignistic probabilities of single focal elements from  $S_j$  are denoted by  $P_{S_j}(\theta_w), 1 < w < n$ .

1) Minkowski's distance ( $t = 1$ ) between two real-time evidences is calculated as follows:

$$DistP(\mathbf{m}_i, \mathbf{m}_j) = \frac{1}{2} \sum_{\substack{\theta_w \in \Theta \\ |\theta_w|=1}} |P_{S_i}(\theta_w) - P_{S_j}(\theta_w)|. \tag{20}$$

2) The similarity of the real-time evidences is obtained by

$$similarity(\mathbf{m}_i, \mathbf{m}_j) = 1 - DistP(\mathbf{m}_i, \mathbf{m}_j). \tag{21}$$

3) The similarity matrix of the real-time evidences from  $S_k, k = 1, 2, \dots, L, h$  is given

$$S = \begin{bmatrix} 1 & similarity(\mathbf{m}_1, \mathbf{m}_2) & \dots & L & similarity(\mathbf{m}_1, \mathbf{m}_h) \\ similarity(\mathbf{m}_2, \mathbf{m}_1) & 1 & \dots & L & similarity(\mathbf{m}_2, \mathbf{m}_h) \\ \dots & \dots & \dots & \dots & \dots \\ similarity(\mathbf{m}_h, \mathbf{m}_1) & similarity(\mathbf{m}_h, \mathbf{m}_2) & \dots & L & 1 \end{bmatrix} \tag{22}$$

The average similarity of the real-time evidences from  $S_k, k = 1, 2, \dots, L, h$  is given

$$\overline{similarity(S_k)} = \frac{\sum_{i=1, 2, \dots, L, h, i \neq k} similarity(\mathbf{m}_i, \mathbf{m}_k)}{h-1} \tag{23}$$

4) The reliability discounting factors of the real-time evidences from  $S_k, k = 1, 2, \dots, L, h$  is given

$$\alpha_{REL}(S_k) = \frac{\overline{similarity(S_k)}}{\max_{k=1, 2, \dots, L, h} [\overline{similarity(S_k)}]} \tag{24}$$

3.4. The discounting method with both importance and reliability discounting factors in DSMT framework

1) Discounting evidences based on the discounting factors of importance.

Assume that the real-time evidence from the reasonable evidence source  $s_k$  is denoted by:

$$\mathbf{m}_k = \{m(A), A \subseteq D^\Theta\}, G^\Theta = \{a_1 L, a_2, a_1 I L I a_2, a_1 UL U a_2\}.$$

Based on the discounting factors of importances  $\alpha_{SIG}(s_k)$  after importance-discounting by  $\alpha_{SIG}(s_k)$  can be calculated by:

$$\mathbf{m}_k^{SIG} = \begin{cases} m^{SIG}(A) = \alpha_{SIG}(S_k)g(m(A)), A \subseteq G^\Theta \\ m^{SIG}(\emptyset) = 1 - \alpha_{SIG}(S_k) \end{cases} \tag{25}$$

where  $m^{SIG}(A)$  are the mass assignments to all focal elements of the original evidence and  $m^{SIG}(\emptyset)$  is the neutrosophic probability of the

source, which represents the mass assignment of paradox.

2) Discounting the real-time evidences based on reliability discounting factors after importance discounting.

As the property of the neutrosophic probability of the source, the pignistic probabilities of single focal elements are not changed after importance-discounting the real-time evidences in DSMT framework and the mass assignments of neutrosophic empty focal element  $\emptyset$  which represent the importances degree of sources is added to the new

evidences. If some real-time evidence has larger conflict with the other real-time evidences, the evidence should be not reliable and the mass assignments of the focal elements of the evidence should be discounted based on the discounting factors of reliabilities. As one focal element of the new evidence, the mass assignment of neutrosophic

empty focal element  $\emptyset$  of the unreliable evidence should also be discounted. So the new discounting method based on the discounting factors of

$$m_K^{SIG} = \begin{cases} m^{\alpha SIG}(A) = \alpha_{REL}(S_k)g\alpha_{SIG}(S_k)g(m(A)), A \subseteq G^\Theta \\ m^{\alpha SIG}(\emptyset) = \alpha_{REL}(S_k)g[1 - \alpha_{SIG}(S_k)] \\ m^{\alpha SIG}(\Theta) = 1 - \alpha_{REL}(S_k) \end{cases} \quad (26)$$

reliabilities after discounting by the discounting factors of importances is given as follows

3.5. The fusion method of PCR5 $\emptyset$  in DSMT framework is applied

After applying the new discounting method to the real-time evidences, the new evidences with the mass assignments of both the neutrosophic empty focal element  $\emptyset$  and the total ignorance focal elements  $\Theta$  are obtained. The classic Dempster

$$m_{PCR5\emptyset}(A) = \sum_{\substack{X_1, X_2 \in G^\Theta \\ X_1 \cap X_2 = A}} m_1(X_1)m_2(X_2) + \sum_{\substack{X \in G^\Theta \\ X \cap A = \emptyset}} \left[ \frac{m_1(A)^2 \cdot m_2(X)}{m_1(A) + m_2(X)} + \frac{m_2(A)^2 \cdot m_1(X)}{m_2(A) + m_1(X)} \right], A \in G^\Theta \text{ or } \emptyset \quad (27)$$

The mass assignment of the neutrosophic empty focal element  $\emptyset$  is included in the fusion results, which is not meaningful to decision. According to the

fusion rules can not be sufficient to process these evidences in DSMT framework and PCR5 $\emptyset$  for 2 sources in DSMT framework is applied as our fusion method as follows:

principle of proportion,  $m_{PCR5\emptyset}(\emptyset)$  in the fusion result is redistributed to the other focal elements of the fusion result as follows:

$$\begin{aligned} m'_{PCR5\emptyset}(A) &= m_{PCR5\emptyset}(A) + \frac{m_{PCR5\emptyset}(\emptyset)}{\sum_{A \in G^\Theta} m_{PCR5\emptyset}(A)} \cdot m_{PCR5\emptyset}(\emptyset), A \in G^\Theta \\ m'_{PCR5\emptyset}(\emptyset) &= 0 \end{aligned} \quad (28)$$

where  $m'_{PCR5\emptyset}(A), A \in G^\Theta$  is the final fusion results of our method.

4. Simulation Experiments

The Monto Carlo simulation experiments of recognition fusion are carried out. Through the simulation experiment results comparison of the proposed method and the existed methods, included PCR5 fusion method, the method in [25] and PCR5 fusion method with the reliability discounting factors, the superiority of the proposed method is testified. (In this paper, all the simulation experiments are implemented by Matlab simulation in the hardware condition of Pentimu(R) Dual-Core CPU E5300 2.6GHz 2.59GHz, memory 1.99GB. Abscissas of the figures represent that the ratio of the the standard deviation of Gauss White noise to the

maximum standard deviation of the pignistic probabilities of focal elements in prior knowledge of the evidence sources, denoted by ‘the ratio of the standard deviation of GWN to the pignistic probabilities of focal elements’.)

Assume that the prior knowledge of the evidence sources is counted as the random distributions of the pignistic probability when different focal element occurs. The prior knowledge is shown in *Table 3* and the characteristics of random distributions are denoted by  $P(\cdot)$ : (mean value, variance).

Table 3. Prior knowledge of evidence sources.

Evidence sources	Prior knowledge when $a$ occurs	Prior knowledge when $b$ occurs
$s_1$	$P_1(a) \sim (0.6, 0.3)$	$P_1(a) \sim (0.46, 0.3)$
	$P_1(b) \sim (0.4, 0.3)$	$P_1(b) \sim (0.54, 0.3)$
$s_2$	$P_2(a) \sim (0.6, 0.3)$	$P_2(a) \sim (0.4, 0.3)$
	$P_2(b) \sim (0.4, 0.3)$	$P_2(b) \sim (0.6, 0.3)$
$s_3$	$P_3(a) \sim (0.8, 0.05)$	$P_3(a) \sim (0.2, 0.05)$
	$P_3(b) \sim (0.2, 0.05)$	$P_3(b) \sim (0.8, 0.05)$

5.1.1 Simulation experiments in the condition that importance discounting factors of most evidence sources are low

Assume that there are 3 evidence sources, denoted by  $s_1, s_2, s_3$ , and the discernment framework

of the sources is 2 types of targets, denoted by  $\{a, b\}$ . The prior knowledge is shown in *Table 3*. Assume

that the pignistic probabilities of the focal elements are normally distributed. The real-time evidences of 3 sources are random selected out 1000 times based on the prior knowledge in *Table 3* in the condition that *a* occurs and *b* occurs respectively. The Moto-carlo simulation experiments of recognition fusion based on the proposed method and the existed methods are carried out. With the increment of the standard deviation of Gauss White noise in the mass assignments of evidences, the fusion results comparisons in different conditions are shown in *Fig. 3* and *Fig. 4*, and the mean value of the correct recognition rates and computation time are show in *Table 11* and *Table 12*.

The fusion results comparisons in the condition that importance discounting factors of most evidence sources are low show that:

1) The method proposed in this paper has the highest correct recognition rates among the existed methods. PCR5 fusion method has the secondly highest correct recognition rates, PCR5 fusion method with reliability discounting factors has the thirdly highest correct recognition rates, the method in [25] has the lowest correct recognition rates.

2) The method proposed in this paper has the largest computation time among the existed methods. the method in [25] has the secondly largest computation time, PCR5 fusion method with reliability discounting factors has the thirdly largest computation time, PCR5 fusion method has the lowest computation time.

**Table 11.** The mean value of correct recognition rates.

Prior conditions	The proposed method	PCR5 fusion method	The method in [25]	PCR5 fusion method with realibility-discounting factors
<i>a</i>	98.9%	88.6%	80.5%	84.3%
<i>b</i>	98.9%	87.6%	79.0%	82.9%

**Table 12.** The mean value of computation time.

Prior conditions	The proposed method	PCR5 fusion method	The method in [25]	PCR5 fusion method with realibility-discounting factors
<i>a</i>	$1.47 \times 10^{-4}$	$0.48 \times 10^{-4}$	$0.88 \times 10^{-4}$	$0.67 \times 10^{-4}$
<i>b</i>	$1.46 \times 10^{-4}$	$0.47 \times 10^{-4}$	$0.89 \times 10^{-4}$	$0.66 \times 10^{-4}$

**Table 13.** Prior knowledge of evidence sources.

Evidence sources	Prior knowledge when <i>a</i> occurs	Prior knowledge when <i>b</i> occurs
<i>s</i> <sub>1</sub>	$P_1(a) \sim (0.6,0.3)$	$P_1(a) \sim (0.46,0.3)$
	$P_1(b) \sim (0.4,0.3)$	$P_1(b) \sim (0.54,0.3)$
<i>s</i> <sub>2</sub>	$P_2(a) \sim (0.8,0.05)$	$P_2(a) \sim (0.2,0.05)$
	$P_2(b) \sim (0.2,0.05)$	$P_2(b) \sim (0.8,0.05)$
<i>s</i> <sub>3</sub>	$P_3(a) \sim (0.8,0.05)$	$P_3(a) \sim (0.2,0.05)$
	$P_3(b) \sim (0.2,0.05)$	$P_3(b) \sim (0.8,0.05)$

*5.1.2 Simulation experiments in the condition that importance discounting factors of most evidence sources are high*

Assume that there are 3 evidence sources, denoted by *s*<sub>1</sub>, *s*<sub>2</sub>, *s*<sub>3</sub>, and the discernment framework of the sources is 2 types of targets, denoted by {*a*,*b*}. The prior knowledge is shown in *Table 13*. Assume

that the pignistic probabilities of the focal elements are normally distributed. The Moto-carlo simulation experiments are carried out similarly to the *Section 4.3.1*. With the increment of the standard deviation

of Gauss White noise in the mass assignments of evidences, the fusion results comparisons in different conditions are shown in Fig. 5 and Fig. 6, and the mean value of the correct recognition rates and

computation time are show in Table 14 and Table 15. The importance factors of the evidences are calculated by Equation (18). The importance factor of  $s_1$  is 0.19, the importance factor of  $s_2$  and  $s_3$  is 1.

**Table 14.** The mean value of correct recognition rates.

Prior conditions	The proposed method	PCR5 fusion method	The method in [25]	PCR5 fusion method with reliability-discounting factors
<i>a</i>	99.0%	98.8%	99.0%	99.0%
<i>b</i>	99.0%	98.8%	99.0%	99.0%

**Table 15.** The mean value of computation time.

Prior conditions	The proposed method	PCR5 fusion method	The method in [25]	PCR5 fusion method with reliability-discounting factors
<i>a</i>	$1.45 \times 10^{-4}$	$0.47 \times 10^{-4}$	$0.86 \times 10^{-4}$	$0.67 \times 10^{-4}$
<i>b</i>	$1.46 \times 10^{-4}$	$0.47 \times 10^{-4}$	$0.87 \times 10^{-4}$	$0.65 \times 10^{-4}$

The fusion results comparisons in the condition that importance discounting factors of most evidence sources are high show that:

1) The correct recognition rates of four methods are similarly closed, PCR5 fusion method has the lowest correct recognition rates among four methods.

## 5. Conclusions

Based on the experiments results, we suggest that the fusion methods should be chosen based on the following conditions:

1) Judge whether the evidences are simple.

2) The method proposed in this paper has the largest computation time among the existed methods. the method in [25] has the secondly largest computation time, PCR5 fusion method with reliability discounting factors has the thirdly largest computation time, PCR5 fusion method has the lowest computation time.

2) The importance discounting factors of most evidences are low or not high, the method in this paper is chosen.

The importance discounting factors of most evidences are high, PCR5 fusion method with reliability discounting factors is chosen

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# More On P-Union and P-Intersection of Neutrosophic Soft Cubic Set

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**Abstract:** The P-union, P-intersection, P-OR and P-AND of neutrosophic soft cubic sets are introduced and their related properties are investigated. We show that the P-union and the P-intersection of two internal neutrosophic soft cubic sets are also internal neutrosophic soft cubic sets. The conditions for the P-union ( P-intersection ) of two T-external (resp. I- external, F- external) neutrosophic soft cubic sets to be T-external (resp. I- external, F- external) neutrosophic soft cubic sets is also dealt with.

We provide conditions for the P-union ( P-intersection ) of two T-external (resp. I- external, F- external) neutrosophic soft cubic sets to be T-internal (resp. I- internal, F- internal) neutrosophic soft cubic sets. Further the conditions for the P-union (resp. P-intersection ) of two neutrosophic soft cubic sets to be both T-external (resp. I- external, F- external) neutrosophic soft cubic sets and T-external (resp. I- external, F- external) neutrosophic soft cubic sets are also framed.

**Keywords:** Cubic set, Neutrosophic cubic set, Neutrosophic soft cubic set, T-internal (resp. I- internal, F- internal) neutrosophic soft cubic sets , T-external (resp. I- external, F- external) neutrosophic soft cubic set.

## 1 Introduction

Florentine Smarandache [10,11] coined neutrosophic sets and neutrosophic logic which extends the concept of the classical sets, fuzzy sets and its extensions. In neutrosophic set, indeterminacy is quantified explicitly and truth-membership, indeterminacy-membership and falsity – membership are independent. This assumption is very important in many applications such as information fusion in which we try to combine the data from different sensors. Pabita Kumar Majii [18] had combined the Neutrosophic set with soft sets and introduced a new mathematical model ‘ Neutrosophic soft set’. Y. B. Jun et al [2]., introduced a new notion, called a cubic set by using a fuzzy set and an interval-valued fuzzy set, and investigated several properties. Jun et al. [19] extended the concept of cubic sets to the neutrosophic cubic sets. [1] introduced neutrosophic soft cubic set and the notion of truth-internal ( indeterminacy-internal, falsity-internal) neutrosophic soft cubic sets and truth-external ( indeterminacy-internal, falsity-internal) neutrosophic soft cubic sets

As a continuation of the paper [1] We show that the P-union and the P-intersection of T-internal (resp. I- internal, F-internal) neutrosophic soft cubic sets are also T-internal (resp. I-internal, F-internal) neutrosophic soft cubic sets. We also provide conditions for the P-union ( P-intersection ) of two T-external (resp. I- external, F- external) neutrosophic soft cubic sets to be T-external (resp. I- external, F- external) neutrosophic soft cubic sets.

We provide conditions for the P-union ( P-intersection ) of two T-external (resp. I- external, F- external)

neutrosophic soft cubic sets to be T-internal (resp. I- internal, F- internal) neutrosophic soft cubic sets.

We provide conditions for the P-union (resp. P-intersection ) of two NSCS to be both T-external (resp. I- external, F- external) neutrosophic soft cubic sets and T-external (resp. I- external, F- external) neutrosophic soft cubic sets.

## 2 Preliminaries

**2.1 Definition:** [5] Let E be a universe. Then a fuzzy set  $\mu$  over E is defined by  $X = \{ \mu_x(x) / x : x \in E \}$  where  $\mu_x$  is called membership function of X and defined by  $\mu_x : E \rightarrow [0,1]$ . For each  $x \in E$ , the value  $\mu_x(x)$  represents the degree of x belonging to the fuzzy set X.

**2.2 Definition:** [2] Let X be a non-empty set. By a cubic set, we mean a structure  $\Xi = \{ \langle x, A(x), \mu(x) \rangle | x \in X \}$

in which A is an interval valued fuzzy set (IVF) and  $\mu$  is a fuzzy set. It is denoted by  $\langle A, \mu \rangle$ .

**2.3 Definition:** [9] Let U be an initial universe set and E be a set of parameters. Consider  $A \subset E$ . Let  $P(U)$  denotes the set of all neutrosophic sets of U. The collection  $(F, A)$  is termed to be the soft neutrosophic set over U, where F is a mapping given by  $F : A \rightarrow P(U)$ .

**2.4 Definition :** [4] Let X be an universe. Then a neutrosophic (NS) set  $\lambda$  is an object having the form

$$\lambda = \{ \langle x : T(x), I(x), F(x) \rangle : x \in X \}$$

where the functions  $T, I, F : X \rightarrow ]0, 1+[$  defines respectively the degree of Truth, the degree of

indeterminacy, and the degree of Falsehood of the element  $x \in X$  to the set  $\lambda$  with the condition.

$$0 \leq T(x) + I(x) + F(x) \leq 3^+$$

**2.5 Definition :** [7] Let  $X$  be a non-empty set. An interval neutrosophic set (INS)  $A$  in  $X$  is characterized by the truth-membership function  $A_T$ , the indeterminacy-membership function  $A_I$  and the falsity-membership function  $A_F$ . For each point  $x \in X$ ,  $A_T(x), A_I(x), A_F(x) \subseteq [0,1]$ .

For two INS

$$A = \{ \langle x, [A_T^-(x), A_T^+(x)], [A_I^-(x), A_I^+(x)], [A_F^-(x), A_F^+(x)] \rangle : x \in X \}$$

and

$$B = \{ \langle x, [B_T^-(x), B_T^+(x)], [B_I^-(x), B_I^+(x)], [B_F^-(x), B_F^+(x)] \rangle : x \in X \}$$

Then,

1.  $A \subseteq B$  if and only if

$$A_T^-(x) \leq B_T^-(x), A_T^+(x) \leq B_T^+(x)$$

$$A_I^-(x) \geq B_I^-(x), A_I^+(x) \geq B_I^+(x)$$

$$A_F^-(x) \geq B_F^-(x), A_F^+(x) \geq B_F^+(x) \text{ for all } x \in X.$$

2.  $A = B$  if and only if

$$A_T^-(x) = B_T^-(x), A_T^+(x) = B_T^+(x)$$

$$A_I^-(x) = B_I^-(x), A_I^+(x) = B_I^+(x)$$

$$A_F^-(x) = B_F^-(x), A_F^+(x) = B_F^+(x) \text{ for all } x \in X.$$

3.  $A^{\tilde{c}} = \{ \langle x, [A_I^-(x), A_I^+(x)], [A_T^-(x), A_T^+(x)], [A_F^-(x), A_F^+(x)] \rangle : x \in X \}$

4.  $A \tilde{\cap} B = \{ \langle x, [\min\{A_T^-(x), B_T^-(x)\}, \min\{A_T^+(x), B_T^+(x)\}],$

$$[\max\{A_I^-(x), B_I^-(x)\}, \max\{A_I^+(x), B_I^+(x)\}],$$

$$[\max\{A_F^-(x), B_F^-(x)\}, \max\{A_F^+(x), B_F^+(x)\}] \rangle : x \in X \}$$

5.

$$A \tilde{\cup} B = \{ \langle x, [\max\{A_T^-(x), B_T^-(x)\}, \max\{A_T^+(x), B_T^+(x)\}],$$

$$[\min\{A_I^-(x), B_I^-(x)\}, \min\{A_I^+(x), B_I^+(x)\}],$$

$$[\min\{A_F^-(x), B_F^-(x)\}, \min\{A_F^+(x), B_F^+(x)\}] \rangle : x \in X \}$$

**2.6 Definition:** [1]

Let  $U$  be an initial universe set. Let  $NC(U)$  denote the set of all neutrosophic cubic sets and  $E$  be the set of parameters. Let  $A \subset E$  then

$$(P, A) = \{ P(e_i) = \{ \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in U \} \mid e_i \in A \subset E \}$$

where  $A_{e_i}(x) = \{ \langle x, A_{e_i}^T(x), A_{e_i}^I(x), A_{e_i}^F(x) \rangle / x \in U \}$  is an interval neutrosophic set,

$$\lambda_{e_i}(x) = \{ \langle x, \lambda_{e_i}^T(x), \lambda_{e_i}^I(x), \lambda_{e_i}^F(x) \rangle / x \in U \}$$
 is a neutrosophic set. The pair  $(P, A)$  is termed to be the

neutrosophic soft cubic set over  $U$  where  $P$  is a mapping given by  $P : A \rightarrow NC(U)$ .

**2.7 Definition:** [1]

Let  $X$  be an initial universe set. A neutrosophic soft cubic set  $(P, A)$  in  $X$  is said to be

- truth-internal (briefly, T-internal) if the following inequality is valid

$$(\forall x \in X, e_i \in E) (A_{e_i}^{-T}(x) \leq \lambda_{e_i}^T(x) \leq A_{e_i}^{+T}(x)), \quad (2.1)$$

- indeterminacy-internal (briefly, I-internal) if the following inequality is valid

$$(\forall x \in X, e_i \in E) (A_{e_i}^{-I}(x) \leq \lambda_{e_i}^I(x) \leq A_{e_i}^{+I}(x)), \quad (2.2)$$

- falsity-internal (briefly, F-internal) if the following inequality is valid

$$(\forall x \in X, e_i \in E) (A_{e_i}^{-F}(x) \leq \lambda_{e_i}^F(x) \leq A_{e_i}^{+F}(x)). \quad (2.3)$$

If a neutrosophic soft cubic set in  $X$  satisfies (2.1), (2.2) and (2.3) we say that  $(P, A)$  is an internal neutrosophic soft cubic set in  $X$ .

**2.8 Definition:** [1]

Let  $X$  be an initial universe set. A neutrosophic soft cubic set  $(P, A)$  in  $X$  is said to be

- truth-external (briefly, T-external) if the following inequality is valid

$$(\forall x \in X, e_i \in E) (\lambda_{e_i}^T(x) \notin (A_{e_i}^{-T}(x), A_{e_i}^{+T}(x))), \quad (2.4)$$

- indeterminacy-external (briefly, I-external) if the following inequality is valid

$$(\forall x \in X, e_i \in E) (\lambda_{e_i}^I(x) \notin (A_{e_i}^{-I}(x), A_{e_i}^{+I}(x))), \quad (2.5)$$

- falsity-external (briefly, F-external) if the following inequality is valid

$$(\forall x \in X, e_i \in E) (\lambda_{e_i}^F(x) \notin (A_{e_i}^{-F}(x), A_{e_i}^{+F}(x))). \quad (2.6)$$

If a neutrosophic soft cubic set  $(P, A)$  in  $X$  satisfies (2.4), (2.5) and (2.6), we say that  $(P, A)$  is an external neutrosophic soft cubic set in  $X$ .

**2.9 Definition** [1]

Let

$$(P, I) = \{ P(e_i) = \{ \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} \mid e_i \in I \}$$

and

$$(Q, J) = \{ Q(e_i) = B_i = \{ \langle x, B_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \} \mid e_i \in J \}$$

be two neutrosophic soft cubic sets in  $X$ . Let  $I$  and  $J$  be any two subsets of  $E$  (set of parameters), then we have the following

1.  $(P, I) = (Q, J)$  if and only if the following conditions are satisfied

a)  $I = J$  and

- b)  $P(e_i)=Q(e_i)$  for all  $e_i \in I$  if and only if  $A_{e_i}(x)=B_{e_i}(x)$  and  $\lambda_{e_i}(x)=\mu_{e_i}(x)$  for all  $x \in X$  corresponding to each  $e_i \in I$ .
- 2.  $(P,I)$  and  $(Q,J)$  are two neutrosophic soft cubic set then we define and denote P-order as  $(P,I) \subseteq_P (Q,J)$  if and only if the following conditions are satisfied
  - c)  $I \subseteq J$  and
  - d)  $P(e_i) \leq_P Q(e_i)$  for all  $e_i \in I$  if and only if  $A_{e_i}(x) \subseteq B_{e_i}(x)$  and  $\lambda_{e_i}(x) \leq \mu_{e_i}(x)$  for all  $x \in X$  corresponding to each  $e_i \in I$ .
- 3.  $(P,I)$  and  $(Q,J)$  are two neutrosophic soft cubic set then we define and denote P- order as  $(P,I) \subseteq_R (Q,J)$  if and only if the following conditions are satisfied
  - e)  $I \subseteq J$  and
  - f)  $P(e_i) \leq_R Q(e_i)$  for all  $e_i \in I$  if and only if  $A_{e_i}(x) \subseteq B_{e_i}(x)$  and  $\lambda_{e_i}(x) \geq \mu_{e_i}(x)$  for all  $x \in X$  corresponding to each  $e_i \in I$ .

**2.10 Definition:** [1]

Let  $(F,I)$  and  $(G,J)$  be two neutrosophic soft cubic sets (NSCS) in  $X$  where  $I$  and  $J$  are any two subsets of the parametric set  $E$ . Then we define P-union of neutrosophic soft cubic set as  $(F,I) \cup_P (G,J) = (H,C)$  where  $C = I \cup J$

$$H(e_i) = \begin{cases} F(e_i) & \text{if } e_i \in I - J \\ G(e_i) & \text{if } e_i \in J - I \\ F(e_i) \vee_P G(e_i) & \text{if } e_i \in I \cap J \end{cases}$$

where  $F(e_i) \vee_P G(e_i)$  is defined as

$$F(e_i) \vee_P G(e_i) = \{ \langle x, \max\{A_{e_i}(x), B_{e_i}(x)\}, (\lambda_{e_i} \vee \mu_{e_i})(x) \rangle : x \in X \} \quad e_i \in I \cap J$$

where  $A_{e_i}(x), B_{e_i}(x)$  represent interval neutrosophic sets.

Hence

$$F^T(e_i) \vee_P G^T(e_i) = \{ \langle x, \max\{A_{e_i}^T(x), B_{e_i}^T(x)\}, (\lambda_{e_i}^T \vee \mu_{e_i}^T)(x) \rangle : x \in X \} \quad e_i \in I \cap J,$$

$$F^I(e_i) \vee_P G^I(e_i) =$$

$$\{ \langle x, \max\{A_{e_i}^I(x), B_{e_i}^I(x)\}, (\lambda_{e_i}^I \vee \mu_{e_i}^I)(x) \rangle : x \in X \} \quad e_i \in I \cap J,$$

$$F^F(e_i) \vee_P G^F(e_i) =$$

$$\{ \langle x, \max\{A_{e_i}^F(x), B_{e_i}^F(x)\}, (\lambda_{e_i}^F \vee \mu_{e_i}^F)(x) \rangle : x \in X \} \quad e_i \in I \cap J.$$

**2.11 Definition:** [1]

Let  $(F, I)$  and  $(G, J)$  be two neutrosophic soft cubic sets (NSCS) in  $X$  where  $I$  and  $J$  are any subsets of parameter's set  $E$ .

Then we define P-intersection of neutrosophic soft cubic set as  $(F, I) \cap_P (G, J) = (H, C)$  where  $C = I \cap J$ ,

$$H(e_i) = F(e_i) \wedge_P G(e_i)$$

$$H(e_i) = F(e_i) \wedge_P G(e_i) \quad \text{and} \quad e_i \in I \cap J. \text{ Here}$$

$F(e_i) \wedge_P G(e_i)$  is defined as

$$F(e_i) \wedge_P G(e_i) = H(e_i) =$$

$$\{ \langle x, \min\{A_{e_i}(x), B_{e_i}(x)\}, (\lambda_{e_i} \wedge \mu_{e_i})(x) \rangle : x \in X \} \quad e_i \in I \cap J$$

where  $A_{e_i}(x), B_{e_i}(x)$  represent interval neutrosophic sets.

Hence

$$F^T(e_i) \wedge_P G^T(e_i) = \{ \langle x, \min\{A_{e_i}^T(x), B_{e_i}^T(x)\}, (\lambda_{e_i}^T \wedge \mu_{e_i}^T)(x) \rangle : x \in X \} \quad e_i \in I \cap J,$$

$$F^I(e_i) \wedge_P G^I(e_i) =$$

$$\{ \langle x, \min\{A_{e_i}^I(x), B_{e_i}^I(x)\}, (\lambda_{e_i}^I \wedge \mu_{e_i}^I)(x) \rangle : x \in X \} \quad e_i \in I \cap J,$$

$$F^F(e_i) \wedge_P G^F(e_i) =$$

$$\{ \langle x, \min\{A_{e_i}^F(x), B_{e_i}^F(x)\}, (\lambda_{e_i}^F \wedge \mu_{e_i}^F)(x) \rangle : x \in X \} \quad e_i \in I \cap J$$

**3 More On P-union And P-intersection Of Neutrosophic Soft Cubic Set**

**Defintion: 3.1**

Let

$$(F, I) = \{ F(e_i) = \{ \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} \quad e_i \in I \} \text{ and}$$

$$(G, J) = \{ G(e_i) = \{ \langle x, B_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \} \quad e_i \in J \}$$

be neutrosophic soft cubic set (NSCS) in  $X$ . Then

- [1] P-OR is denoted by  $(F, I) \vee_P (G, J)$  and de-

defined as  $(F, I) \vee_P (G, J) = (H, I \times J)$  where

$$H(\alpha_i, \beta_i) = F(\alpha_i) \cup_P G(\beta_i) \quad \text{for all } (\alpha_i, \beta_i) \in I \times J.$$

- [2] P-AND is denoted by  $(F, I) \wedge_P (G, J)$  and de-

defined as  $(F, I) \wedge_P (G, J) = (H, I \times J)$  where

$$H(\alpha_i, \beta_i) = F(\alpha_i) \cap_P G(\beta_i) \quad \text{for all } (\alpha_i, \beta_i) \in I \times J.$$

**Example: 3.2**

Let  $X = \{x_1, x_2, x_3\}$  be initial universe and  $E = \{e_1, e_2\}$  parameter's set. Let  $(F, I)$  be a neutrosophic soft cubic set over  $X$  and defined as  $(F, I) = \{F(e_i) = \{ \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} \mid e_i \in I\}$  and

X	F(e <sub>1</sub> )		F(e <sub>2</sub> )	
	$\langle A_{e_1}(x), \lambda_{e_1}(x) \rangle$		$\langle A_{e_2}(x), \lambda_{e_2}(x) \rangle$	
x <sub>1</sub>	[0.5,0.6][0.6,0.7][0.5,0.6]	[0.4,0.5][0.6,0.6]	[0.3,0.6][0.2,0.7][0.2,0.4]	[0.3,0.4][0.4,0.4]
x <sub>2</sub>	[0.4,0.5][0.7,0.8][0.2,0.3]	[0.5,0.6][0.6,0.6]	[0.3,0.5][0.6,0.8][0.2,0.6]	[0.4,0.7][0.5,0.5]
x <sub>3</sub>	[0.2,0.3][0.2,0.3][0.3,0.5]	[0.3,0.4][0.6,0.6]	[0.4,0.7][0.2,0.5][0.3,0.6]	[0.5,0.6][0.6,0.6]

$$(G, J) = \{G(e_i) = \{ \langle x, B_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \} \mid e_i \in J\}$$

X	G(e <sub>1</sub> )		G(e <sub>2</sub> )	
	$\langle B_{e_1}(x), \mu_{e_1}(x) \rangle$		$\langle A_{e_2}(x), \mu_{e_2}(x) \rangle$	
x <sub>1</sub>	[0.7,0.9][0.3,0.5][0.3,0.4]	[0.7,0.4][0.6,0.6]	[0.4,0.7][0.1,0.3][0.1,0.2]	[0.5,0.2][0.2,0.2]
x <sub>2</sub>	[0.5,0.6][0.3,0.7][0.1,0.2]	[0.6,0.4][0.2,0.2]	[0.4,0.6][0.4,0.7][0.2,0.5]	[0.6,0.5][0.4,0.4]
x <sub>3</sub>	[0.3,0.4][0.1,0.2][0.2,0.4]	[0.5,0.3][0.5,0.5]	[0.5,0.8][0.1,0.4][0.1,0.4]	[0.7,0.3][0.4,0.4]

P-OR is denoted by  $(H, I \times J) = (F, I) \vee_P (G, J)$

where

$I \times J = \{(e_1, e_1), (e_1, e_2), (e_2, e_1), (e_2, e_2)\}$  is defined

X	H(e <sub>1</sub> ,e <sub>1</sub> )		H(e <sub>1</sub> ,e <sub>2</sub> )		H(e <sub>2</sub> ,e <sub>1</sub> )		H(e <sub>2</sub> ,e <sub>2</sub> )	
	F(e <sub>1</sub> ) U G(e <sub>1</sub> )		F(e <sub>1</sub> ) U G(e <sub>2</sub> )		F(e <sub>2</sub> ) U G(e <sub>1</sub> )		F(e <sub>2</sub> ) U G(e <sub>2</sub> )	
x <sub>1</sub>	[0.7,0.9][0.6,0.7][0.5,0.6]	[0.7,0.5][0.6,0.6]	[0.5,0.6][0.6,0.6]	[0.5,0.5][0.6,0.6]	[0.7,0.9][0.3,0.5][0.3,0.4]	[0.7,0.4][0.6,0.6]	[0.4,0.0][0.2,0.4]	[0.5,0.4][0.2,0.4]
x <sub>2</sub>	[0.5,0.6][0.7,0.8][0.2,0.3]	[0.6,0.6][0.6,0.6]	[0.4,0.6][0.7,0.8][0.2,0.3]	[0.6,0.6][0.6,0.6]	[0.5,0.6][0.6,0.6]	[0.6,0.6][0.6,0.6]	[0.4,0.6][0.6,0.6]	[0.6,0.6][0.6,0.6]
x <sub>3</sub>	[0.3,0.4][0.2,0.3][0.3,0.5]	[0.5,0.4][0.6,0.6]	[0.5,0.8][0.1,0.4][0.1,0.4]	[0.7,0.4][0.6,0.6]	[0.4,0.7][0.2,0.5][0.3,0.6]	[0.5,0.5][0.6,0.6]	[0.5,0.8][0.2,0.5]	[0.7,0.3][0.4,0.4]

**Definition:3.3**

The complement of a neutrosophic soft cubic set

$$(F, I) = \{F(e_i) = \{ \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} \mid e_i \in I\}$$

denoted by  $(F, I)^C$  and defined as

$$(F, I)^C = \{(F, I)^C = (F^C, -I)\}, \text{ where } F^C : -I \rightarrow NC(X)$$

and

$$F^C(e_i) = (F(-e_i))^C \text{ for all } e_i \in -I$$

$$= (F(e_i))^C \text{ (as } -(-e_i) = e_i)$$

$$(F, I)^C = \{(F(e_i))^C = \{ \langle x, A_{e_i}^C(x), \lambda_{e_i}^C(x) \rangle : x \in X \} \mid e_i \in I\}$$

$$(F, I)^C = \{ \langle x, (1 - A_{e_i}^{+T}, 1 - A_{e_i}^{-T}), [1 - A_{e_i}^{+I}, 1 - A_{e_i}^{-I}], [1 - A_{e_i}^{+F}, 1 - A_{e_i}^{-F}] \rangle : x \in X \} \mid e_i \in I$$

**Example:3.4**

Let  $X = \{x_1, x_2\}$  be initial universe and  $E = \{e_1, e_2\}$  parameter's set. Let  $(F, I)$  be a neutrosophic soft cubic set over  $X$  and defined as  $(F, I) = \{F(e_i) = \{ \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} \mid e_i \in I\}$

X	F(e <sub>1</sub> )		F(e <sub>2</sub> )	
	$\langle A_{e_1}(x), \lambda_{e_1}(x) \rangle$		$\langle A_{e_2}(x), \lambda_{e_2}(x) \rangle$	
x <sub>1</sub>	[0.3,0.5][0.1,0.4][0.5,0.8]	[0.6,0.5][0.7]	[0.4,0.6][0.5,0.7][0.6,0.9]	[0.5,0.4][0.4,0.4]
x <sub>2</sub>	[0.6,0.8][0.4,0.7][0.4,0.7]	[0.7,0.5][0.3]	[0.2,0.4][0.4,0.7][0.3,0.6]	[0.3,0.7][0.8]

Then

$$(F, I)^C = \{(F(e_i))^C = \{ \langle x, A_{e_i}^C(x), \lambda_{e_i}^C(x) \rangle : x \in X \} \mid e_i \in I\}$$

is defined as.

X	F <sup>c</sup> (e <sub>1</sub> )		F <sup>c</sup> (e <sub>2</sub> )	
	$\langle A^c_{e_1}(x), \lambda^c_{e_1}(x) \rangle$		$\langle A^c_{e_2}(x), \lambda^c_{e_2}(x) \rangle$	
x <sub>1</sub>	[0.5,0.7][0.6,0.9][0.2,0.5]	[0.4,0.5][0.3]	[0.4,0.6][0.3,0.5][0.1,0.4]	[0.5,0.6][0.6,0.6]
x <sub>2</sub>	[0.2,0.4][0.3,0.6][0.3,0.6]	[0.3,0.5][0.7]	[0.6,0.8][0.3,0.6][0.4,0.7]	[0.7,0.3][0.2]

**Proposition :3.5**

Let  $X$  be initial universe and  $I, J, L$  and  $S$  subsets of parametric set  $E$ . Then for any neutrosophic soft cubic sets  $\mathcal{A} = (F, I), \mathcal{B} = (G, J), \mathcal{C} = (E, L), \mathcal{D} = (T, S)$  the following properties hold

- (1) if  $\mathcal{A} \subseteq_P \mathcal{B}$  and  $\mathcal{B} \subseteq_P \mathcal{C}$  then  $\mathcal{A} \subseteq_P \mathcal{C}$ .
- (2) if  $\mathcal{A} \subseteq_P \mathcal{B}$  then  $\mathcal{B}^C \subseteq_P \mathcal{A}^C$ .
- (3) if  $\mathcal{A} \subseteq_P \mathcal{B}$  and  $\mathcal{A} \subseteq_P \mathcal{C}$  then  $\mathcal{A} \subseteq_P \mathcal{B} \cap_P \mathcal{C}$ .
- (4) if  $\mathcal{A} \subseteq_P \mathcal{B}$  and  $\mathcal{C} \subseteq_P \mathcal{B}$  then  $\mathcal{A} \cup_P \mathcal{C} \subseteq_P \mathcal{B}$ .
- (5) if  $\mathcal{A} \subseteq_P \mathcal{B}$  and  $\mathcal{C} \subseteq_P \mathcal{D}$  then  $\mathcal{A} \cup_P \mathcal{C} \subseteq_P \mathcal{B} \cup_P \mathcal{D}$  and  $\mathcal{A} \cap_P \mathcal{C} \subseteq_P \mathcal{B} \cap_P \mathcal{D}$ .

Proof: Proof is straight forward

**Theorem:3.6** Let  $(F, I)$  be a neutrosophic soft cubic set over  $X$ .

- (1) If  $(F, I)$  is an internal neutrosophic soft cubic set, then  $(F, I)^C$  is also an internal neutrosophic soft cubic set (INSCS).
- (2) If  $(F, I)$  is an external neutrosophic soft cubic set, then  $(F, I)^C$  is also an external Neutrosophic soft cubic set (ENSCS).

Proof.

(1) Given  $(F, I) = \{ F(e_i) = \{ \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} \mid e_i \in I \}$

is an INSCS this implies  $A_{e_i}^{-T}(x) \leq \lambda_{e_i}^T(x) \leq A_{e_i}^{+T}(x)$ ,

$A_{e_i}^{-I}(x) \leq \lambda_{e_i}^I(x) \leq A_{e_i}^{+I}(x)$ ,

$A_{e_i}^{-F}(x) \leq \lambda_{e_i}^F(x) \leq A_{e_i}^{+F}(x)$ ,

for all  $e_i \in I$  and for all  $x \in X$ .

this implies

$1 - A_{e_i}^{+T}(x) \leq 1 - \lambda_{e_i}^T(x) \leq 1 - A_{e_i}^{-T}(x)$ ,

$1 - A_{e_i}^{+I}(x) \leq 1 - \lambda_{e_i}^I(x) \leq 1 - A_{e_i}^{-I}(x)$ ,

$1 - A_{e_i}^{+F}(x) \leq 1 - \lambda_{e_i}^F(x) \leq 1 - A_{e_i}^{-F}(x)$

for all  $e_i \in I$  and for all  $x \in X$ .

Hence  $(F, I)^c$  is an INSCS.

(2) Given

$(F, I) = \{ F(e_i) = \{ \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} \mid e_i \in I \}$  is

an ENSCS this implies

$\lambda_{e_i}^T(x) \notin (A_{e_i}^{-T}(x), A_{e_i}^{+T}(x))$ ,

$\lambda_{e_i}^I(x) \notin (A_{e_i}^{-I}(x), A_{e_i}^{+I}(x))$

$\lambda_{e_i}^F(x) \notin (A_{e_i}^{-F}(x), A_{e_i}^{+F}(x))$

for all  $e_i \in I$  and for all  $x \in X$ .

Since  $\lambda_{e_i}^T(x) \notin (A_{e_i}^{-T}(x), A_{e_i}^{+T}(x))$  &

$0 \leq A_{e_i}^{-T}(x) \leq A_{e_i}^{+T}(x) \leq 1$ ,

$\lambda_{e_i}^I(x) \notin (A_{e_i}^{-I}(x), A_{e_i}^{+I}(x))$  &

$0 \leq A_{e_i}^{-I}(x) \leq A_{e_i}^{+I}(x) \leq 1$ ,

$\lambda_{e_i}^F(x) \notin (A_{e_i}^{-F}(x), A_{e_i}^{+F}(x))$  &

$0 \leq A_{e_i}^{-F}(x) \leq A_{e_i}^{+F}(x) \leq 1$

So we have

$\lambda_{e_i}^T(x) \leq A_{e_i}^{-T}(x)$  or  $A_{e_i}^{+T}(x) \leq \lambda_{e_i}^T(x)$ ,

$\lambda_{e_i}^I(x) \leq A_{e_i}^{-I}(x)$  or  $A_{e_i}^{+I}(x) \leq \lambda_{e_i}^I(x)$ ,

$\lambda_{e_i}^F(x) \leq A_{e_i}^{-F}(x)$  or  $A_{e_i}^{+F}(x) \leq \lambda_{e_i}^F(x)$

this implies

$1 - \lambda_{e_i}^T(x) \geq 1 - A_{e_i}^{-T}(x)$  or  $1 - A_{e_i}^{+T}(x) \geq 1 - \lambda_{e_i}^T(x)$ ,

$1 - \lambda_{e_i}^I(x) \geq 1 - A_{e_i}^{-I}(x)$  or  $1 - A_{e_i}^{+I}(x) \geq 1 - \lambda_{e_i}^I(x)$ ,

$1 - \lambda_{e_i}^F(x) \geq 1 - A_{e_i}^{-F}(x)$  or  $1 - A_{e_i}^{+F}(x) \geq 1 - \lambda_{e_i}^F(x)$ ,

for all  $e_i \in I$  and for all  $x \in X$ .

Thus  $1 - \lambda_{e_i}^T(x) \notin (1 - A_{e_i}^{-T}(x), 1 - A_{e_i}^{+T}(x))$ ,

$1 - \lambda_{e_i}^I(x) \notin (1 - A_{e_i}^{-I}(x), 1 - A_{e_i}^{+I}(x))$ ,

$1 - \lambda_{e_i}^F(x) \notin (1 - A_{e_i}^{-F}(x), 1 - A_{e_i}^{+F}(x))$

Hence  $(F, I)$  is an ENSCS.

**Theorem: 3.7**

Let

$(F, I) = \{ F(e_i) = \{ \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} \mid e_i \in I \}$  and

d

$(G, J) = \{ G(e_i) = \{ \langle x, B_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \} \mid e_i \in J \}$

be internal neutrosophic cubic soft sets. Then,

(1)  $(F, I) \cup_p (G, J)$  is an INSCS

(2)  $(F, I) \cap_p (G, J)$  is an INSCS

Proof:

(1) Since  $(F, I)$  and  $(G, J)$  are internal neutrosophic soft cubic sets. So for  $(F, I)$  we have

$A_{e_i}^{-T}(x) \leq \lambda_{e_i}^T(x) \leq A_{e_i}^{+T}(x)$ ,

$A_{e_i}^{-I}(x) \leq \lambda_{e_i}^I(x) \leq A_{e_i}^{+I}(x)$ ,  $A_{e_i}^{-F}(x) \leq \lambda_{e_i}^F(x) \leq A_{e_i}^{+F}(x)$

for all  $e_i \in I$  and for all  $x \in X$ .

Also for  $(G, J)$  we  $B_{e_i}^{-T}(x) \leq \mu_{e_i}^T(x) \leq B_{e_i}^{+T}(x)$ ,

$B_{e_i}^{-I}(x) \leq \mu_{e_i}^I(x) \leq B_{e_i}^{+I}(x)$ ,  $B_{e_i}^{-F}(x) \leq \mu_{e_i}^F(x) \leq B_{e_i}^{+F}(x)$

for all  $e_i \in J$  and for all  $x \in X$ . Then we have

$\max\{A_{e_i}^{-T}(x), B_{e_i}^{-T}(x)\} \leq (\lambda_{e_i}^T \vee \mu_{e_i}^T)(x) \leq \max\{A_{e_i}^{+T}(x), B_{e_i}^{+T}(x)\}$ ,

$\max\{A_{e_i}^{-I}(x), B_{e_i}^{-I}(x)\} \leq (\lambda_{e_i}^I \vee \mu_{e_i}^I)(x) \leq \max\{A_{e_i}^{+I}(x), B_{e_i}^{+I}(x)\}$ ,

$\max\{A_{e_i}^{-F}(x), B_{e_i}^{-F}(x)\} \leq (\lambda_{e_i}^F \vee \mu_{e_i}^F)(x) \leq \max\{A_{e_i}^{+F}(x), B_{e_i}^{+F}(x)\}$ ,

for all  $e_i \in I \cup J$  and for all  $x \in X$ .

Now by definition of P-union of  $(F, I)$  and  $(G, J)$ , we have

$(F, I) \cup_p (G, J) = (H, C)$  where  $I \cup J = C$  and

$$H(e_i) = \begin{cases} F(e_i) & \text{if } e_i \in I - J \\ G(e_i) & \text{if } e_i \in J - I \\ F(e_i) \vee_p G(e_i) & \text{if } e_i \in I \cap J \end{cases}$$

if  $e_i \in I \cap J$ , then  $F(e_i) \vee_p G(e_i)$  is defined as

$F(e_i) \vee_p G(e_i) = H(e_i) =$

$\{ \langle x, \max\{A_{e_i}(x), B_{e_i}(x)\}, (\lambda_{e_i} \vee \mu_{e_i})(x), x \in X, e_i \in I \cap J \}$ .

where

$$\begin{aligned}
 F^T(e_i) \vee_p G^T(e_i) &= \left\langle x, \max\{A_{e_i}^T(x), B_{e_i}^T(x)\}, (\lambda_{e_i} \vee \mu_{e_i})^T(x), x \in X, e_i \in I \cap J \right\rangle, \\
 F^I(e_i) \vee_p G^I(e_i) &= \left\langle x, \max\{A_{e_i}^I(x), B_{e_i}^I(x)\}, (\lambda_{e_i} \vee \mu_{e_i})^I(x), x \in X, e_i \in I \cap J \right\rangle, \\
 F^F(e_i) \vee_p G^F(e_i) &= \left\langle x, \max\{A_{e_i}^F(x), B_{e_i}^F(x)\}, (\lambda_{e_i} \vee \mu_{e_i})^F(x), x \in X, e_i \in I \cap J \right\rangle.
 \end{aligned}$$

Thus  $(F, I) \cup_p (G, J)$  is an INSCS if  $e_i \in I \cap J$ .  
 If  $e_i \in I - J$  or  $e_i \in J - I$  then the result is trivial.  
 Hence  $(F, I) \cup_p (G, J)$  is an INSCS in all cases.

(2) Since  $(F, I) \cap_p (G, J) = (H, C)$  where  $I \cap J = C$  and  $H(e_i) = F(e_i) \wedge_p G(e_i)$ . If  $e_i \in I \cap J$  then  $F(e_i) \wedge_p G(e_i)$  is defined as  $H(e_i) = F(e_i) \wedge_p G(e_i) = \left\langle x, \min\{A_{e_i}(x), B_{e_i}(x)\}, (\lambda_{e_i} \wedge \mu_{e_i})(x) \right\rangle$ . Also given that  $(F, I)$  and  $(G, J)$  are INSCS.

So far we have

$$\begin{aligned}
 A_{e_i}^{-T}(x) \leq \lambda_{e_i}^T(x) \leq A_{e_i}^{+T}(x), \quad A_{e_i}^{-I}(x) \leq \lambda_{e_i}^I(x) \leq A_{e_i}^{+I}(x), \\
 A_{e_i}^{-F}(x) \leq \lambda_{e_i}^F(x) \leq A_{e_i}^{+F}(x)
 \end{aligned}$$

for all  $e_i \in I$  and for all  $x \in X$ .

And for  $(G, J)$  we have  $B_{e_i}^{-T}(x) \leq \mu_{e_i}^T(x) \leq B_{e_i}^{+T}(x)$ ,  $B_{e_i}^{-I}(x) \leq \mu_{e_i}^I(x) \leq B_{e_i}^{+I}(x)$ ,  $B_{e_i}^{-F}(x) \leq \mu_{e_i}^F(x) \leq B_{e_i}^{+F}(x)$  for all  $e_i \in J$  and for all  $x \in X$ .

$$\begin{aligned}
 \min\{A_{e_i}^{-T}(x), B_{e_i}^{-T}(x)\} &\leq (\lambda_{e_i}^T \wedge \mu_{e_i}^T)(x) \leq \min\{A_{e_i}^{+T}(x), B_{e_i}^{+T}(x)\}, \\
 \min\{A_{e_i}^{-I}(x), B_{e_i}^{-I}(x)\} &\leq (\lambda_{e_i}^I \wedge \mu_{e_i}^I)(x) \leq \min\{A_{e_i}^{+I}(x), B_{e_i}^{+I}(x)\} \\
 \min\{A_{e_i}^{-F}(x), B_{e_i}^{-F}(x)\} &\leq (\lambda_{e_i}^F \wedge \mu_{e_i}^F)(x) \leq \min\{A_{e_i}^{+F}(x), B_{e_i}^{+F}(x)\}
 \end{aligned}$$

for all  $e_i \in I \cap J$  and for all  $x \in X$ .

Hence  $(F, I) \cap_p (G, J)$  is an INSCS.

**Definition: 3.8**

Given two neutrosophic soft cubic sets (NSCS)  $(F, I) = \{F(e_i) = \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \mid e_i \in I\}$  and

$(G, J) = \{G(e_i) = \langle x, B_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \mid e_i \in J\}$ , if

we interchange  $\lambda$  and  $\mu$ ,

Then the new neutrosophic soft cubic set (NSCS) are denoted and defined as

$(F, I)^* = \{F(e_i) = \langle x, A_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \mid e_i \in I\}$  and

$(G, J)^* = \{G(e_i) = \langle x, B_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \mid e_i \in J\}$  respectively.

**Theorem 3.9**

For two ENSCSs

$(F, I) = \{F(e_i) = \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \mid e_i \in I\}$  and

$(G, J) = \{G(e_i) = \langle x, B_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \mid e_i \in J\}$  in

$X$ , if  $(F, I)^*$  and  $(G, J)^*$  are INSCS in  $X$  then  $(F, I) \cup_p (G, J)$  is an INSCS in  $X$ .

Proof:

Since

$(F, I) = \{F(e_i) = \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \mid e_i \in I\}$  and

$(G, J) = \{G(e_i) = \langle x, B_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \mid e_i \in J\}$  are

ENSCS.

Then for  $(F, I)$  we have  $\lambda_{e_i}^T(x) \notin (A_{e_i}^{-T}(x), A_{e_i}^{+T}(x))$ ,

$\lambda_{e_i}^I(x) \notin (A_{e_i}^{-I}(x), A_{e_i}^{+I}(x))$ ,  $\lambda_{e_i}^F(x) \notin (A_{e_i}^{-F}(x), A_{e_i}^{+F}(x))$

for all  $e_i \in I$  and for all  $x \in X$  and  $(G, J)$  we have

$\mu_{e_i}^T(x) \notin (B_{e_i}^{-T}(x), B_{e_i}^{+T}(x))$ ,  $\mu_{e_i}^I(x) \notin (B_{e_i}^{-I}(x), B_{e_i}^{+I}(x))$ ,

$\mu_{e_i}^F(x) \notin (B_{e_i}^{-F}(x), B_{e_i}^{+F}(x))$

for all  $e_i \in J$  and for all  $x \in X$ . Also given that

$(F, I)^* = \{F(e_i) = \langle x, A_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \mid e_i \in I\}$  and

$(G, J)^* = \{G(e_i) = \langle x, B_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \mid e_i \in J\}$  are

INSCS so this implies  $A_{e_i}^{-T}(x) \leq \mu_{e_i}^T(x) \leq A_{e_i}^{+T}(x)$ ,

$A_{e_i}^{-I}(x) \leq \mu_{e_i}^I(x) \leq A_{e_i}^{+I}(x)$ ,  $A_{e_i}^{-F}(x) \leq \mu_{e_i}^F(x) \leq A_{e_i}^{+F}(x)$

for all  $e_i \in I$  and for all  $x \in X$ . And

$B_{e_i}^{-T}(x) \leq \lambda_{e_i}^T(x) \leq B_{e_i}^{+T}(x)$

$B_{e_i}^{-I}(x) \leq \lambda_{e_i}^I(x) \leq B_{e_i}^{+I}(x)$ ,  $B_{e_i}^{-F}(x) \leq \lambda_{e_i}^F(x) \leq B_{e_i}^{+F}(x)$ .

for all  $e_i \in J$  and for all  $x \in X$ . Since  $(F, I)$  and  $(G, J)$

are ENSCS and  $(F, I)^*$  and  $(G, J)^*$  are INSCS. Thus by definition of ENSCS and INSCS all the possibilities are under

1) (a1)  $\lambda_{e_i}^T(x) \leq A_{e_i}^{-T}(x) \leq \mu_{e_i}^T(x) \leq A_{e_i}^{+T}(x)$

(a2)  $\lambda_{e_i}^I(x) \leq A_{e_i}^{-I}(x) \leq \mu_{e_i}^I(x) \leq A_{e_i}^{+I}(x)$

(a3)  $\lambda_{e_i}^F(x) \leq A_{e_i}^{-F}(x) \leq \mu_{e_i}^F(x) \leq A_{e_i}^{+F}(x)$

(b1)  $\mu_{e_i}^T(x) \leq B_{e_i}^{-T}(x) \leq \lambda_{e_i}^T(x) \leq B_{e_i}^{+T}(x)$

- (b2)  $\mu_{e_i}^I(x) \leq B_{e_i}^{-I}(x) \leq \lambda_{e_i}^I(x) \leq B_{e_i}^{+I}(x)$
- (b3)  $\mu_{e_i}^F(x) \leq B_{e_i}^{-F}(x) \leq \lambda_{e_i}^F(x) \leq B_{e_i}^{+F}(x)$
- 2) (a1)  $A_{e_i}^{-T}(x) \leq \mu_{e_i}^T(x) \leq A_{e_i}^{+T}(x) \leq \lambda_{e_i}^T(x)$
- (a2)  $A_{e_i}^{-I}(x) \leq \mu_{e_i}^I(x) \leq A_{e_i}^{+I}(x) \leq \lambda_{e_i}^I(x)$
- (a3)  $A_{e_i}^{-F}(x) \leq \mu_{e_i}^F(x) \leq A_{e_i}^{+F}(x) \leq \lambda_{e_i}^F(x)$
- (b1)  $B_{e_i}^{-T}(x) \leq \lambda_{e_i}^T(x) \leq B_{e_i}^{+T}(x) \leq \mu_{e_i}^T(x)$
- (b2)  $B_{e_i}^{-I}(x) \leq \lambda_{e_i}^I(x) \leq B_{e_i}^{+I}(x) \leq \mu_{e_i}^I(x)$
- (b3)  $B_{e_i}^{-F}(x) \leq \lambda_{e_i}^F(x) \leq B_{e_i}^{+F}(x) \leq \mu_{e_i}^F(x)$
- 3) (a1)  $\lambda_{e_i}^T(x) \leq A_{e_i}^{-T}(x) \leq \mu_{e_i}^T(x) \leq A_{e_i}^{+T}(x)$
- (a2)  $\lambda_{e_i}^I(x) \leq A_{e_i}^{-I}(x) \leq \mu_{e_i}^I(x) \leq A_{e_i}^{+I}(x)$
- (a3)  $\lambda_{e_i}^F(x) \leq A_{e_i}^{-F}(x) \leq \mu_{e_i}^F(x) \leq A_{e_i}^{+F}(x)$
- (b1)  $B_{e_i}^{-T}(x) \leq \lambda_{e_i}^T(x) \leq B_{e_i}^{+T}(x) \leq \mu_{e_i}^T(x)$
- (b2)  $B_{e_i}^{-I}(x) \leq \lambda_{e_i}^I(x) \leq B_{e_i}^{+I}(x) \leq \mu_{e_i}^I(x)$
- (b3)  $B_{e_i}^{-F}(x) \leq \lambda_{e_i}^F(x) \leq B_{e_i}^{+F}(x) \leq \mu_{e_i}^F(x)$
- 4) (a2)  $A_{e_i}^{-T}(x) \leq \mu_{e_i}^T(x) \leq A_{e_i}^{+T}(x) \leq \lambda_{e_i}^T(x)$
- (a2)  $A_{e_i}^{-I}(x) \leq \mu_{e_i}^I(x) \leq A_{e_i}^{+I}(x) \leq \lambda_{e_i}^I(x)$
- (a2)  $A_{e_i}^{-F}(x) \leq \mu_{e_i}^F(x) \leq A_{e_i}^{+F}(x) \leq \lambda_{e_i}^F(x)$
- (b1)  $\mu_{e_i}^T(x) \leq B_{e_i}^{-T}(x) \leq \lambda_{e_i}^T(x) \leq B_{e_i}^{+T}(x)$
- (b2)  $\mu_{e_i}^I(x) \leq B_{e_i}^{-I}(x) \leq \lambda_{e_i}^I(x) \leq B_{e_i}^{+I}(x)$
- (b2)  $\mu_{e_i}^F(x) \leq B_{e_i}^{-F}(x) \leq \lambda_{e_i}^F(x) \leq B_{e_i}^{+F}(x)$

Since P-union of (F,I) and (G,J) is denoted and defined as  $(F, I) \cup_P (G, J) = (H, C)$  where  $I \cup J = C$  and

$$H(e_i) = \begin{cases} F(e_i) & \text{if } e \in I - J \\ G(e_i) & \text{if } e \in J - I \\ F(e_i) \vee_P G(e_i) & \text{if } e \in I \cap J \end{cases}$$

if  $e_i \in I \cap J$ , then  $F(e_i) \vee_P G(e_i)$  is defined as

$$F(e_i) \vee_P G(e_i) = H(e_i) = \{ < x, \max\{A_{e_i}(x), B_{e_i}(x)\}, (\lambda_{e_i} \vee \mu_{e_i})(x), x \in X, e_i \in I \cap J \}$$

where

$$F^T(e_i) \vee_P G^T(e_i) = \{ < x, \max\{A_{e_i}^T(x), B_{e_i}^T(x)\}, (\lambda_{e_i}^T \vee \mu_{e_i}^T)(x), x \in X, e_i \in I \cap J \}$$

$$F^I(e_i) \vee_P G^I(e_i) = \{ < x, \max\{A_{e_i}^I(x), B_{e_i}^I(x)\}, (\lambda_{e_i}^I \vee \mu_{e_i}^I)(x), x \in X, e_i \in I \cap J \}$$

$$F^F(e_i) \vee_P G^F(e_i) = \{ < x, \max\{A_{e_i}^F(x), B_{e_i}^F(x)\}, (\lambda_{e_i}^F \vee \mu_{e_i}^F)(x), x \in X, e_i \in I \cap J \}$$

for all  $e_i \in I \cap J$  and for all  $x \in X$ .

Case: 1

If  $H(e_i) = F(e_i)$  that is if  $e_i \in I - J$

then from (1)(a1) and (2)(a1), we have

$$\lambda_{e_i}^T(x) = A_{e_i}^{-T}(x) \text{ and } \lambda_{e_i}^I(x) = A_{e_i}^{+I}(x)$$

for all  $e_i \in I$  and for all  $x \in X$ .

Thus

$$A_{e_i}^{-T}(x) \leq \lambda_{e_i}^T(x) \leq A_{e_i}^{+T}(x),$$

for all  $e_i \in I - J$  and for all  $x \in X$ .

Similarly we can prove for (1)(a2), (2)(a2) and (1)(a3), (2)(a3).

Thus  $A_{e_i}^{-I}(x) \leq \lambda_{e_i}^I(x) \leq A_{e_i}^{+I}(x)$  and

$$A_{e_i}^{-F}(x) \leq \lambda_{e_i}^F(x) \leq A_{e_i}^{+F}(x),$$

for all  $e_i \in I - J$  and for all  $x \in X$ .

Case: 2

If  $H(e_i) = G(e_i)$  that is if  $e_i \in J - I$  then from (1)(b1) and (2)(b1), we have

$$\mu_{e_i}^T(x) = B_{e_i}^{-T}(x) \text{ and } \mu_{e_i}^I(x) = B_{e_i}^{+I}(x)$$

for all  $e_i \in I$  and for all  $x \in X$ . Thus

$$B_{e_i}^{-T}(x) \leq \mu_{e_i}^T(x) \leq B_{e_i}^{+T}(x),$$

for all  $e_i \in J - I$  and for all  $x \in X$ . Similarly we can prove for (1)(b2) and (2)(b2) and (1)(b3) and (2)(b3). Thus

$$B_{e_i}^{-I}(x) \leq \mu_{e_i}^I(x) \leq B_{e_i}^{+I}(x) \text{ and}$$

$$B_{e_i}^{-F}(x) \leq \mu_{e_i}^F(x) \leq B_{e_i}^{+F}(x),$$

for all  $e_i \in J - I$  and for all  $x \in X$ .

Case: 3

If  $H(e_i) = F(e_i) \vee_P G(e_i)$  that is if  $e_i \in I \cap J$ , then

from (1)(a1) and (1)(b1), we have

$$A_{e_i}^{-T}(x) \leq \lambda_{e_i}^T(x) \leq A_{e_i}^{+T}(x) \text{ for all } e_i \in I \text{ and for all } x \in X.$$

and

$$B_{e_i}^{-T}(x) \leq \mu_{e_i}^T(x) \leq B_{e_i}^{+T}(x) \text{ for all } e_i \in J \text{ and for all } x \in X.$$

Hence (i)  
 $e_i \in I \cap J$  then

$$\max\{A_{e_i}^{-T}(x), B_{e_i}^{-T}(x)\} \leq \left( \lambda_{e_i}^T \vee \mu_{e_i}^T \right)(x) \leq \max\{A_{e_i}^{+T}(x), B_{e_i}^{+T}(x)\}.$$

Similarly we can prove (1)(a2) , (1)(b2) and (1)(a3), (1)(b3) .

Thus

$$\max\{A_{e_i}^{-I}(x), B_{e_i}^{-I}(x)\} \leq \left( \lambda_{e_i}^I \vee \mu_{e_i}^I \right)(x) \leq \max\{A_{e_i}^{+I}(x), B_{e_i}^{+I}(x)\}$$

$$, \max\{A_{e_i}^{-F}(x), B_{e_i}^{-F}(x)\} \leq \left( \lambda_{e_i}^F \vee \mu_{e_i}^F \right)(x) \leq \max\{A_{e_i}^{+F}(x), B_{e_i}^{+F}(x)\}$$

Thus in all the three cases  $(F, I) \cup_P (G, J)$  is an INSCS in  $X$ .

**Theorem: 3.10**

For two ENSCSs

$$(F, I) = \{ F(e_i) = \{ \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} \mid e_i \in I \}$$

$$(G, J) = \{ G(e_i) = \{ \langle x, B_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \} \mid e_i \in J \}$$

in  $X$  if

$$(F, I)^* = \{ F(e_i) = \{ \langle x, A_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \} \mid e_i \in I \}$$

$$(G, J)^* = \{ G(e_i) = \{ \langle x, B_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} \mid e_i \in J \}$$

are INSCS in  $X$  then  $(F, I) \cap_P (G, J)$  is an INSCS in  $X$ .

Proof: By similar way to Theorem 3.9 we can obtain the result.

**Theorem: 3.11**

Let

$$(F, I) = \{ F(e_i) = \{ \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} \mid e_i \in I \}$$

$$(G, J) = \{ G(e_i) = \{ \langle x, B_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \} \mid e_i \in J \}$$

be ENSCSs in  $X$  such that

$$(F, I)^* = \{ F(e_i) = \{ \langle x, A_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \} \mid e_i \in I \}$$

$$(G, J)^* = \{ G(e_i) = \{ \langle x, B_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} \mid e_i \in J \}$$

be ENSCS in  $X$ . Then  $P$ -union of  $(F, I)$  and  $(G, J)$  is an ENSCS in  $X$ .

Proof:

Since  $(F, I)$ ,  $(G, J)$ ,  $(F, I)^*$  and  $(G, J)^*$  are ENSCS so by definition of an external soft cubic set for  $(F, I)$ ,

$(G, J)$ ,  $(F, I)^*$  and  $(G, J)^*$  we have

$$\lambda_{e_i}^T(x) \notin (A_{e_i}^{-T}(x), A_{e_i}^{+T}(x)) \quad , \quad \lambda_{e_i}^I(x) \notin (A_{e_i}^{-I}(x), A_{e_i}^{+I}(x)) \quad ,$$

$$\lambda_{e_i}^F(x) \notin (A_{e_i}^{-F}(x), A_{e_i}^{+F}(x)) \quad ,$$

for all  $e_i \in I$  and for all  $x \in X$ .

$$\mu_{e_i}^T(x) \notin (B_{e_i}^{-T}(x), B_{e_i}^{+T}(x)) \quad , \quad \mu_{e_i}^I(x) \notin (B_{e_i}^{-I}(x), B_{e_i}^{+I}(x)) \quad ,$$

$$\mu_{e_i}^F(x) \notin (B_{e_i}^{-F}(x), B_{e_i}^{+F}(x)) \quad \text{for all } e_i \in J \text{ and for all } x \in X.$$

$$\mu_{e_i}^T(x) \notin (A_{e_i}^{-T}(x), A_{e_i}^{+T}(x)) \quad , \quad \mu_{e_i}^I(x) \notin (A_{e_i}^{-I}(x), A_{e_i}^{+I}(x)) \quad ,$$

$$\mu_{e_i}^F(x) \notin (A_{e_i}^{-F}(x), A_{e_i}^{+F}(x))$$

for all  $e_i \in I$  and for all  $x \in X$ .

$$\lambda_{e_i}^T(x) \notin (B_{e_i}^{-T}(x), B_{e_i}^{+T}(x)) \quad , \quad \lambda_{e_i}^I(x) \notin (B_{e_i}^{-I}(x), B_{e_i}^{+I}(x)) \quad ,$$

$$\lambda_{e_i}^F(x) \notin (B_{e_i}^{-F}(x), B_{e_i}^{+F}(x)) \quad \text{for all } e_i \in J \text{ and for all } x \in X$$

respectively.

Thus we have

$$\left( \lambda_{e_i}^T \vee \mu_{e_i}^T \right)(x) \notin \{ \max\{A_{e_i}^{-T}(x), B_{e_i}^{-T}(x)\}, \max\{A_{e_i}^{+T}(x), B_{e_i}^{+T}(x)\} \}$$

$$\left( \lambda_{e_i}^I \vee \mu_{e_i}^I \right)(x) \notin \{ \max\{A_{e_i}^{-I}(x), B_{e_i}^{-I}(x)\}, \max\{A_{e_i}^{+I}(x), B_{e_i}^{+I}(x)\} \}$$

$$\left( \lambda_{e_i}^F \vee \mu_{e_i}^F \right)(x) \notin \{ \max\{A_{e_i}^{-F}(x), B_{e_i}^{-F}(x)\}, \max\{A_{e_i}^{+F}(x), B_{e_i}^{+F}(x)\} \}$$

for all  $e_i \in I \cap J$  and for all  $x \in X$ . Thus we have

$$\left( \lambda_{e_i} \vee \mu_{e_i} \right)(x) \notin \max\{A_{e_i}(x), B_{e_i}(x)\}$$

for all  $e_i \in I \cap J$  and for all  $x \in X$ . Also since

$$(F, I) \cup_P (G, J) = (H, C) \quad \text{where } I \cup J = C \quad \text{and}$$

$$H(e_i) = \begin{cases} F(e_i) & \text{if } e \in I - J \\ G(e_i) & \text{if } e \in J - I \\ F(e_i) \vee_P G(e_i) & \text{if } e \in I \cap J \end{cases}$$

if  $e \in I \cap J$ , then  $F(e_i) \vee_P G(e_i)$  is defined as

$$F(e_i) \vee_P G(e_i) = H(e_i) = \{ \langle x, \max\{A_{e_i}(x), B_{e_i}(x)\}, (\lambda_{e_i} \vee \mu_{e_i})(x), x \in X, e_i \in I \cap J \}.$$

where

$$F^T(e_i) \vee_P G^T(e_i) = \left\{ \langle x, \max\{A_{e_i}^T(x), B_{e_i}^T(x)\}, \left( \lambda_{e_i}^T \vee \mu_{e_i}^T \right)(x), x \in X, e_i \in I \cap J \right\}$$

$$F^I(e_i) \vee_P G^I(e_i) = \left\{ \langle x, \max\{A_{e_i}^I(x), B_{e_i}^I(x)\}, \left( \lambda_{e_i}^I \vee \mu_{e_i}^I \right)(x), x \in X, e_i \in I \cap J \right\}$$

$$F^F(e_i) \vee_p G^F(e_i) = \left\{ \langle x, \max\{A_{e_i}^F(x), B_{e_i}^F(x)\}, \left( \lambda_{e_i}^F \vee \mu_{e_i}^F \right)(x), x \in X, e_i \in I \cap J \right\}$$

By definition of an external soft cubic set  $(F, I) \cup_p (G, J)$  is an ENSCS in X.

**Example: 3.12**

Let  $(P, I)$  and  $(Q, J)$  be neutrosophic soft cubic sets in X where

$$(P, I) = P(e_1) = \{ \langle x, ([0.3, 0.5] \cup [0.2, 0.5] \cup [0.5, 0.7]), (0.8, 0.3, 0.4) \rangle : e_1 \in I \}$$

$$(Q, J) = Q(e_1) = \{ \langle x, ([0.7, 0.9] \cup [0.6, 0.8] \cup [0.4, 0.7]), (0.4, 0.7, 0.3) \rangle : e_1 \in J \}$$

for all  $x \in X$

Then  $(P, I)$  and  $(Q, J)$  are T-external neutrosophic cubic sets in X and  $(P, I) \cap_p (Q, J) =$

$$(P, I) \cap (Q, J) = P \cap Q(e_1) = \{ \langle x, ([0.3, 0.5] \cup [0.2, 0.5] \cup [0.4, 0.7]), (0.4, 0.3, 0.3) \rangle : e_1 \in I \cap J \}$$

for all  $x \in X$ .  $(P, I) \cap_p (Q, J)$  is not an T-external neutrosophic cubic set since

$$\left( \lambda_{e_1}^T \wedge \mu_{e_1}^T \right)(x) = \left( \lambda_{e_1}^T \cap \mu_{e_1}^T \right)(x) = 0.4 \in (0.3, 0.5)$$

From the above example it is clear that P-intersection of T-external neutrosophic soft cubic sets may not be an T-external neutrosophic soft cubic set. We provide a condition for the P-intersection of T-external (resp. I-external and F-external) neutrosophic soft cubic sets to be T-external (resp. I-external and F-external) neutrosophic soft cubic set.

**Theorem: 3.13**

Let

$$(F, I) = \{ F(e_i) = \{ \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} : e_i \in I \}$$

$$(G, J) = \{ G(e_i) = \{ \langle x, B_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \} : e_i \in J \}$$

be T- ENSCSs in X such that

$$\left( \lambda_{e_i}^T \wedge \mu_{e_i}^T \right)(x) \in \left\{ \begin{array}{l} \max\{ \min\{A_{e_i}^{+T}(x), B_{e_i}^{-T}(x)\}, \min\{A_{e_i}^{-T}(x), B_{e_i}^{+T}(x)\} \}, \\ \min\{ \max\{A_{e_i}^{+T}(x), B_{e_i}^{-T}(x)\}, \max\{A_{e_i}^{-T}(x), B_{e_i}^{+T}(x)\} \} \end{array} \right\} \tag{3.7}$$

for all  $e_i \in I$  and for all  $e_i \in J$  and for all  $x \in X$ .

Then  $(F, I) \cap_p (G, J)$  is also an T- ENSCS.

Proof

Consider  $(F, I) \cap_p (G, J) = (H, C)$  where  $I \cap J = C$

where  $H(e_i) = F(e_i) \wedge_p G(e_i)$  is defined as

$$F(e_i) \wedge_p G(e_i) = H(e_i) = \{ \langle x, \min\{A_{e_i}(x), B_{e_i}(x)\}, (\lambda_{e_i} \wedge \mu_{e_i})(x), x \in X, e_i \in I \cap J \}.$$

For each  $e \in I \cap J$ ,

Take

$$\alpha_{e_i}^T = \min\{ \max\{A_{e_i}^{+T}(x), B_{e_i}^{-T}(x)\}, \max\{A_{e_i}^{-T}(x), B_{e_i}^{+T}(x)\} \}$$

$$\text{and } \beta_{e_i}^T = \max\{ \min\{A_{e_i}^{+T}(x), B_{e_i}^{-T}(x)\}, \min\{A_{e_i}^{-T}(x), B_{e_i}^{+T}(x)\} \}$$

Then  $\alpha_{e_i}^T$  is one of  $A_{e_i}^{-T}(x), B_{e_i}^{-T}(x), A_{e_i}^{+T}(x), B_{e_i}^{+T}(x)$ .

Now we consider  $\alpha_{e_i}^T = A_{e_i}^{-T}(x)$  or  $A_{e_i}^{+T}(x)$  only, as the remaining cases are similar to this one.

If  $\alpha_{e_i}^T = A_{e_i}^{-T}(x)$  then

$$B_{e_i}^{-T}(x) \leq B_{e_i}^{+T}(x) \leq A_{e_i}^{-T}(x) \leq A_{e_i}^{+T}(x) \text{ and so } \beta_{e_i}^T =$$

$$B_{e_i}^{+T}(x)$$

$$\text{thus } B_{e_i}^{-T}(x) = (A_{e_i}^T \cap B_{e_i}^T)^-(x) \leq (A_{e_i}^T \cap B_{e_i}^T)^+(x) =$$

$$B_{e_i}^{+T}(x) = \beta_{e_i}^T < \left( \lambda_{e_i}^T \wedge \mu_{e_i}^T \right)(x).$$

$$\text{Hence } \left( \lambda_{e_i}^T \wedge \mu_{e_i}^T \right)(x) \notin \left( (A_{e_i}^T \cap B_{e_i}^T)^-(x), (A_{e_i}^T \cap B_{e_i}^T)^+(x) \right)$$

If  $\alpha_{e_i}^T = A_{e_i}^{+T}(x)$ , then  $B_{e_i}^{-T}(x) \leq A_{e_i}^{+T}(x) \leq B_{e_i}^{+T}(x)$

$$\text{and so } \beta_{e_i}^T = \max\{A_{e_i}^{-T}(x), B_{e_i}^{-T}(x)\}.$$

Assume that  $\beta_{e_i}^T = A_{e_i}^{-T}(x)$  then  $B_{e_i}^{-T}(x) \leq A_{e_i}^{-T}(x) <$

$$\left( \lambda_{e_i}^T \wedge \mu_{e_i}^T \right)(x) \leq A_{e_i}^{+T}(x) \leq B_{e_i}^{+T}(x).$$

So from this we can write  $B_{e_i}^{-T}(x) \leq A_{e_i}^{-T}(x) <$

$$\left( \lambda_{e_i}^T \wedge \mu_{e_i}^T \right)(x) < A_{e_i}^{+T}(x) \leq B_{e_i}^{+T}(x) \text{ or}$$

$$B_{e_i}^{-T}(x) \leq A_{e_i}^{-T}(x) < \left( \lambda_{e_i}^T \wedge \mu_{e_i}^T \right)(x) = A_{e_i}^{+T}(x) \leq B_{e_i}^{+T}(x).$$

For this case  $B_{e_i}^{-T}(x) \leq A_{e_i}^{-T}(x) < \left( \lambda_{e_i}^T \wedge \mu_{e_i}^T \right)(x) <$

$A_{e_i}^{+T}(x) \leq B_{e_i}^{+T}(x)$  it is contradiction to the fact that  $(F, I)$  and  $(G, J)$  are T-ENSCS.

For the case  $B_{e_i}^{-T}(x) \leq A_{e_i}^{-T}(x) < \left( \lambda_{e_i}^T \wedge \mu_{e_i}^T \right)(x) =$

$$A_{e_i}^{+T}(x) \leq B_{e_i}^{+T}(x) \text{ we have } \left( \lambda_{e_i}^T \wedge \mu_{e_i}^T \right)(x) \notin$$

$(A_{e_i}^T \cap B_{e_i}^T)^-(x), A_{e_i}^T \cap B_{e_i}^T)^+(x)$  because  $(\lambda_{e_i}^T \wedge \mu_{e_i}^T)(x) = A_{e_i}^{+T}(x) = (A_{e_i}^T \cap B_{e_i}^T)^+(x)$ . Again assume that  $\beta_{e_i}^T = B_{e_i}^{-T}(x)$  then  $A_{e_i}^{-T}(x) \leq B_{e_i}^{-T}(x) < (\lambda_{e_i}^T \wedge \mu_{e_i}^T)(x) \leq A_{e_i}^{+T}(x) \leq B_{e_i}^{+T}(x)$ . From this we can write  $A_{e_i}^{-T}(x) \leq B_{e_i}^{-T}(x) < (\lambda_{e_i}^T \wedge \mu_{e_i}^T)(x) < A_{e_i}^{+T}(x) \leq B_{e_i}^{+T}(x)$  or  $A_{e_i}^{-T}(x) \leq B_{e_i}^{-T}(x) < (\lambda_{e_i}^T \wedge \mu_{e_i}^T)(x) < A_{e_i}^{+T}(x) \leq B_{e_i}^{+T}(x)$ . For this case  $A_{e_i}^{-T}(x) \leq B_{e_i}^{-T}(x) < (\lambda_{e_i}^T \wedge \mu_{e_i}^T)(x) < A_{e_i}^{+T}(x) \leq B_{e_i}^{+T}(x)$  it is contradiction to the fact that  $(F, I)$  and  $(G, J)$  are T-ENSCS. And if we take the case  $A_{e_i}^{-T}(x) \leq B_{e_i}^{-T}(x) < (\lambda_{e_i}^T \wedge \mu_{e_i}^T)(x) = A_{e_i}^{+T}(x) \leq B_{e_i}^{+T}(x)$ , we get have  $(\lambda_{e_i}^T \wedge \mu_{e_i}^T)(x) \notin ((A_{e_i}^T \cap B_{e_i}^T)^-(x), A_{e_i}^T \cap B_{e_i}^T)^+(x)$

because  $(\lambda_{e_i}^T \wedge \mu_{e_i}^T)(x) = A_{e_i}^{+T}(x) = (A_{e_i}^T \cap B_{e_i}^T)^+(x)$ . Hence in all the cases  $(F, I) \cap_P (G, J)$  is an T-ENSCS in X.

**Theorem: 3.14**

Let  $(F, I) = \{F(e_i) = \{ \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} \mid e_i \in I\}$  and  $(G, J) = \{G(e_i) = \{ \langle x, B_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \} \mid e_i \in J\}$  be I-ENSCSs in X such that

$$(\lambda_{e_i}^I \wedge \mu_{e_i}^I)(x) \in \begin{cases} \min\{\max\{A_{e_i}^{+I}(x), B_{e_i}^{-I}(x)\}, \max\{A_{e_i}^{-I}(x), B_{e_i}^{+I}(x)\}\}, \\ \max\{\min\{A_{e_i}^{+I}(x), B_{e_i}^{-I}(x)\}, \min\{A_{e_i}^{-I}(x), B_{e_i}^{+I}(x)\}\} \end{cases} \quad (3.8)$$

for all  $e_i \in I$  and for all  $e_i \in J$  and for all  $x \in X$ . Then  $(F, I) \cap_P (G, J)$  is also an I-ENSCS

Proof: By similar way to Theorem 3.13, we can obtain the result.

**Theorem : 3.15**

Let  $(F, I) = \{F(e_i) = \{ \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} \mid e_i \in I\}$  and  $(G, J) = \{G(e_i) = \{ \langle x, B_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \} \mid e_i \in J\}$  be F-ENSCSs in X such that

$$(\lambda_{e_i}^F \wedge \mu_{e_i}^F)(x) \in \begin{cases} \min\{\max\{A_{e_i}^{+F}(x), B_{e_i}^{-F}(x)\}, \max\{A_{e_i}^{-F}(x), B_{e_i}^{+F}(x)\}\}, \\ \max\{\min\{A_{e_i}^{+F}(x), B_{e_i}^{-F}(x)\}, \min\{A_{e_i}^{-F}(x), B_{e_i}^{+F}(x)\}\} \end{cases} \dots\dots\dots(3.9)$$

for all  $e_i \in I$  and for all  $e_i \in J$  and for all  $x \in X$ . Then  $(F, I) \cap_P (G, J)$  is also an F-ENSCS.

Proof : By similar way to Theorem 3.13, we can obtain the result

**Corollary:3.16**

Let  $(F, I) = \{F(e_i) = \{ \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} \mid e_i \in I\}$  and  $(G, J) = \{G(e_i) = \{ \langle x, B_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \} \mid e_i \in J\}$  be ENSCSs in X. Then P-intersection  $(F, I) \cap_P (G, J)$  is also an ENSCS in X when the conditions (3.7), (3.8) and (3.9) are valid.

**Theorem: 3.17**

If neutrosophic soft cubic set  $(F, I) = \{F(e_i) = \{ \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} \mid e_i \in I\}$  and  $(G, J) = \{G(e_i) = \{ \langle x, B_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \} \mid e_i \in J\}$  in X satisfy the following condition

$$\min\{\max\{A_{e_i}^{+T}(x), B_{e_i}^{-T}(x)\}, \max\{A_{e_i}^{-T}(x), B_{e_i}^{+T}(x)\}\} = (\lambda_{e_i}^T \wedge \mu_{e_i}^T)(x) = \max\{\min\{A_{e_i}^{+T}(x), B_{e_i}^{-T}(x)\}, \min\{A_{e_i}^{-T}(x), B_{e_i}^{+T}(x)\}\} \dots\dots(11.1)$$

then the  $(F, I) \cap_P (G, J)$  is both an T-Internal Neutrosophic Soft Cubic Set and T-External Soft Neutrosophic Cubic Set in X.

Proof: Consider  $(F, I) \cap_P (G, J) = (H, C)$  where  $I \cap J = C$  where  $H(e_i) = F(e_i) \wedge_P G(e_i)$  is defined as

$$F(e_i) \wedge_P G(e_i) = H(e_i) = \{ \langle x, \min\{A_{e_i}(x), B_{e_i}(x)\}, (\lambda_{e_i} \wedge \mu_{e_i})(x) \rangle : x \in X \} \mid e_i \in I \cap J$$

where

$F^T(e_i) \wedge_P G^T(e_i) = \{ \langle x, \min\{A_{e_i}^T(x), B_{e_i}^T(x)\}, (\lambda_{e_i}^T \wedge \mu_{e_i}^T)(x) \rangle : x \in X \}$   $e_i \in I \cap J$   
 For each  $e_i \in I \cap J$  Take  
 $\alpha_{e_i}^T = \min\{ \max\{A_{e_i}^{+T}(x), B_{e_i}^{-T}(x)\}, \max\{A_{e_i}^{-T}(x), B_{e_i}^{+T}(x)\} \}$  and  
 $\beta_{e_i}^T = \max\{ \min\{A_{e_i}^{+T}(x), B_{e_i}^{-T}(x)\}, \min\{A_{e_i}^{-T}(x), B_{e_i}^{+T}(x)\} \}$ . Then  
 $\alpha_{e_i}^T$  is one of  $A_{e_i}^{-T}(x), B_{e_i}^{-T}(x), A_{e_i}^{+T}(x), B_{e_i}^{+T}(x)$ . Now we consider  $\alpha_{e_i}^T = A_{e_i}^{-T}(x)$ , or  $A_{e_i}^{+T}(x)$  only, as the remaining cases are similar to this one. If  $\alpha_{e_i}^T = A_{e_i}^{-T}(x)$  then

$B_{e_i}^{-T}(x) \leq B_{e_i}^{+T}(x) \leq A_{e_i}^{-T}(x) \leq A_{e_i}^{+T}(x)$ , and so  $\beta_{e_i}^T = B_{e_i}^{+T}(x)$  this implies  $A_{e_i}^{-T}(x) = \alpha_{e_i}^T = (\lambda_{e_i}^T \wedge \mu_{e_i}^T)(x) = \beta_{e_i}^T = B_{e_i}^{+T}(x)$ . Thus  $B_{e_i}^{-T}(x) \leq B_{e_i}^{+T}(x) = (\lambda_{e_i}^T \wedge \mu_{e_i}^T)(x) = A_{e_i}^{-T}(x) \leq A_{e_i}^{+T}(x)$ , which implies that  $(\lambda_{e_i}^T \wedge \mu_{e_i}^T)(x) = B_{e_i}^{+T}(x) = (A_{e_i}^T \cap B_{e_i}^T)^+(x)$ . Hence  $(\lambda_{e_i}^T \wedge \mu_{e_i}^T)(x) \notin (A_{e_i}^T \cap B_{e_i}^T)^-(x), (A_{e_i}^T \cap B_{e_i}^T)^+(x)$  and  $(A_{e_i}^T \cap B_{e_i}^T)^-(x) \leq (\lambda_{e_i}^T \wedge \mu_{e_i}^T)(x) \leq (A_{e_i}^T \cap B_{e_i}^T)^+(x)$ . If  $\alpha_{e_i}^T = A_{e_i}^{+T}(x)$  then  $B_{e_i}^{-T}(x) \leq A_{e_i}^{+T}(x) \leq B_{e_i}^{+T}(x)$ , and so  $(\lambda_{e_i}^T \wedge \mu_{e_i}^T)(x) = A_{e_i}^{+T}(x) = (A_{e_i}^T \cap B_{e_i}^T)^+(x)$ . Hence  $(\lambda_{e_i}^T \wedge \mu_{e_i}^T)(x) \notin (A_{e_i}^T \cap B_{e_i}^T)^-(x), (A_{e_i}^T \cap B_{e_i}^T)^+(x)$  and  $(A_{e_i}^T \cap B_{e_i}^T)^-(x) \leq (\lambda_{e_i}^T \wedge \mu_{e_i}^T)(x) \leq (A_{e_i}^T \cap B_{e_i}^T)^+(x)$ .

Consequently we note that  $(F, I) \cap_P (G, J)$  is both T-internal neutrosophic soft cubic set and T-external soft neutrosophic cubic set in X.

Similarly we have the following theorems

**Theorem 3.18**

If neutrosophic soft cubic set  $(F, I) = \{ F(e_i) = \{ \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} \mid e_i \in I \}$  and

$(G, J) = \{ G(e_i) = \{ \langle x, B_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \} \mid e_i \in J \}$  in X satisfy the following condition  
 $\min\{ \max\{A_{e_i}^{+I}(x), B_{e_i}^{-I}(x)\}, \max\{A_{e_i}^{-I}(x), B_{e_i}^{+I}(x)\} \}$   
 $= (\lambda_{e_i}^I \wedge \mu_{e_i}^I)(x)$   
 $= \max\{ \min\{A_{e_i}^{+I}(x), B_{e_i}^{-I}(x)\}, \min\{A_{e_i}^{-I}(x), B_{e_i}^{+I}(x)\} \} \dots \dots (11.2)$

then the  $(F, I) \cap_P (G, J)$  is both an I-internal neutrosophic soft cubic set and an I-external soft neutrosophic cubic set in X.

**Theorem :3.19**

If neutrosophic soft cubic set  $(F, I) = \{ F(e_i) = \{ \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} \mid e_i \in I \}$  and  $(G, J) = \{ G(e_i) = \{ \langle x, B_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \} \mid e_i \in J \}$  in X satisfy the following condition  
 $\min\{ \max\{A_{e_i}^{+F}(x), B_{e_i}^{-F}(x)\}, \max\{A_{e_i}^{-F}(x), B_{e_i}^{+F}(x)\} \}$   
 $= (\lambda_{e_i}^F \wedge \mu_{e_i}^F)(x)$   
 $= \max\{ \min\{A_{e_i}^{+F}(x), B_{e_i}^{-F}(x)\}, \min\{A_{e_i}^{-F}(x), B_{e_i}^{+F}(x)\} \}$   
 .....(11.3) then the  $(F, I) \cap_P (G, J)$  is both

an F-internal neutrosophic soft cubic set and an F-external soft neutrosophic cubic set in X.

**Corollary:3.20**

Let  $(F, I) = \{ F(e_i) = \{ \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} \mid e_i \in I \}$  and  $(G, J) = \{ G(e_i) = \{ \langle x, B_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \} \mid e_i \in I \}$  be NSCSs in X. Then P-intersection  $(F, I) \cap_P (G, J)$  is also an ENSCS and an INSCS in X when the conditions (11.1), (11.2) and (11.3) are valid.

The following example shows that the P-union of T-external neutrosophic soft cubic sets may not be an T-external neutrosophic soft cubic set.

**Example 3.21.** Let  $(P, I)$  and  $(Q, J)$  be neutrosophic soft cubic sets in X where

$(P, I) = P(e_1) = \{ \langle x, ([0.3, 0.5] \cup [0.2, 0.5] \cup [0.5, 0.7]), (0.8, 0.3, 0.4) \rangle \mid e_1 \in I \}$   
 $(Q, J) = Q(e_1) = \{ \langle x, ([0.7, 0.9] \cup [0.6, 0.8] \cup [0.4, 0.7]), (0.4, 0.7, 0.3) \rangle \mid e_1 \in J \}$

Then  $(P, I)$  and  $(Q, J)$  are T-external neutrosophic cubic sets in  $X$  and  $(P, I) \cup (Q, J) = P \cup Q(e_1)$   
 $= \{ \langle x, [(0.7, 0.9][0.6, 0.8][0.5, 0.7], (0.8, 0.7, 0.4) \rangle \}$   
 $(P, I) \cup_p (Q, J)$  is not an T-external neutrosophic cubic set in  $X$  since  
 $(\lambda_{e_1}^T \vee \mu_{e_2}^T)(x) = 0.8 \in (0.7, 0.9) =$   
 $\left[ \left( A_{e_1}^T \cup B_{e_1}^T \right)^-(x), \left( A_{e_1}^T \cup B_{e_1}^T \right)^+(x) \right]$ .

We consider a condition for the P-union of T-external (resp. I-external and F-external) neutrosophic soft cubic sets to be T-external (resp. I-external and F-external) neutrosophic soft cubic set.

**Theorem 3.22**

Let

$(F, I) = \{ F(e_i) = \{ \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} \mid e_i \in I \}$  and

$(G, J) = \{ G(e_i) = \{ \langle x, B_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \} \mid e_i \in J \}$  be

T- ENSCSs in  $X$  such that

$$(\lambda_{e_i}^T \vee \mu_{e_i}^T)(x) \in \left( \begin{array}{l} \max \{ \min \{ A_{e_i}^{+T}(x), B_{e_i}^{-T}(x) \}, \min \{ A_{e_i}^{-T}(x), B_{e_i}^{+T}(x) \} \}, \\ \min \{ \max \{ A_{e_i}^{+T}(x), B_{e_i}^{-T}(x) \}, \max \{ A_{e_i}^{-T}(x), B_{e_i}^{+T}(x) \} \} \end{array} \right)$$

.....(12.1)

for all  $e_i \in I$  and for all  $e_i \in J$  and for all  $x \in X$ . Then

$(F, I) \cup_p (G, J)$  is also an T- ENSCS.

Proof:

Consider  $(F, I) \cup_p (G, J) = (H, C)$  where  $I \cup J = C$  and

$$H(e_i) = \left\{ \begin{array}{ll} F(e_i) & \text{if } e_i \in I - J \\ G(e_i) & \text{if } e_i \in J - I \\ F(e_i) \vee_p G(e_i) & \text{if } e_i \in I \cap J \end{array} \right\}$$

where  $H(e_i) = F(e_i) \vee_p G(e_i)$  is defined as

$$F(e_i) \vee_p G(e_i) = H(e_i) = \{ \langle x, \max \{ A_{e_i}(x), B_{e_i}(x) \}, (\lambda_{e_i} \vee \mu_{e_i})(x), x \in X, e_i \in I \cap J \},$$

where

$$F^T(e_i) \vee_p G^T(e_i) = \{ \langle x, \max \{ A_{e_i}^T(x), B_{e_i}^T(x) \}, (\lambda_{e_i}^T \vee \mu_{e_i}^T)(x), x \in X, e_i \in I \cap J \},$$

If  $e_i \in I \cap J$ ,

$$\alpha_{e_i}^T = \min \left\{ \max \{ A_{e_i}^{+T}(x), B_{e_i}^{-T}(x) \}, \max \{ A_{e_i}^{-T}(x), B_{e_i}^{+T}(x) \} \right\} \left( (A_{e_i}^T \cup B_{e_i}^T)^-(x), (A_{e_i}^T \cup B_{e_i}^T)^+(x) \right) \text{ because}$$

and

$$\beta_{e_i}^T = \max \left\{ \min \{ A_{e_i}^{+T}(x), B_{e_i}^{-T}(x) \}, \min \{ A_{e_i}^{-T}(x), B_{e_i}^{+T}(x) \} \right\}$$

Then  $\alpha_{e_i}^T$  is one of

$A_{e_i}^{-T}(x), B_{e_i}^{-T}(x), \alpha_{e_i}^T, A_{e_i}^{+T}(x), B_{e_i}^{+T}(x)$ . Now we

consider  $\alpha_{e_i}^T = A_{e_i}^{-T}(x)$  or  $A_{e_i}^{+T}(x)$ , only as the remaining cases are similar to this one.

If  $\alpha_{e_i}^T = A_{e_i}^{-T}(x)$  then

$$B_{e_i}^{-T}(x) \leq B_{e_i}^{+T}(x) \leq A_{e_i}^{-T}(x) \leq A_{e_i}^{+T}(x), \text{ and so } \beta_{e_i}^T =$$

$$B_{e_i}^{+T}(x). \text{ Thus } (A_{e_i}^T \cup B_{e_i}^T)^-(x) = A_{e_i}^{-T}(x) = \alpha_{e_i}^T$$

$$> (\lambda_{e_i}^T \vee \mu_{e_i}^T)(x). \text{ Hence } (\lambda_{e_i}^T \vee \mu_{e_i}^T)(x) \notin$$

$$\left( (A_{e_i}^T \cup B_{e_i}^T)^-(x), (A_{e_i}^T \cup B_{e_i}^T)^+(x) \right). \text{ If } \alpha_{e_i}^T = A_{e_i}^{+T}(x), \text{ then}$$

$$B_{e_i}^{-T}(x) \leq A_{e_i}^{+T}(x) \leq B_{e_i}^{+T}(x) \text{ and so } \beta_{e_i}^T =$$

$$\max \{ A_{e_i}^{-T}(x), B_{e_i}^{-T}(x) \}. \text{ Assume that } \beta_{e_i}^T = A_{e_i}^{-T}(x) \text{ then}$$

$$B_{e_i}^{-T}(x) \leq A_{e_i}^{-T}(x) < (\lambda_{e_i}^T \vee \mu_{e_i}^T)(x) \leq A_{e_i}^{+T}(x)$$

$$\leq B_{e_i}^{+T}(x). \text{ So from this we can write}$$

$$B_{e_i}^{-T}(x) \leq A_{e_i}^{-T}(x) < (\lambda_{e_i}^T \vee \mu_{e_i}^T)(x) <$$

$$A_{e_i}^{+T}(x) \leq B_{e_i}^{+T}(x) \text{ or } B_{e_i}^{-T}(x) \leq A_{e_i}^{-T}(x) =$$

$$(\lambda_{e_i}^T \vee \mu_{e_i}^T)(x) \leq A_{e_i}^{+T}(x) \leq B_{e_i}^{+T}(x).$$

For the case  $B_{e_i}^{-T}(x) \leq A_{e_i}^{-T}(x) <$

$(\lambda_{e_i}^T \vee \mu_{e_i}^T)(x) < A_{e_i}^{+T}(x) \leq B_{e_i}^{+T}(x)$  it is contradiction to

the fact that  $(F, I)$  and  $(G, J)$  are T-ENSCS. For the case

$$B_{e_i}^{-T}(x) \leq A_{e_i}^{-T}(x) = (\lambda_{e_i}^T \vee \mu_{e_i}^T)(x) \leq$$

$$A_{e_i}^{+T}(x) \leq B_{e_i}^{+T}(x) \text{ we have } (\lambda_{e_i}^T \vee \mu_{e_i}^T)(x) \notin$$

$$\left( (A_{e_i}^T \cup B_{e_i}^T)^-(x), (A_{e_i}^T \cup B_{e_i}^T)^+(x) \right) \text{ because}$$

$$(A_{e_i}^T \cup B_{e_i}^T)^-(x) = A_{e_i}^{-T}(x) = (\lambda_{e_i}^T \vee \mu_{e_i}^T)(x).$$

Again assume that  $\beta_{e_i}^T = B_{e_i}^{-T}(x)$  then  $A_{e_i}^{-T}(x) \leq$

$$B_{e_i}^{-T}(x) \leq (\lambda_{e_i}^T \vee \mu_{e_i}^T)(x) \leq A_{e_i}^{+T}(x) \leq B_{e_i}^{+T}(x), \text{ so from}$$

this we can write  $A_{e_i}^{-T}(x) \leq B_{e_i}^{-T}(x) <$   
 $\left(\lambda_{e_i}^T \vee \mu_{e_i}^T\right)(x) < A_{e_i}^{+T}(x) \leq B_{e_i}^{+T}(x)$  or  $A_{e_i}^{-T}(x) \leq B_{e_i}^{-T}(x)$   
 $= \left(\lambda_{e_i}^T \vee \mu_{e_i}^T\right)(x) < A_{e_i}^{+T}(x) \leq B_{e_i}^{+T}(x)$ . For this case  
 $A_{e_i}^{-T}(x) \leq B_{e_i}^{-T}(x) < \left(\lambda_{e_i}^T \vee \mu_{e_i}^T\right)(x) < A_{e_i}^{+T}(x) \leq B_{e_i}^{+T}(x)$   
 it is contradiction to the fact that (F,I) and (G,J) are T-  
 ENSCS. And if we take the case  $A_{e_i}^{-T}(x) \leq B_{e_i}^{-T}(x) =$   
 $\left(\lambda_{e_i}^T \vee \mu_{e_i}^T\right)(x) \leq A_{e_i}^{+T}(x) \leq B_{e_i}^{+T}(x)$ , we get have  
 $\left(\lambda_{e_i}^T \vee \mu_{e_i}^T\right)(x) \notin$   
 $\left((A_{e_i}^T \cup B_{e_i}^T)^-(x), (A_{e_i}^T \cup B_{e_i}^T)^+(x)\right)$  because  $(A_{e_i}^T \cup B_{e_i}^T)^-(x)$   
 $= B_{e_i}^{-T}(x) = \left(\lambda_{e_i}^T \vee \mu_{e_i}^T\right)(x)$ . If  $e_i \in I - J$  or  $e_i \in J - I$ , then  
 we have trivial result. Hence  $(F, I) \cup_P (G, J)$  is an T-  
 ENSCS in X.

Similarly we have the following theorems

**Theorem:3.23**

Let

$(F, I) = \{ F(e_i) = \{ \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} \mid e_i \in I \}$  and  
 $(G, J) = \{ G(e_i) = \{ \langle x, B_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \} \mid e_i \in J \}$  be  
 T- ENSCSs in X such that

$$\left(\lambda_{e_i}^T \vee \mu_{e_i}^T\right)(x) \in \left( \begin{array}{l} \max\left\{ \min\{A_{e_i}^{+T}(x), B_{e_i}^{-T}(x)\}, \min\{A_{e_i}^{-T}(x), B_{e_i}^{+T}(x)\} \right\}, \\ \min\left\{ \max\{A_{e_i}^{+T}(x), B_{e_i}^{-T}(x)\}, \max\{A_{e_i}^{-T}(x), B_{e_i}^{+T}(x)\} \right\} \end{array} \right)$$

.....(12.2)

for all  $e_i \in I$  and for all  $e_i \in J$  and for all  $x \in X$ . Then

$(F, I) \cup_P (G, J)$  is also an T- ENSCS.

**Theorem :3.24**

Let

$(F, I) = \{ F(e_i) = \{ \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} \mid e_i \in I \}$  and  
 $(G, J) = \{ G(e_i) = \{ \langle x, B_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \} \mid e_i \in J \}$  be  
 T- ENSCSs in X such that

$$\left(\lambda_{e_i}^T \vee \mu_{e_i}^T\right)(x) \in \left( \begin{array}{l} \max\left\{ \min\{A_{e_i}^{+T}(x), B_{e_i}^{-T}(x)\}, \min\{A_{e_i}^{-T}(x), B_{e_i}^{+T}(x)\} \right\}, \\ \min\left\{ \max\{A_{e_i}^{+T}(x), B_{e_i}^{-T}(x)\}, \max\{A_{e_i}^{-T}(x), B_{e_i}^{+T}(x)\} \right\} \end{array} \right)$$

.....(12.3)

for all  $e_i \in I$  and for all  $e_i \in J$  and for all  $x \in X$ . Then

$(F, I) \cup_P (G, J)$  is also an T- ENSCS.

**Corollary:3.25**

Let

$(F, I) = \{ F(e_i) = \{ \langle x, A_{e_i}(x), \lambda_{e_i}(x) \rangle : x \in X \} \mid e_i \in I \}$  and

$(G, J) = \{ G(e_i) = \{ \langle x, B_{e_i}(x), \mu_{e_i}(x) \rangle : x \in X \} \mid e_i \in J \}$  be

ENSCSs in X. Then  $(F, I) \cup_P (G, J)$  is also an ENSCS in X when the conditions (12.1), (12.2) and (12.3) are valid.

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# Extension of Crisp Functions on Neutrosophic Sets

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**Abstract.** In this paper, we generalize the definition of Neutrosophic sets and present a method for extending

crisp functions on Neutrosophic sets and study some properties of such extended functions.

**Keywords:** Neutrosophic set, Multi-fuzzy set, Bridge function.

## 1 Introduction

L-fuzzy sets constitute a generalization of the notion of Zadeh's [26] fuzzy sets and were introduced by Goguen [8] in 1967, later Atanassov introduced the notion of the intuitionistic fuzzy sets [1] Gau and Buehrer [7] defined vague sets. Bustince and Burillo [2] showed that the notion of vague sets is the same as that of intuitionistic fuzzy sets. Deschrijver and Kerre [5] established the interrelationship between the theories of fuzzy sets, L-fuzzy sets, interval valued fuzzy sets, intuitionistic fuzzy sets, intuitionistic L-fuzzy sets, interval valued intuitionistic fuzzy sets, vague sets and gray sets [4].

## 2 Preliminaries

**Definition 2.1.** [26] Let  $X$  be a nonempty set. A fuzzy set  $A$  of  $X$  is a mapping  $A : X \rightarrow [0, 1]$ , that is,

$A = \{(x, \mu_A(x)) : \mu_A(x) \text{ is the grade of membership of } x \text{ in } A, x \in X\}$ . The set of all the fuzzy sets on  $X$  is denoted by  $\mathcal{F}(X)$ .

**Definition 2.2.** [8] Let  $X$  be a nonempty ordinary set,  $L$  a complete lattice. An  $L$ -fuzzy set on  $X$  is a mapping  $A : X \rightarrow L$ , that is the family of all the  $L$ -fuzzy sets on  $X$  is just  $L^X$  consisting of all the mappings from  $X$  to  $L$ .

**Definition 2.3.** [1] An Intuitionistic Fuzzy Set on  $X$  is a set

$$A = \{(x, \mu_A(x), \nu_A(x)) : x \in X\},$$

where  $\mu_A(x) \in [0, 1]$  denotes the membership degree and  $\nu_A(x) \in [0, 1]$  denotes the non-membership degree of  $x$  in  $A$  and

$$\mu_A(x) + \nu_A(x) \leq 1, \forall x \in X.$$

The neutrosophic set (NS) was introduced by F. Smarandache [22] who introduced the degree of indeterminacy ( $i$ ) as independent component in his manuscripts that was published in 1998.

Multi-fuzzy sets [12, 13, 16] was proposed in 2009 by Sabu Sebastian as an extension of fuzzy sets [8, 26] in terms of multi membership functions. In this paper we generalize the definition of neutrosophic sets and introduce extension of crisp functions on neutrosophic sets.

**Definition 2.4.** [22] A Neutrosophic Set on  $X$  is a set

$$A = \{(x, T_A(x), I_A(x), F_A(x)) : x \in X\},$$

where  $T_A(x) \in [0, 1]$  denotes the truth membership degree,  $I_A(x) \in [0, 1]$  denotes the indeterminacy membership degree and  $F_A(x) \in [0, 1]$  denotes the falsity membership degree of  $x$  in  $A$  respectively and

$$0 \leq T_A(x) + I_A(x) + F_A(x) \leq 3, \forall x \in X.$$

For single valued neutrosophic logic  $(T, I, F)$ , the sum of the components is:  $0 \leq T + I + F \leq 3$  when all three components are independent;  $0 \leq T + I + F \leq 2$  when two components are dependent, while the third one is independent from them;  $0 \leq T + I + F \leq 1$  when all three components are dependent.

**Definition 2.5.** [12, 13, 16] Let  $X$  be a nonempty set,  $J$  be an indexing set and  $\{L_j : j \in J\}$  a family of partially ordered sets. A **multi-fuzzy set**  $\mathbf{A}$  in  $X$  is a set :

$$\mathbf{A} = \{(x, (\mu_j(x))_{j \in J}) : x \in X, \mu_j \in L_j^X, j \in J\}.$$

The indexing set  $J$  may be uncountable. The function  $\mu_{\mathbf{A}} = (\mu_j)_{j \in J}$  is called the membership function of the multi-fuzzy set  $\mathbf{A}$  and  $\prod_{j \in J} L_j$  is called the value domain.

If  $J = \{1, 2, \dots, n\}$  or the set of all natural numbers, then the membership function  $\mu_{\mathbf{A}} = \langle \mu_1, \mu_2, \dots \rangle$  is a sequence.

In particular, if the sequence of the membership function having precisely  $n$ -terms and  $L_j = [0, 1]$ , for  $J = \{1, 2, \dots, n\}$ , then  $n$  is called the dimension and  $\mathbf{M}^n\mathbf{FS}(X)$  denotes the set of all multi-fuzzy sets in  $X$ .

Properties of multi-fuzzy sets, relations on multi-fuzzy sets and multi-fuzzy extensions of crisp functions are depend on the order relations defined in the membership functions. Most of the results in the initial papers [12, 13, 15, 16, 18] are based on product order in the membership functions. The paper [21] discussed other order relations like dictionary order, reverse dictionary order on their membership functions.

Let  $\{L_j : j \in J\}$  be a family of partially ordered sets, and  $\mathbf{A} = \{ \langle x, (\mu_j(x))_{j \in J} \rangle : x \in X, \mu_j \in L_j^X, j \in J \}$  and  $\mathbf{B} = \{ \langle x, (\nu_j(x))_{j \in J} \rangle : x \in X, \nu_j \in L_j^X, j \in J \}$  be multi-fuzzy sets in a nonempty set  $X$ . Note that, if the order relation in their membership functions are either product order, dictionary order or reverse dictionary order [16, 21], then;

- $\mathbf{A} = \mathbf{B}$  if and only if  $\mu_j(x) = \nu_j(x), \forall x \in X$  and for all  $j \in J$
- $\mathbf{A} \sqcup \mathbf{B} = \{ \langle x, (\mu_j(x) \vee_j \nu_j(x))_{j \in J} \rangle : x \in X \}$  and
- $\mathbf{A} \sqcap \mathbf{B} = \{ \langle x, (\mu_j(x) \wedge_j \nu_j(x))_{j \in J} \rangle : x \in X \}$ ,

**Definition 2.8.** [16] Let  $f : X \rightarrow Y$  and  $h : \prod M_i \rightarrow \prod L_j$  be a functions. The multi-fuzzy extension of  $f$  and the inverse of the extension are  $f : \prod M_i^X \rightarrow \prod L_j^Y$  and  $f^{-1} : \prod L_j^Y \rightarrow \prod M_i^X$  defined by

$$f(A)(y) = \bigvee_{y=f(x)} h(A(x)), A \in \prod M_i^X, y \in Y$$

and

$$f^{-1}(B)(x) = h^{-1}(B(f(x))), B \in \prod L_j^Y, x \in X;$$

where  $h^{-1}$  is the upper adjoint [23] of  $h$ . The function  $h : \prod M_i \rightarrow \prod L_j$  is called the **bridge function** of the multi-fuzzy extension of  $f$ .

where  $\vee_j$  and  $\wedge_j$  are the supremum and infimum defined in  $L_j$  with partial order relation  $\leq_j$ . Set inclusion defined as follows:

- In product order,  $\mathbf{A} \subset \mathbf{B}$  if and only if  $\mu_j(x) < \nu_j(x), \forall x \in X$  and for all  $j \in J$ .
- In dictionary order,  $A \subset B$  if and only if  $\mu_1(x) < \nu_1(x)$  or if  $\mu_1(x) = \nu_1(x)$  and  $\mu_2(x) < \nu_2(x), \forall x \in X$ .

**Definition 2.6.** Let  $L$  be a lattice. A mapping  $' : L \rightarrow L$  is called an order reversing involution [25], if for all  $a, b \in L$  :

1.  $a \leq b \Rightarrow b' \leq a'$ ;
2.  $(a')' = a$ .

**Definition 2.7.** [23] Let  $' : M \rightarrow M$  and  $' : L \rightarrow L$  be order reversing involutions. A mapping  $h : M \rightarrow L$  is called an order homomorphism, if it satisfies the conditions:

1.  $h(0_M) = 0_L$ ;
2.  $h(\vee a_i) = \vee h(a_i)$ ;
3.  $h^{-1}(b') = (h^{-1}(b))'$ ,

where  $h^{-1} : L \rightarrow M$  is defined by, for every  $b \in L$ ,  $h^{-1}(b) = \vee \{ a \in M : h(a) \leq b \}$ .

Generalized Zadeh extension of crisp functions [24] have prime importance in the study of fuzzy mappings. Sabu Sebastian [16, 13] generalized this concept as multi-fuzzy extension of crisp functions and it is useful to map a multi-fuzzy set into another multi-fuzzy set. In the case of a crisp function, there exists infinitely many multi-fuzzy extensions, even though the domain and range of multi-fuzzy extensions are same.

**Remark 2.9.** In particular, the multi-fuzzy extension of a crisp function  $f : X \rightarrow Y$  based on the bridge function  $h : I^k \rightarrow I^n$  can be written as  $f : \mathbf{M}^k\mathbf{FS}(X) \rightarrow \mathbf{M}^n\mathbf{FS}(Y)$  and  $f^{-1} : \mathbf{M}^n\mathbf{FS}(Y) \rightarrow \mathbf{M}^k\mathbf{FS}(X)$ , where

$$f(A)(y) = \sup_{y=f(x)} h(A(x)), A \in \mathbf{M}^k\mathbf{FS}(X), y \in Y$$

and

$$f^{-1}(B)(x) = h^{-1}(B(f(x))), B \in \mathbf{M}^n\mathbf{FS}(Y), x \in X.$$

In the following section  $\coprod M_i = \coprod L_j = I^3$ .

**Remark 2.10.** There exists infinitely many bridge functions. Lattice homomorphism, order homomorphism, lattice valued fuzzy lattices and strong L-fuzzy lattices are examples of bridge functions.

**Definition 2.11.** [10] A function  $t : [0, 1] \times [0,$

$1] \rightarrow [0, 1]$  is a  $t$ -norm if  $\forall a, b, c \in [0, 1]:$ (1)  $t(a, 1)$

$= a;$

(2)  $t(a, b) = t(b, a);$

(3)  $t(a, t(b, c)) = t(t(a, b), c);$

(4)  $b \leq c$  implies  $t(a, b) \leq t(a, c).$

Similarly, a  $t$ -conorm ( $s$ -norm) is a commutative, associative and non-decreasing mapping  $s : [0, 1] \times [0, 1] \rightarrow [0, 1]$  that satisfies the boundary condition:

$$s(a, 0) = a, \text{ for all } a \in [0, 1].$$

**Definition 2.12.** [9] A function  $c : [0, 1] \rightarrow [0, 1]$

is called a complement (fuzzy) operation, if it

satisfies the following conditions:

(1)  $c(0) = 1$  and  $c(1) = 0,$

(2) for all  $a, b \in [0, 1],$  if  $a \leq b,$  then  $c(a) \geq c(b).$

**Definition 2.13.** [9] A  $t$ -norm  $t$  and a  $t$ -conorm  $s$  are dual with respect to a fuzzy complement operation  $c$  if and only if

$$c(t(a, b)) = s(c(a), c(b))$$

and

$$c(s(a, b)) = t(c(a), c(b)),$$

for all  $a, b \in [0, 1].$

**Definition 2.14.** [9] Let  $n$  be an integer greater than or equal to 2. A function  $m : [0, 1]^n \rightarrow [0, 1]$  is said to be an aggregation operation for fuzzy sets, if it satisfies the following conditions:

1.  $m$  is continuous;
2.  $m$  is monotonic increasing in all its arguments;
3.  $m(0, 0, \dots, 0) = 0;$
4.  $m(1, 1, \dots, 1) = 1.$

### 3 Neutrosophic Sets

In this section, we generalize the definition of neutrosophic sets on  $[0, 1].$  Throughout the following sections  $X$  is the universe of discourse and  $A \in \mathbf{M}^3\mathbf{FS}(X)$  means  $A$  is a multi-fuzzy sets of dimension 3 with value domain  $I^3,$  where  $I^3 = [0, 1] \times [0, 1] \times [0, 1].$  That is,  $A \in (I^3)^X.$

**Definition 3.1.** Let  $X$  be a nonempty crisp set and  $0 \leq \alpha \leq 3.$  A multi-fuzzy set  $A \in \mathbf{M}^3\mathbf{FS}(X)$  is called a neutrosophic set of order  $\alpha,$  if

$$\mathbf{A} = \{ \langle x, T_A(x), I_A(x), F_A(x) \rangle : x \in X, 0 \leq T_A(x) + I_A(x) + F_A(x) \leq \alpha \}.$$

**Definition 3.2.** Let  $A, B$  be neutrosophic sets in  $X$  of order 3 and let  $t, s, m, c$  be the  $t$ -norm,  $s$ -norm, aggregation operation and complement operation respectively. Then the union, intersection and complement are given by

1.  $A \cup B = \{ \langle x, s(T_A(x), T_B(x)), m(I_A(x), I_B(x)), t(F_A(x), F_B(x)) \rangle : x \in X \};$
2.  $A \cap B = \{ \langle x, t(T_A(x), T_B(x)), m(I_A(x), I_B(x)), s(F_A(x), F_B(x)) \rangle : x \in X \};$
3.  $A^c = \{ \langle x, c(T_A(x)), c(I_A(x)), c(F_A(x)) \rangle : x \in X \}.$

**4 Extension of crisp functions on neutrosophic set using order homomorphism as bridge function**

**Theorem 4.1.** If an order homomorphism  $h : I^3 \rightarrow I^3$  is the bridge function for the multi-fuzzy extension of a crisp function  $f : X \rightarrow Y$ , then for every  $k \in K$  neutrosophic sets  $A_k$  in  $X$  and  $B_k$  in  $Y$  of order 3;

1.  $A_1 \subseteq A_2$  implies  $f(A_1) \subseteq f(A_2)$ ;
2.  $f(\cup A_k) = \cup f(A_k)$ ;
3.  $f(\cap A_k) \subseteq \cap f(A_k)$ ;
4.  $B_1 \subseteq B_2$  implies  $f^{-1}(B_1) \subseteq f^{-1}(B_2)$ ;
5.  $f^{-1}(\cup B_k) = \cup f^{-1}(B_k)$ ;
6.  $f^{-1}(\cap B_k) = \cap f^{-1}(B_k)$ ;
7.  $(f^{-1}(B))' = f^{-1}(B')$ ;
8.  $A \subseteq f^{-1}(f(A))$ ;
9.  $f(f^{-1}(B)) \subseteq B$ .

*Proof.*

1.  $A_1 \subseteq A_2$  implies  $A_1(x) \leq A_2(x), \forall x \in X$  and implies  $h(A_1(x)) \leq h(A_2(x)), \forall x \in X$ .

Hence

$$\begin{aligned} & \vee \{h(A_1(x)) : x \in X, \\ & y = f(x)\} \leq \vee \{h(A_2(x)) : x \in X, \\ & y = f(x)\} \text{ and } f(A_1)(y) \leq f(A_2)(y), \\ & \forall y \in Y. \text{ That is, } f(A_1) \subseteq f(A_2). \end{aligned}$$

2. For every  $y \in Y$ ,

$$\begin{aligned} f(\cup A_k)(y) &= \vee \{h((\cup A_k)(x)) : x \in X, \\ & y = f(x)\} \\ &= \vee \{h(\vee A_k(x)) : x \in X, y = f(x)\} \\ &= \vee \{\vee_{k \in K} h(A_k(x)) : x \in X, y = f(x)\} \\ &= \vee_{k \in K} \vee \{h(A_k(x)) : x \in X, y = f(x)\} \\ &= \vee_{k \in K} f(A_k)(y), \end{aligned}$$

thus  $f(\cup A_k) = \cup f(A_k)$ .

3. For every  $y \in Y$ ,

$$f(\cap A_k)(y) = \vee \{h((\cap A_k)(x)) : x \in X, y = f(x)\}$$

$$\begin{aligned} &= \vee \{h(\wedge_{k \in K} A_k(x)) : x \in X, y = f(x)\} \\ &\leq \vee \{h(A_k(x)) : x \in X, y = f(x)\}, \\ & \text{for each } k \in K. \text{ Hence} \end{aligned}$$

$$\begin{aligned} f(\cap A_k)(y) &\leq \wedge_{k \in K} \vee \{h(A_k(x)) : x \in X, \\ & y = f(x)\} = \wedge_{k \in K} f(A_k)(y), \\ & \text{thus } f(\cap A_k) \subseteq \cap f(A_k). \end{aligned}$$

4.  $B_1 \subseteq B_2$  implies  $B_1(y) \leq B_2(y), \forall y \in Y$ . Hence

$$\begin{aligned} f^{-1}(B_1)(x) &= h^{-1}(B_1(f(x))) \leq h^{-1}(B_2(f(x))) = \\ & f^{-1}(B_2)(x), \forall x \in X. \end{aligned}$$

Therefore,  $f^{-1}(B_1) \subseteq f^{-1}(B_2)$ .

5. For every  $x \in X$ , we have

$$\begin{aligned} f^{-1}(\cup B_k)(x) &= h^{-1}((\cup B_k)(f(x))) = h^{-1}(\sup_{k \in K} B_k(f(x))) \\ &= \sup_{k \in K} h^{-1}(B_k(f(x))) = \sup_{k \in K} f^{-1}(B_k)(x) \\ &= (\cup f^{-1}(B_k))(x). \end{aligned}$$

Hence  $f^{-1}(\cup B_k) = \cup f^{-1}(B_k)$ .

6. For every  $x \in X$ , we have

$$\begin{aligned} f^{-1}(\cap B_k)(x) &= h^{-1}((\cap B_k)(f(x))) = h^{-1}(\inf_{k \in K} B_k(f(x))) \\ &= \inf_{k \in K} h^{-1}(B_k(f(x))) = \inf_{k \in K} f^{-1}(B_k)(x) \\ &= (\cap f^{-1}(B_k))(x). \end{aligned}$$

Hence  $f^{-1}(\cap B_k) = \cap f^{-1}(B_k)$ .

7. For every  $x \in X$ ,

$$\begin{aligned} f^{-1}(B')(x) &= h^{-1}(B'(f(x))) = h^{-1}(B(f(x)))' = \\ & (f^{-1}(B))'(x), \text{ since } f^{-1}(B)(x) = h^{-1}(B(f(x))). \end{aligned}$$

That is,  $f^{-1}(B') = (f^{-1}(B))'$ .

8. For every  $x_0 \in X$ ,

$$\begin{aligned} A(x_0) &\leq \vee \{A(x) : x \in X, x \in f^{-1}(f(x_0))\} \\ &\leq h^{-1}(h(\vee \{A(x) : x \in X, x \in f^{-1}(f(x_0))\})) \\ &= h^{-1}(\vee \{h(A(x)) : x \in X, x \in f^{-1}(f(x_0))\}) \\ &= h^{-1}(f(A)(f(x_0))) \\ &= f^{-1}(f(A))(x_0). \end{aligned}$$

9. For every  $y \in Y$

$$\begin{aligned} f(f^{-1}(B))(y) &= \sup_{y=f(x)} h(f^{-1}(B)(x)) \\ &= \sup_{y=f(x)} h(h^{-1}(B(f(x)))) \end{aligned}$$

$$\begin{aligned}
 &= h(h^{-1}(B(y))) \\
 &\leq B(y).
 \end{aligned}$$

Hence  $f(f^{-1}(B)) \subseteq B$ .

**Proposition 4.2.** If an order homomorphism  $h : I^3 \rightarrow I^3$  is the bridge function for the extension of a crisp function  $f : X \rightarrow Y$ , then for any  $k \in K$  neutrosophic sets  $A_k$  in  $X$  and  $B$  in  $Y$ :

1.  $f(0_X) = 0_Y$ ;
2.  $f(\cup A_k) = \cup f(A_k)$ ; and
3.  $(f^{-1}(B))' = f^{-1}(B')$ ,

that is, the extension map  $f$  is an order homomorphism.

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# Neutrosophy for software requirement prioritization

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## Abstract

Software engineers are involved in complex decisions that require multiples viewpoints. A specific case is the requirement prioritization process. This process is used to decide which software requirement to develop in certain release

from a group of candidate requirements. Criteria involved in this process can involve indeterminacy. In this paper a software requirement prioritization model is develop based SVN numbers. Finally, an illustrative example is presented in order to show the proposed model.

**Keywords:** requirement engineering, software requirement prioritization, SVN numbers.

## 1. Introduction

Software quality is influenced by the ability to satisfy client and user needs obtained and described in software requirements [1]. Many models have been proposed for software requirement prioritization [1-7]. However, these proposal present limitation for dealing with indeterminacy

In order to overcome the drawbacks identified, in this contribution we propose a novel requirement prioritization process based on SVN numbers.

In software requirement prioritization intervene different stakeholders approaching to the decision problem from a different points of view. It is moreover a multidimensional problems dealing with multiple criteria of diverse nature [8]. Therefore, the proposed model is based on a decision analysis scheme [9] and the approach presented in [8]. In order to deal with heterogeneous information provided by several experts.

This paper is structured as follows: Section 2 outlines a scheme of software prioritization. Section 3 shows the theory of neutrosophy. Section 4 presents our framework for software requirements prioritization. Section 5 shows an illustrative example of the proposed model. The paper ends with conclusions and further work recommendations in Section 6.

## 2. Software requirement prioritization.

One frequent reason that causes low quality software is associated to problems related to identifying and selecting the most important requirements [10]. Software requirement prioritization can be modeled like a decision making

problem, making it suitable to a decision analysis scheme[9]. Decision analysis is a discipline whose purpose is to help decision maker to reach a consistent decision [11].

Our proposal for a software requirement prioritization model dealing with indeterminacy is based on the classical decision analysis scheme. In this paper the software requirement prioritization process is modeled as a type of a Multi-Expert Multi-Criteria decision making problem due to the complexity of the problem where multiple criteria and experts are involved [10, 12].

In the software requirement prioritization process, it is very difficult to express reality in a quantitative way. Fuzzy set theory, introduced by Zadeh[13] in 1965, offers a mathematical model to deal with this kind of uncertainty. The fuzzy linguistic approach is based in the fuzzy set theory and especially in linguistic variable concept [14, 15]. This fact is important in software requirement prioritization where evaluation results are used to make decisions by software engineers in high complexity environment [16]. Current process of software prioritization don't deal with indeterminacy .

## 3. Neutrosophy

Neutrosophy [17] is a philosophy branch developed for dealing with indeterminacy ( Figure 2). Neutrosophy have been the base for developing new methods to handle indeterminate and inconsistent information like neutrosophic sets an neutrosophic logic [18, 19] .

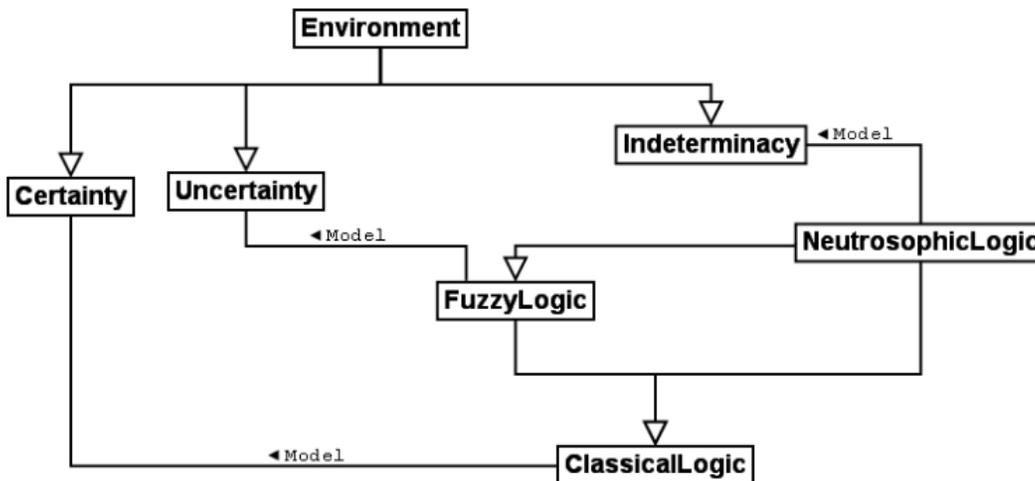


Fig. 1. Static context of Neutrosophic logic [20].

The truth value in neutrosophic set is as follows [21]:  
 Let  $N$  be a set defined as:  $N = \{(T, I, F) : T, I, F \subseteq [0, 1]\}$ , a neutrosophic valuation  $n$  is a mapping from the set of propositional formulas to  $N$ , that is for each sentence  $p$  we have  $v(p) = (T, I, F)$ .

Single valued neutrosophic set (SVNS) [22] was developed with the goal of facilitate the real applications of neutrosophic set and set-theoretic operators.

A single valued neutrosophic set (SVNS) has been defined as follows [22]:

Let  $X$  be a universe of discourse. A single valued neutrosophic set  $A$  over  $X$  is an object having the form :

$$A = \{ \langle x, u_A(x), r_A(x), v_A(x) \rangle : x \in X \} \tag{1}$$

where  $u_A(x) : X \rightarrow [0,1]$ ,  $r_A(x) : X \rightarrow [0,1]$  and  $v_A(x) : X \rightarrow [0,1]$  with  $0 \leq u_A(x) + r_A(x) + v_A(x) \leq 3$  for all  $x \in X$ . The intervals  $u_A(x)$ ,  $r_A(x)$  y  $v_A(x)$  denote the truth- membership degree, the indeterminacy-membership degree and the falsity membership degree of  $x$  to  $A$ , respectively.

Single valued neutrosophic numbers (SVN number) is denoted by  $A = (a,b,c)$ , where  $a,b,c \in [0,1]$  and  $a+b+c \leq 3$ .

**4. A software requirement prioritization model**

Our aim is develop a software requirement prioritization model based on the linguistic decision analysis schema that can deal with criteria evaluated with SVN numbers. The model consists of the following phases (graphically, Figure 2):

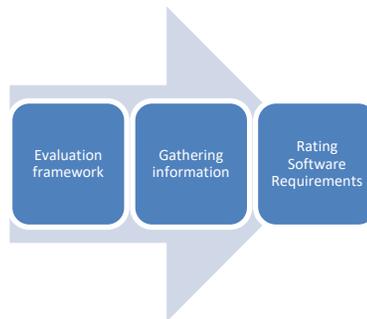


Figura 2. Scheme of the Model.

1. Evaluation framework:

In this phase, the evaluation framework is defined to fix the requirement prioritization problem structure. The framework is established as follows:

- Let  $E = \{e_1, e_2, \dots, e_n\}$  ( $n \geq 2$ ) be a set of experts.
- Let  $C = \{c_1, c_2, \dots, c_k\}$  ( $k \geq 2$ ) be a set of criteria.
- Let  $R = \{r_1, r_2, \dots, r_m\}$  ( $m \geq 2$ ) be a set of requirements.

Each expert can use SVN numbers to asses each criteria, attending to its nature.

2. Gathering information:

Once the framework has been defined, the knowledge of the set of experts must be obtained. Each expert provides their preferences by using utility vectors. The utility vector [23] is represented in the following way:

- $P_j^i = \{p_{j1}^i, p_{j2}^i, \dots, p_{jk}^i\}$ ,

Where  $p_{jk}^i$  is the preference provided to the criterion  $c_k$  of the requirement  $r_j$  by the expert  $e_i$ .

3. Rating software requirements.

The aim of this phase is to obtain a collective linguistic global assessment easily interpretable for software engineers. To do so the information is unified and aggregated.

Finally those more prioritized are identified. This phase is based on the approach reviewed in Section 3.

A two-step aggregation process is developed with the aim of computing a global evaluation of each software requirement.

We obtain for each expert an assessment for each requirement.

The final aim of the rating process is to obtain a global evaluation of each requirement according to all experts. To do so, this process will aggregate all the experts' collective assessment. In decision analysis schema aggregation operations are important for rating options. Some aggregation operators have been proposed for SVN numbers [17, 24]. Single valued neutrosophic weighted averaging (SVNWA) aggregation operator was proposed by Ye [24] for SVNNS as follows [25]:

$$F_w(A_1, A_2, \dots, A_n) = \left( \frac{\prod_{j=1}^n T_{A_j}(x)^{w_j}}{\prod_{j=1}^n (1 - T_{A_j}(x)^{w_j})}, \frac{\prod_{j=1}^n I_{A_j}(x)^{w_j}}{\prod_{j=1}^n (1 - I_{A_j}(x)^{w_j})}, \frac{\prod_{j=1}^n F_{A_j}(x)^{w_j}}{\prod_{j=1}^n (1 - F_{A_j}(x)^{w_j})} \right) \quad (2)$$

We propose this operator to establish different weights for each expert, taking into account their knowledge and their significance in software prioritization process.

**Rating of the requirements**

The final step in the prioritization process is to establish a ranking among software requirements, this ranking allows selecting the requirements with more value and postponing or rejecting the development of others making more effective the software development process.

For rating alternatives an ideal option is constructed [26, 27]. The evaluation criteria can be categorized into two categories, benefit and cost. Let  $C^+$  be a collection of benefit criteria and  $C^-$  be a collection of cost criteria. The ideal alternative is defined as:

$$I = \left\{ \left( \max_{i=1}^k T_{U_j} | j \in C^+, \min_{i=1}^k T_{U_j} | j \in C^- \right), \left( \min_{i=1}^k I_{U_j} | j \in C^+, \max_{i=1}^k I_{U_j} | j \in C^- \right), \left( \min_{i=1}^k F_{U_j} | j \in C^+, \max_{i=1}^k F_{U_j} | j \in C^- \right) \right\} \\ = [v_1, v_2, \dots, v_n] \quad (4)$$

Alternatives are rated according to Euclidean distance to  $I$  (2). Ranking is based on the global distance to the ideal. If alternative  $x_i$  is closer to  $I$  the distance measure ( $s_i$  closer) better is the alternative [28].

Alternatives could be rated according to Euclidean distance in SVN [26, 29].

Let  $A^* = (A_1^*, A_2^*, \dots, A_n^*)$  be a vector of  $n$  SVN numbers such that  $A_j^* = (a_j^*, b_j^*, c_j^*)$   $j=(1,2, \dots, n)$  and  $B_i = (B_{i1}, B_{i2}, \dots, B_{im})$  ( $i = 1,2, \dots, m$ ) be  $m$  vectors of  $n$  SVN numbers such that  $B_{ij} = (a_{ij}, b_{ij}, c_{ij})$  ( $i = 1,2, \dots, m$ ), ( $j = 1,2, \dots, n$ ). Then the separation measure between  $B_i$ 's and  $A^*$  is defined as follows:

$$s_i = \left( \frac{1}{3} \sum_{j=1}^n \left\{ (|a_{ij} - a_j^*|)^2 + (|b_{ij} - b_j^*|)^2 + (|c_{ij} - c_j^*|)^2 \right\} \right)^{\frac{1}{2}} \quad (2)$$

The best requirement is the one with the minimum distance to ideal.

**5. Illustrative Example**

In this section, we present an illustrative example in order to show the applicability of the proposed model.

**A. Evaluation framework**

In this case study the evaluation framework is composed by 3 experts  $E = \{e_1, e_2, e_3\}$ , who evaluate 3 requirements  $R = \{r_1, r_2, r_3\}$ , where are involved 5 criteria  $C = \{c_1, c_2, \dots, c_5\}$  which are shown below:

- $c_1$ : Importance for the customers
- $c_2$ : Value
- $c_3$ : Cost
- $c_4$ : Technical Complexity
- $c_5$ : Risks

The following linguistic terms are used (Table I).

Table I. Linguistic terms used to provide the assessments [26].

Linguistic terms	SVNSs
Extremely good (EG)	(1,0,0)
Very very good (VVG)	(0.9, 0.1, 0.1)
Very good (VG)	(0.8,0.15,0.20)
Good (G)	(0.70,0.25,0.30)
Medium good (MG)	(0.60,0.35,0.40)
Medium (M)	(0.50,0.50,0.50)
Medium bad (MB)	(0.40,0.65,0.60)
Bad (B)	(0.30,0.75,0.70)
Very bad (VB)	(0.20,0.85,0.80)
Very very bad (VVB)	(0.10,0.90,0.90)
Extremely bad (EB)	(0,1,1)

**B. Gathering information**

Once the evaluation framework has been determined the information about the requirements is gathered (see Table II).

Table II. An illustrative example of gathering information

	e <sub>1</sub>			e <sub>1</sub>			e <sub>1</sub>		
	r <sub>1</sub>	r <sub>2</sub>	r <sub>3</sub>	r <sub>1</sub>	r <sub>2</sub>	r <sub>3</sub>	r <sub>1</sub>	r <sub>2</sub>	r <sub>3</sub>
c <sub>1</sub>	VV G	VG	EG	VV G	VG	G	M	VG	G
c <sub>2</sub>	M	G	MB	M	VG	VG	G	M	MB
c <sub>3</sub>	VG	M	M	VG	VV G	M	MB	G	B
c <sub>4</sub>	G	M	VG	VG	B	VG	VG	G	G
c <sub>5</sub>	M	G	M	G	VG	VV G	B	G	VG

C. Rating Requirements

In this example, is applied a two-step aggregation process to compute a collective evaluation for software requirements. In our problem the SVNWA is used to aggregate

evaluations by requirement for each expert. In this case the weighting vectors to compute the collective evaluation is  $V=(0.3,0.3,0.4)$ .

Table III. An illustrative example of unified and aggregated information

	r <sub>1</sub>	r <sub>2</sub>	r <sub>3</sub>
c <sub>1</sub>	(0.24, 0.2, 0.12)	(0.18, 0.18, 0.14)	(0.19, 0.0, 0.0)
c <sub>2</sub>	(0.41, 0.44, 0.35)	(0.32, 0.3, 0.25)	(0.46, 0.44, 0.35)
c <sub>3</sub>	(0.38, 0.0, 0.17)	(0.29, 0.27, 0.19)	(0.54, 0.61, 0.5)
c <sub>4</sub>	(0.21, 0.21, 0.17)	(0.49, 0.49, 0.41)	(0.21, 0.21, 0.17)
c <sub>5</sub>	(0.49, 0.49, 0.41)	(0.24, 0.25, 0.2)	(0.26, 0.23, 0.16)

From this information, the ideal alternative is calculated (Table IV).

Table IV. Ideal alternative

	$E^+$
c <sub>1</sub>	(0.2,0,0)
c <sub>2</sub>	(0.4,0.3,0.25)
c <sub>3</sub>	(0.38, 0.61,0.5)
c <sub>4</sub>	(0.49,0.21,0.17)
c <sub>5</sub>	(0.24,0.49,0.41)

The results of the calculation of the distances allow requirement.

Table V. Distance to ideal alternative

r <sub>1</sub>	0.21
r <sub>2</sub>	0.38
r <sub>3</sub>	0.45

Finally, we put in order all collective evaluations and we establish a ranking among requirements with the purpose

of identifying the best ones. In the case study the ranking is as follow:  $r_1 > r_2 > r_3$

After application in this case study the model is found to be practical to use. The aggregation process gives a high flexibility so the model can be adapted to different situations.

## 6. Conclusions

In this paper, we have proposed a prioritization model based on the decision analysis scheme that can manage SVN numbers. We have applied the proposed model to an illustrative example. The model was found to be flexible and practical to use. The developing of software tool to automate the model is an area of future work.

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